

Stock Code: 6021

GOOD FINANCE SECURITIES CO., LTD.

2025

Website | <http://www.goodfinance.com>

Annual Report accessible at | <http://mops.twse.com.tw>

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VI. Name of the exchange where the overseas securities are listed for trading and the method to inquire about the overseas securities information: None

VII. Company Website: <http://www.goodfinance.com>

Table of Contents

One. A Message to Shareholders.....	6
Two. Corporate Governance.....	13
I. Profile of the Directors, General Manager, Deputy General Managers, Associates, and heads of various departments and branches	13
II. Remuneration paid to directors, General Managers and Deputy General Managers in the most recent year	31
III. Operations of corporate governance	38
IV. Public Fees of CPAs	97
V. Information on change of CPA:	98
VI. Disclosure of any of the Company’s Chairman, General Manager, or managers responsible for financial or accounting affairs being employed by the auditor’s firm or any of its affiliated company in the last year, including their names, job titles, and the periods during which they were employed by the auditor’s firm or any of its affiliated company. The term "affiliated enterprise of a CPA firm" means one owned by the independent auditors: None.....	99
VII. Changes in transfer and pledge of shares by directors, managerial officers and shareholders with more than 10% shareholding in the most recent year up till the publication date of this annual report.....	100
VIII. Information on the top ten shareholders who are related to other under SFAS No. 6 or are spouses or relatives within the second degree of kinship	105
IX. Number of shares held by the Company, the Company's directors, supervisors and managers, and the entities directly or indirectly controlled by the Company in a single investee.....	106
Three. Fundraising Status	107
I. Capital and shares	107
II. Issuance of corporate bond (including overseas corporate bonds).....	111
III. Issuance of preferred shares.....	113
IV. Issuance of global depository receipts.....	113
V. Employee stock warrants and restricted stock awards (RSAs)	113
VI. Mergers or acquisitions or with acquisitions of shares of other companies	120
VII. Implementation of capital utilization plan	120
Four. Operational Overview	121
I. Content of business	121
II. Market and production and sales overview	126
III. Information about the number of employees	130
IV. Information about environment protection expenditure	130
V. Labor relations	130
VI. Information communication security management.....	132
VII. Material contracts.....	134
VIII. Own capital adequacy ratio since December 2025.....	135

IX.	Number of employees in non-management positions, annual average employee benefit expenses, and the difference compared to the preceding year.....	135
X.	Major business items.....	135
Five.	Review and Analysis of Financial Status and Financial Performance, and Risk Management	138
I.	Financial status	138
II.	Financial performance.....	139
III.	Cash flow.....	140
IV.	Impact posed by material capital expenditures to business and in the most recent year.....	140
V.	The investment policy for the most recent year, major causes for profit or loss thereof, improvements, and investment plans for next year.....	141
VI.	Risk analysis and assessment.....	142
VII.	Response mechanisms for crisis management:.....	147
VIII.	Other important notes	147
Six.	Special Disclosures	148
I.	Affiliated companies.....	148
II.	Private placement of securities in the most recent year until the date of publication of the annual report.....	151
III.	Any occurrences of events defined under Subparagraph 2, Paragraph 3, Article 36 of the Securities and Exchange Act in the most recent year up till the date of publication of the annual report that significantly impacted shareholders' equity or security price	151
IV.	Other matters that require additional explanation.....	151

One. A Message to Shareholders

To the Shareholders of Good Finance Securities,

Operating results

Unit: NT\$ million

	2025	2024	Growth%
Revenue	2,165.5	1,602.3	35.1%
Net profit after tax	1,158.3	441.4	162.4%
Comprehensive income	2,025.1	2,804.8	-27.8%
Total assets	32,571.6	23,493.3	38.6%
Total net worth	9,766.3	8,298.4	17.7%
Amount of dividends	575.1	280.0	105.4%
Total Net Worth Growth Including Dividends	10,341.6	8,578.4	20.6%

Good Finance Securities recorded net worth of NT\$9.766 billion (hereinafter the same) as of the end of 2025, representing an increase of NT\$1.468 billion compared with NT\$8.298 billion as of the end of 2024. A total dividend amount of NT\$575 million was distributed in 2025. Including dividend distributions, shareholders' net worth increased by NT\$2.043 billion in 2025, representing a 24.62% growth rate in shareholders' substantive wealth.

In last year's letter to shareholders, I devoted some discussion to explaining how to better understand our financial statements. The total growth in net worth including dividend distributions is the most intuitive indicator because it encompasses all returns and is not affected by the selection of accounting items. This perspective remains unchanged this year, and I recommend that shareholders continue to pay attention to this figure.

Over the past seven years, total assets amounted to NT\$6.952 billion and net worth amounted to NT\$4.958 billion as of the end of 2018; by the end of 2025, total assets had increased to NT\$32.572 billion and net worth had increased to NT\$9.766 billion. The growth rate of total assets was 369%. The continuous expansion of asset scale implies that, at the same level of ROA, the Company's profitability will achieve multiple-fold growth.

Seeing a new Taiwan, we stand at a major turning point

Before discussing our business, I would first like to share an observation with shareholders. In 2025, I have become increasingly aware that there are two Taiwans in the world. One is the Taiwan on the island where we live, with a population of 23 million, an area of 36,000 square kilometers, and a GDP of approximately US\$900 billion. The other is the Taiwan of the world, where Taiwanese enterprises have established factories in 24 countries, employ hundreds of thousands of people, and build the world's most advanced semiconductor fabs and AI servers. TSMC, Hon Hai, MediaTek, Wistron, and Quanta Computer alone recorded combined revenue of more than US\$500 billion in 2025. TSMC has committed US\$165 billion in investment in the United States, representing the largest foreign direct investment project in U.S. history, including the construction of six wafer fabs and two advanced packaging facilities in Arizona. Hon Hai operates 233 locations across 24 countries worldwide and recorded revenue of approximately US\$258.9 billion in 2025, making it the world's largest electronics manufacturing services provider.

From the perspective of economic influence, the "Taiwan of the world" already appears to be larger than the "Taiwan island." Taiwan is no longer merely a geographical concept, but an indispensable part of the global AI infrastructure. As data centers are being built around the world and every AI application is operating, Taiwanese enterprises are behind them. As investors in Taiwan, our shareholders are participating in the growth of both Taiwans through Good Finance Securities.

As a financial institution with 38 years of presence in Taiwan, we must not only serve the Taiwan on the island well, but also keep pace with and effectively serve the Taiwan of the world. We must also expand outward alongside the industries rooted here and provide quality services to entrepreneurs and business leaders.

8 trillion, 100 trillion, and 7 million

Let me use three figures to describe the era in which we live.

NT\$8 trillion - This is the level Taiwan's excess savings are expected to reach in 2026. According to estimates by the Directorate-General of Budget, Accounting and Statistics, Taiwan's excess savings reached a record high of NT\$4.79 trillion in 2025 and are expected to reach NT\$8 trillion in 2026. This figure exceeded NT\$3 trillion three years ago and surpassed NT\$4 trillion two years ago. The money saved by Taiwanese people far exceeds the amount that domestic investment can absorb. Together with nearly NT\$60 trillion in bank deposits and more than NT\$36 trillion in life insurance assets, a combined total of over NT\$96 trillion in capital remains parked in investments generating annualized returns of only 1-2%. Taiwan ranks fifth globally in per capita net financial assets held by households, behind only the United States, Switzerland, Singapore, and Denmark. However, most of this wealth is quietly shrinking under inflationary pressure, and Taiwan needs better wealth management solutions.

NT\$100 trillion - In 2025, the total market capitalization of Taiwan's listed companies exceeded NT\$100 trillion for the first time, ranking approximately seventh to eighth in the world. More than NT\$50 trillion in market capitalization has been added over the past five years. Taiwan's capital market has become one of the most active markets in the world. The average daily trading value on the Taiwan Stock Exchange and the Taipei Exchange recently exceeded NT\$1 trillion.

7 million - In 2025, the number of active trading customers in Taiwan approached 7 million, while the total number of securities accounts reached 13.77 million, equivalent to 60% of Taiwan's population. Six out of every ten people in Taiwan participate in wealth accumulation through the securities market.

Taken together, these three figures tell us one thing: Taiwan has some of the world's most abundant savings, one of the most active capital markets, and one of the largest investor populations, yet much of the capital has still not found suitable destinations. This presents tremendous opportunities for us. As an integrated securities firm with strong investment capabilities and the ability to manage risks effectively, I believe we are entering the best era for our development. The opportunity is evident: whoever can help Taiwanese households' savings achieve better returns will be able to build a great financial enterprise. The responsibility is even more profound, as behind this capital lie the trust and expectations of countless families, including retirement funds, education funds, and lifelong savings.

Our Investments: Beneficiaries of the Five-Layer AI Cake

Jensen Huang, founder of NVIDIA, proposed the "five-layer cake" architecture for AI infrastructure in early 2026. From bottom to top, the layers consist of Energy, Chips, Infrastructure (namely AI factories), Models, and Applications. He stated that humanity has currently invested hundreds of billions of USD. The scale of capital expenditures driving all of this is astonishing. In 2025, AI infrastructure capital expenditures by global hyperscalers, including Amazon, Google, Microsoft, and Meta, were estimated at between US\$350 billion and US\$405 billion. In 2026, the total amount of announced plans reached as high as US\$660 billion to US\$700 billion, representing annual growth of approximately 60%. Jensen Huang estimates that by the end of this decade, annual global data center expenditures will reach US\$3 trillion to US\$4 trillion.

This framework helps us understand why the investment portfolio we hold is so important. The companies in which we invest are positioned precisely within the first three layers of this five-layer cake, namely the hardware infrastructure layers with the highest costs and deepest barriers to entry.

In the second layer, the Chips layer, TSMC manufactures nearly all AI accelerator chips in the world, including NVIDIA's Blackwell and the upcoming Rubin GPUs. Advanced process technologies below 7 nanometers accounted for 74% of TSMC's wafer revenue in 2025. In the third layer, the Infrastructure layer, Hon Hai secured approximately 40% of the orders for NVIDIA GB200 NVL72 racks.

Returning to our investment portfolio. Our holdings in TSMC, Hon Hai, and MediaTek are not random stock investments, but infrastructure companies underpinning the AI revolution. They are occupying the most advantageous industry positions in the global market, participating in the construction of global data centers, and supporting the rapid development of humanity in the fields of artificial intelligence, autonomous driving, and robotics. Whenever one dollar is spent anywhere in the world on AI infrastructure construction, a considerable portion flows into Taiwan's supply chain, and ultimately into the companies in which we hold investments.

Investment results

Good Finance Securities proprietary trading business includes an investment portfolio of stocks and bonds. As of the end of 2025, the total proprietary trading position amounted to NT\$11.557 billion, comprising NT\$10.639 billion in stocks and NT\$917 million in bonds. In 2025, our investment portfolio generated total gains of NT\$2.198 billion, including dividends, interest income, realized gains, and unrealized gains.

Market value at the end of the year (Unit: NT\$ million)

Market value at the end of the year						
Unit: NT\$ million	2020	2021	2022	2023	2024	2025
Stocks	4,361	5,143	3,986	5,661	9,241	10,639
Bonds	258	259	632	244	1,738	917
Total	4,619	5,402	4,618	5,905	10,979	11,557

Stock investment portfolio

Shares	Corporate	Cost of repurchase	2025.12.31 Market Value	Market Value %
1,665,000	TSMC ①	1,138,915,763	2,580,750,000	23.9%
8,900,000	Foxconn ②	1,298,877,102	2,051,450,000	19.0%
-	ETF of Taiwan Stock Exchange	969,494,500	1,930,396,600	17.9%
18,520,000	DACIN ③	553,391,700	1,168,612,000	10.8%
650,000	MediaTek	656,531,000	929,500,000	8.6%
24	BRK	192,398,090	569,505,657	5.3%
	Others	1,509,424,143	1,663,195,206	15.5%
	Total	6,248,842,298	10,782,012,863	100.0%

On June 28, 2024, an accounting classification transfer was carried out from FVOCI to FVPL.

① The cost of the portion of TSMC holdings prior to the transfer was NT\$798,364,500.

② The cost of the portion of Hon Hai holdings prior to the transfer was NT\$811,152,539.

③ Including the positions held by subsidiaries, the acquisition cost was based on the original investment cost.

2025 was another prosperous year for the global capital markets. The TAIEX rose by 25.74% for the year, closing at a record high of 28,963 points, with an annual increase of 5,928 points. This marked the third consecutive year with gains exceeding 20%. The U.S. S&P 500 rose by approximately 17% for the year, also marking the third consecutive year of double-digit returns.

However, the year was far from calm. In early April, the United States announced "reciprocal tariffs," including a 32% tariff imposed on Taiwan. On April 9, the Taiwan stock market once fell to 17,307 points, representing a pullback of more than 20% from its peak. That was a period that tested conviction. However, visibility into fundamental order demand, margin expansion, and capital expenditure commitments from hyperscalers ultimately drove one of the strongest rebounds before year-end.

We invest in quality businesses and strong management teams at attractive prices to build an investment portfolio with long-term competitiveness. For shareholders, based on Good Finance Securities' net asset value per share of NT\$31.26 at the end of 2025, the true implication of holding the Company's shares on the year-end date was that shareholders were effectively purchasing a global AI industry chain investment portfolio at an approximate P/B ratio of 0.82, together with a licensed securities company at no additional cost, one that is in the process of transforming into a wealth management-oriented investment bank.

Operating results: Accelerating the Transformation into a Wealth and Asset Management-Oriented Investment Bank

For our operating business, brokerage commission income amounted to NT\$670 million in 2025, representing a decrease of 8.9% from NT\$730 million in 2024, while interest income amounted to NT\$230 million in 2025, representing an increase of 14.3% from NT\$200 million in 2024. Brokerage and interest income amounted to NT\$900 million in 2025, but I believe what is more important is that our wealth management AUM achieved very rapid growth.

Amid the continuing downward trend in brokerage commission rates and margin financing spreads, the entire industry is facing structural transformation pressure. However, I believe this is precisely our greatest opportunity.

Good Finance Securities defines itself as an investment banking-oriented securities firm. Our goal is to transform the Company from a securities firm primarily driven by brokerage commission income into an investment bank centered on wealth management and asset management. Specifically:

Investment: One engine, where our investment leaders guide the research team and data team in identifying the world's greatest enterprises through the philosophy of value investing. From Benjamin Graham's Value Investing 1.0, to Philip Fisher's 2.0, to Warren Buffett and Charlie Munger's 3.0, and to Zhang Lei's 4.0 focused on innovation and growth investing, we are now progressing toward Value Investing 5.0, namely enhancing value investing capabilities through data and AI. The "raw materials" produced by this engine are great companies selected through rigorous screening.

Financing: A product innovation factory combined with self-established diversified channels, where product leaders restructure these raw materials into different forms of products through financial engineering methods, including equity, debt, and derivatives, enabling customers with different risk preferences to participate through their preferred formats. On the surface, the product formats and underlying assets may appear different, but at their core they all consist of the same group of high-quality companies selected through value investing. These products are then delivered to customers through three channels: an offline financial advisory team led by advisory leaders, an online investment advisory APP for the general public, and a platform serving corporate customers.

In 2025, the penetration rate of the Company's online account opening and segregated accounts continued to increase, the new business model exploration at the Taichung City Hall Branch continued to deepen, and the daily "Good Morning" investment briefing of the investment consulting business continued to provide value to customers. In addition, the Company's YouTube channel subscriber count exceeded 40,000. We are building this large-scale wealth management platform step by step. Just like constructing a building, we first lay the foundation and then erect the structural framework. In 2026, we will increase our investment in the recruitment of outstanding talent, product innovation, and technology.

Future prospect

Looking ahead to 2026, uncertainty will remain the norm. The direction of U.S. tariff policies, global geopolitical tensions, and the path of AI technological evolution are all changes that we can neither predict nor control. But as I say every year: what we can control is our own actions.

There are two things we can be certain about.

First, the AI infrastructure wave is still in its early stage, not its final stage. Jensen Huang pointed out that the world still requires trillions of U.S. dollars in infrastructure investment, while hyperscalers have already announced capital expenditure plans approaching US\$700 billion for 2026. Taiwanese enterprises hold an unshakable position in this wave.

Second, the wealth management needs of Taiwanese households are real, urgent, and far from being fully satisfied. The annual addition of nearly NT\$5 trillion to NT\$8 trillion in excess savings, together with NT\$96 trillion of household wealth dormant in low-yield assets, is not a demand that will disappear, but rather one that will continue to expand. Whoever can earn the trust of these households will be able to build a great enterprise.

Our goal is not to become the largest securities firm, but to become the most trusted financial advisor and long-term partner for customers in Taiwan. We are committed to three objectives: achieving leading long-term investment performance, providing product portfolios that effectively help customers achieve their goals, and offering financial advisors who prioritize customers' overall interests. All efforts are for the long term. The most amazing competitive strategy is not to have competitors. As the overall market continues moving toward price-cutting competition, we are striving to forge a different path.

Our vision requires the participation of more like-minded talents. Good Finance Securities is grounded in an entrepreneurial spirit and brings together a group of partners who envision the future and embrace responsibility toward society. If you aspire to find meaning in your work and make an impact in an entrepreneurial environment, we welcome you to join us in building a business together and creating meaningful value.

Welcome to attend the shareholders' meeting!

Welcome all of the shareholders to attend the annual general meeting of Good Finance Securities. Come and take a look at this business, and take a look at your management team.

This is a commitment spanning decades. It is a long journey that will include good years, bad years, smart years, and foolish years, but we will always remain on the path. I hereby call on all of you to spare a little time to carefully reading our letter to shareholders, and also attending the shareholders' meeting to witness the amazing and beautiful journey altogether.

June 17, 2026, We will wait for you at the shareholders' meeting.

Ku-Han Huang, Chairman

Two. Corporate Governance

I. Profile of the Directors, General Manager, Deputy General Managers, Associates, and heads of various departments and branches

(I) 1. Background of Directors and Supervisors

March 31, 2026

Position	Nationality or place of registration	Name	Gender Age	Date of Election (Inauguration)	Term of office	Date first elected	Shareholding at the time of election		Current shareholding		Shareholding of spouse and minor children now		Shares held in the names of others		Main experience (academic)	Concurrent positions in the Company and other companies now	Spouse or relatives within second degree of kinship who are officers, directors or supervisors			Remarks
							Shares	Shareholding ratio	Shares	Shareholding ratio	Shares	Shareholding ratio	Shares	Shareholding ratio			Position	Name	Relationship	
Chairman	R.O.C.	Good Financial Technology Co., Ltd. Representative: Ku-Han Huang	Male 51-60	2023.05.24	3 years	2018.11.09 2018.11.09	114,670,000 10,745,000	36.84% 3.45%	148,673,000 0	47.59% 0%	- 583,044	- 0.19%	None 1,220,000	None 0.39%	Department of Japanese Language and Culture, Fu Jen Catholic University Deputy Manager, Yuanta Securities Director, CTBC Hong Kong Chairman, Innovation Universe Co., Ltd. Chairman, Software Innovation Co., Ltd. Chairman, Asia Value Capital (Hangzhou) Ltd.	Chairman, Asia Value Cornerstone Capital Co., Ltd. Chairman, Asia Value Capital Co., Ltd. Chairman, Infinite Bliss Financial Technology Co., Ltd. Chairman, Good Financial Technology Co., Ltd. Chairman, Shanghai Kuhan Investment Management Ltd. Chairman, Asia Value Capital (Shanghai) Ltd. Chairman, Aman Co., Ltd. Director, Good Innovation Co., Ltd.	None	None	None	
Vice Chairman	R.O.C.	Ming-Li Chuang	Female 61-70	2023.05.24	3 years	2018.05.29	8,992,202	2.89%	8,992,202	2.88%	0	0.00%	None	None	Master in Political Science, Chinese Culture University Chairman, Good Finance Securities Co., Ltd. Chairman, Ta Chun Development and Construction Co., Ltd. Director, Tsao Ching Construction Co., Ltd.	Chairman, Cheer Time Enterprise Co. Ltd. Director, Ta Ching Construction Co., Ltd. Supervisor, Hsin Ching Construction Co., Ltd. Director, Medigen Biotechnology Corp. Chairman, Hsin I Tai Investment Co., Ltd. Chairman, TSC Electronic Co., Ltd.	None	None	None	
Director	R.O.C.	Fu-Liang Wan	Male 61-70	2023.05.24	3 years	2018.11.09	0	0.00%	75,000	0.02%	0	0.00%	None	None	MBA, Saint Louis University Deputy General Manager, Underwriting Dept. of Good Finance Securities Co., Ltd.	Senior Deputy General Manager, Investment Bank Dept., Good Finance Securities	None	None	None	

Director	R.O.C.	Good Financial Technology Co., Ltd. Representative: Yang-Juh Lai	Male 61-70	2023.05.24	3 years	2018.11.09 2023.05.24	114,670,000 0	36.84% 0%	148,673,000 0	47.59% 0%	- 0	- 0.00%	None None	None None	Master, Institute of Electrical Engineering, National Cheng Kung University Head of Internet Technology Team, Information and Communication Institute, ITRI	Chairman & General Manager, Hyweb Technology Co., Ltd. Chairman of Beijing Hyweb Software Co., Ltd. Director of Hyweb Global Technology Co., Ltd. Chairman, Hyweb Knowledge Co., Ltd. Director, Hyweb Information Co., Ltd. Director of Yotta Technology Co., Ltd. Chairman, Eculture Company Limited Chairman, FunGii Publishing Co., Ltd. Executive Director of Taiwan Digital Publishing Forum Director of Hyweb Knowledge (Hong Kong) Limited	None	None	None
Director	R.O.C.	Good Financial Technology Co., Ltd. Representative: Chen-Hai Wang	Male 61-70	2023.05.24	3 years	2018.11.09 2026.03.11 (Note 3)	114,670,000 0	36.84% 0%	148,673,000 0	47.59% 0%	- 0	- 0.00%	None None	None None	MBA, University of Montana Senior Deputy General Manager, China Development Financial Holding Corporation Director, China Development Financial Holding Corporation General Manager, Grand Cathy Securities	Chairman, Good Innovation Co., Ltd.	None	None	None
Director	R.O.C.	Good Financial Technology Co., Ltd. Representative: Wei-Chung Li	Male 51-60	2023.05.24	3 years	2018.11.09 2023.05.24	114,670,000 0	36.84% 0%	148,673,000 0	47.59% 0%	- 0	- 0.00%	None None	None None	Department of Electrical Engineering, Tahua Junior College of Technology (major in electronics and communications) M.S. in Electrical and Electronic Engineering, California State University, Long Beach (CSULB), USA Deputy General Manager of R&D Department, Trend Micro Inc. Supervisor, Mobile Action Technology Inc.	Chairman, Weijun Investment Co., Ltd. Director, Rongmao Investment Corporation Director, Trend Education Foundation Supervisor of Pei De Foods (Stock) Co., Ltd.	None	None	None

Director	R.O.C.	Good Financial Technology Co., Ltd. Representative: Ming-Dao Gu	Male 41-50	2023.05.24	3 years	2018.11.09 2023.05.24	114,670,000 0	36.84% 0%	148,673,000 0	47.59% 0%	- 0	- 0.00%	None None	None None	M.S. in Computer Engineering, Cornell University, USA MBA, University of California, Los Angeles, USA Senior Consultant, HP Technology Solutions Senior Product Manager, ArcSoft Senior Product Manager, New Products and Strategy, Baidu Research Institute, USA Senior Product Manager, Criteo	Global Product Director, AI and Advertising Products, LinkedIn, Microsoft	None	None	None
Director	R.O.C.	Good Financial Technology Co., Ltd. Representative: Hsuan-Ching Peng	Male 41-50	2023.05.24	3 years	2018.11.09 2018.11.09	114,670,000 0	36.84% 0%	148,673,000 0	47.59% 0%	- 0	- 0.00%	None None	None None	Bachelor, Department of Biology and Public Health of Duke University Business Planner and Analyst, Citibank Founder of TEDxTaipei	Director of Suzhou Wangjing Hotel Management Co., Ltd. Chairman, Mei Hau Yu Jing Investment Co., Ltd. Director of Pei Xi Enterprise Co., Ltd. Chairman, Cheng Yu Sheng Investment Co., Ltd. General Manager, Good Land Co., Ltd.	None	None	None
Independent director	R.O.C.	Keng-Chou Lin	Male 61-70	2023.05.24	3 years	2023.05.24	0	0.00%	0	0.00%	0	0.00%	None	None	PhD in Accounting, National Chengchi University CPA, KPMG Supervisor, ASEC International Corporation Director, Posiflex Technology, Inc. Independent Director, Answer Technology Co., Ltd. Independent Director, KINGLAND PROPERTY CORPORATION LTD.	CPA of Kuang Ho Accounting Firm Chairman, Hsing Chou Investment Co., Ltd.	None	None	None

Independent director	R.O.C.	Ching-Hsiu Chen	Male 61-70	2023.05.24	3 years	2023.05.24	0	0.00%	0	0.00%	0	0.00%	None	None	Doctorate Program of Law, Institute of Law, National Taiwan University Chairman, Law and Regulation Commission, Taipei City Government Partner, Chen Shyuu & Pun Law Offices Adjunct Associate Professor, Department of Accounting, Soochow University Deputy Secretary General, Taiwan Administrative Law Association Independent Director, Hua Nan Financial Holdings Co., Ltd. (2010.07.01~2019.06.30)	Professor, Department of Law, Soochow University Director, Taiwan Administrative Law Association Director, Chinese Tax Research Center Director, Weng Yuan-Chang Foundation Director, Chong-De Cultural and Educational Foundation	None	None	None
Independent director	R.O.C.	Li-Yi Cheng	Female 41-50	2023.05.24	3 years	2023.05.24	0	0.00%	0	0.00%	0	0.00%	None	None	B.S. in International Business, National Taiwan University MBA, University of Washington Associate Marketing Director, Johnson & Johnson China Chief Brand Officer, Royal FrieslandCampina China Chief Marketing Officer, Nutricia Early Life Nutrition Management (Shanghai) Co.,Ltd. Director of Marketing, ABBOTT LABORATORIES SERVICES LLC TAIWAN BRANCH (U.S.A.)	Vice President, Nutricia Pharmaceutical (Wuxi) Co., Ltd.	None	None	None

Note 1: The former representative of Good Financial Technology Co., Ltd. was Dun-Hsi Yang, and Wei-Jen Li was reappointed as the representative on July 6, 2023.

Note 2: Wei-Jen Li served as the independent director of the Company (with the office from June 19, 2020 to May 23, 2023), became a director of the Company on July 6, 2023, and resigned on September 18, 2024.

Note 3: Chen-Hai Wang, representative of Good Financial Technology Co., Ltd., assumed office as a director of the Company on March 11, 2026.

2. Major shareholders of institutional shareholders

March 31, 2026

Name of corporate shareholder	Major shareholders of institutional shareholders
Good Financial Technology Co., Ltd. (47.59%)	Good Finance 1 Industry Co., Ltd. (100.00%)

3. If the major shareholder of a corporate shareholder is a corporate, the major shareholder:

March 31, 2026

Name of corporate	Major shareholders of institutional shareholders
Good Finance 1 Industry Co., Ltd.	Asia Value Capital Co., Ltd. (100%)

4. Expertise of Directors and the Diversity and Independence of the Board of Directors

(1) Disclosure of information on the professional qualifications of Directors and independence of Independent Directors:

Qualifications Name	Professional qualifications and experience	Independence	Number of other public companies in which the independent director concurrently serve as an independent director.
Ku-Han Huang	<ol style="list-style-type: none"> 1. Possessed the work experience required for the Company's business operations such as finance, investment, and management. 2. Not in circumstances described in Article 30 of the Company Act and subparagraphs, Article 53 of the Securities and Exchange Act. 	-	0
Ming-Li Chuang	<ol style="list-style-type: none"> 1. Possessed the work experience required for the Company's business operations such as finance, industrial experience, and management. 2. Not in circumstances described in Article 30 of the Company Act and subparagraphs, Article 53 of the Securities and Exchange Act. 	-	0
Fu-Liang Wan	<ol style="list-style-type: none"> 1. Possessed the work experience required for the Company's business operations such as expertise in securities and management. 2. Not in circumstances described in Article 30 of the Company Act and subparagraphs, Article 53 of the Securities and Exchange Act. 	-	0
Yang-Juh Lai	<ol style="list-style-type: none"> 1. Possessed the work experience required for the Company's business operations such as industrial experience, management and information technology. 2. Not in circumstances described in Article 30 of the Company Act and subparagraphs, Article 53 of the Securities and Exchange Act. 	-	0
Chen-Hai Wang (Notes)	<ol style="list-style-type: none"> 1. Possessed the work experience required for the Company's business operations such as management and information technology. 2. Not in circumstances described in Article 30 of the Company Act and subparagraphs, Article 53 of the Securities and Exchange Act. 	-	0
Wei-Chung Li	<ol style="list-style-type: none"> 1. Possessed the work experience required for the Company's business operations such as management and information technology. 2. Not in circumstances described in Article 30 of the Company Act and subparagraphs, Article 53 of the Securities and Exchange Act. 	-	0
Ming-Dao Gu	<ol style="list-style-type: none"> 1. Possessed the work experience required for the Company's business operations such as management and information technology. 2. Not in circumstances described in Article 30 of the Company Act and 	-	0

	subparagraphs, Article 53 of the Securities and Exchange Act.		
Hsuan-Ching Peng	<ol style="list-style-type: none"> 1. Possessed the work experience required for the Company's business operations such as finance, investment, industrial experience, and management. 2. Not in circumstances described in Article 30 of the Company Act and subparagraphs, Article 53 of the Securities and Exchange Act. 	-	0
Keng-Chou Lin	<ol style="list-style-type: none"> 1. Serves as a member of the Company's Audit Committee and previously served as a director of Posiflex Technology, Inc., an independent director of Answer Technology Co., Ltd., and an independent director of KINGLAND PROPERTY CORPORATION LTD. Currently serves as a CPA of Guang He CPA Firm and Chairman of Hsing Chou Investment Co., Ltd., and possesses work experience required for the Company's business operations in finance, accounting, investment, and business management. 2. Not in circumstances described in Article 30 of the Company Act and subparagraphs, Article 53 of the Securities and Exchange Act. 	<ol style="list-style-type: none"> 1. The individual, spouse, and relative within the second degree of kinship is not a director, supervisor, or employee of the Company or any of its affiliates. 2. The individual, spouse, and relative within the second degree of kinship do not hold shares (or have shares held in names of third parties) of the Company. 3. The individual is not a director, supervisor, or employee of a company that has special relations with the Company. 4. The individual has not provided business, legal, financial, or accounting services to the Company or its affiliates in the last two years. 	0
Ching-Hsiu Chen	<ol style="list-style-type: none"> 1. A member of the Company's Audit Committee. He used to be a partner lawyer of Chen Shyuu & Pun Law Offices, an independent director of Hua Nan Financial Holding Co., Ltd., and currently serves as a full-time professor at the Department of Law, Soochow University. possessing work experience. in law and business management required by the Company's business. 2. Not in circumstances described in Article 30 of the Company Act and subparagraphs, Article 53 of the Securities and Exchange Act. 	<ol style="list-style-type: none"> 1. The individual, spouse, and relative within the second degree of kinship is not a director, supervisor, or employee of the Company or any of its affiliates. 2. The individual, spouse, and relative within the second degree of kinship do not hold shares (or have shares held in names of third parties) of the Company. 3. The individual is not a director, supervisor, or employee of a company that has special relations with the Company. 4. The individual has not provided business, legal, financial, or accounting services to the Company or its affiliates in the last two years. 	0
Li-Yi Cheng	<ol style="list-style-type: none"> 1. Member of the Audit Committee; previously served as the Brand Director of FrieslandCampina China Co., Ltd., and the Chief Marketing Officer, Nutricia Early Life Nutrition Management (Shanghai) Co.,Ltd., the Director of Marketing, ABBOTT LABORATORIES SERVICES LLC TAIWAN BRANCH (U.S.A.), and the Vice President, Nutricia Pharmaceutical (Wuxi) Co., Ltd., among others; and possesses work experience in marketing, business management, and other areas required for the Company's operations. 2. Not in circumstances described in Article 30 of the Company Act and subparagraphs, Article 53 of the Securities and Exchange Act. 	<ol style="list-style-type: none"> 1. The individual, spouse, and relative within the second degree of kinship is not a director, supervisor, or employee of the Company or any of its affiliates. 2. The individual, spouse, and relative within the second degree of kinship do not hold shares (or have shares held in names of third parties) of the Company. 3. The individual is not a director, supervisor, or employee of a company that has special relations with the Company. 4. The individual has not provided business, legal, financial, or accounting services to the Company or its affiliates in the last two years. 	0

Note: Chen-Hai Wang, representative of Good Financial Technology Co., Ltd., assumed office as a director of the Company on March 11, 2026.

(2) Diversity and independence of the Directors:

A. Diversity of the Directors: Pursuant to Article 20 of the Company's Corporate Governance Best Practice Principles state that the composition of the Board of Directors shall be determined by taking diversity into consideration. It is advisable that an appropriate policy on diversity based on the company's business operations, operating dynamics, and development needs be formulated and include, without being limited to, the following two general standards:

- I. Basic requirements and values: Gender, age, nationality, culture, etc.
- II. Professional knowledge and skills: A professional background (e.g., law, accounting, industry, finance, marketing, technology), professional skills, and industry experience.

The Board of Directors as a whole shall possess the following abilities:

- I. Ability to make operational judgments.
- II. Ability to perform accounting and financial analysis.
- III. Ability to conduct management administration.
- IV. Ability to conduct crisis management.
- V. Knowledge of the industry.
- VI. An international market perspective.
- VII. Ability to lead.
- VIII. Ability to make policy decisions.
- IX. Knowledge and ability for risk management.

Name of Director	Director Knowledge and Skills	Required Abilities of the Board of Directors												
		Gender	Age Group			Ability to make operational judgments	Ability to perform accounting and financial analysis	Ability to conduct management administration	Ability to conduct crisis management	Knowledge of securities and derivatives	An international market perspective	Ability to lead	Decision making	Knowledge and ability for risk management
			41-50	51-60	61-70									
Ku-Han Huang	Finance, investment, business management	Male		✓		✓	✓	✓	✓	✓	✓	✓	✓	✓
Ming-Li Chuang	Finance, industry experience, business management	Female			✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Fu-Liang Wan	Securities expertise, business management	Male			✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Chen-Hai Wang (Note 3)	Finance, investment, industry experience, business management	Male			✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Yang-Juh Lai	Industrial experience, business management, information technology	Male			✓	✓		✓	✓		✓	✓	✓	✓
Wei-Chung Li	Business management,	Male		✓		✓		✓	✓		✓	✓	✓	✓

	information technology												
Ming- Dao Gu	Business management, information technology	Male	✓		✓		✓	✓		✓	✓	✓	✓
Hsuan- Ching Peng	Finance, investment, industry experience, business management	Male	✓		✓	✓	✓	✓	✓	✓	✓	✓	✓
Keng- Chou Lin	Finance, Accounting, Investment, Business Management	Male			✓	✓	✓	✓		✓	✓	✓	✓
Ching- Hsiu Chen	Law and management administration.	Male			✓	✓		✓	✓		✓	✓	✓
Li-Yi Cheng	Marketing and management administration.	Female	✓		✓		✓	✓		✓	✓	✓	✓

Note 1: All Directors of the Company are citizens of the Republic of China. Among them, 3 Directors are aged between 41 and 50, 2 Directors are aged between 51 and 60, and 6 Directors are aged between 61 and 70.

Note 2: The Company's Independent Directors, Keng-Chou Lin, Ching-Hsiu Chen, and Li-Yi Cheng, have served as the Company's Independent Directors for less than 3 years of service.

Note 3: Chen-Hai Wang, representative of Good Financial Technology Co., Ltd., assumed office as a director of the Company on March 11, 2026.

B: Independence of the Board of Directors: The Company's Board of Directors is independent.

Item	Description of Independence
1. Number and ratio of Independent Directors	1. The Company has 3 Independent Directors, which meets the criteria in Article 14-2 of the Securities and Exchange Act with 3/11 of the Directors (not less than 1/5 of the total number of Directors).
2. Compliance with Article 26-3, Paragraph 3 of the Securities and Exchange Act: Except where the Competent Authority has granted approval, the following relationships may not exist among more than half of a company's directors: I. A spousal relationship. II. A familial relationship within the second degree of kinship.	Such relationship do not exist.
3. Compliance with Article 26-3, Paragraph 4 of the Securities and Exchange Act: Except where the Competent Authority has granted approval, a company shall have at least one or more supervisors, or one or more supervisors and directors, among whom no relationship under the preceding subparagraphs exists.	The Company does not appoint supervisors, and the Audit Committee is composed of three Independent Directors who retain their independence. Therefore, such relationship do not exist.

- (3) The Company currently has 11 seats of directors, including 2 female directors, accounting for 18% of all directors. The female directors currently account for less than 1/3 of the board. The previous board was selected based on the previous board's emphasis on business experience and professional skills. For the election of the next Board of Directors, the Company will increase the percentage of female directors by using a variety of selection channels and talent databases.

(II) Information about Directors, General Managers, Deputy General Managers, Supervisors of All the Company's Divisions and Managers of All Divisions and Branch Units

March 31, 2026

Position	Nationality	Name	Gender	Date elected / appointed	Shareholding		Shares held by spouse and underage children		Shares held in the names of others		Main experience (academic)	Concurrent positions in other companies	Spouse or relatives within the second degree of kinship acting as managers				
					Shares	Shareholding ratio	Shares	Shareholding ratio	Shares	Shareholding ratio			Position	Name	Relationship		
General Manager	R.O.C.	Ta-Hsiu Chuang	Male	2003.11.1	69,152	0.02%	0	0.00%	None	None	Department of Economics, National Taiwan University Assistant Vice President, Brokerage Dept. of Good Finance Securities Co., Ltd.	None	None	None	None	None	None
Chairman Office Senior Deputy General Manager Acting Chief Corporate Governance Officer	R.O.C.	Huei-Cheng Shen	Male	2002.10.11	81,276	0.03%	2,550	0.00%	None	None	Department of Law, National Chenchi University Assistant Vice President, Audit Office of Good Finance Securities Co., Ltd. Supervisor, Vision Living CO., LTD.	Director, Ado Optronics Corporation Independent Director, Cheer Time Enterprise Co. Ltd. Independent Director, HiTi Digital(Taipei), Inc. Director, East-Tender Optoelectronics Corporation Independent Director, Image Match Design Inc. Director, Logah Technology Corporation Director, Toplus Global Co., Ltd. Director, Patec Precision Industry Co., Ltd. Supervisor, Sheng Feng Capital Co., Ltd. Supervisor, Tai Feng Capital Co., Ltd. Supervisor, Yi Chen Capital Co., Ltd.	None	None	None		

Investment Bank Dept. Senior Deputy General Manager	R.O.C.	Fu-Liang Wan	Male	2002.10.11	75,000	0.02%	0	0.00%	None	None	MBA, Saint Louis University Deputy General Manager, Underwriting Dept. of Good Finance Securities Co., Ltd.	None	None	None	None
Operation Dept. Senior Deputy General Manager	R.O.C.	Hsueh-Li Yu	Female	2021.4.29	183,000	0.06%	0	0.00%	None	None	Bachelor, Division of Economic and Financial Law, Dept. of Law of National Taiwan University Master of Laws, Boston University Chief Legal Officer, Chief Compliance Officer and Chief Operating Officer of PCA Life Assurance Co., Ltd. Practicing lawyer	None	None	None	None
Operation Dept. Deputy General Manager	R.O.C.	Yu-Ling Lung	Female	2022.8.25	56,000	0.02%	0	0.00%	None	None	Bachelor, Department of Accounting of National Taiwan University Manager of financial services and risk management services, Audit Service Department, PricewaterhouseCoopers Taiwan Financial Controls & Risk Senior Manager, Central Finance Unit, Standard Chartered Bank Assistant Vice President, Forensic Integrity and Compliance Service Department, Ernst & Young, Taiwan	None	None	None	None

Chairman Office Deputy General Manager	R.O.C.	Shih-Hsuan Huang	Male	2021.4.29	250,000	0.08%	774,000	0.25%	None	None	Bachelor, University of Washington MBA, Wharton School of the University of Pennsylvania Deputy General Manager of Financial Wealth Management Products Amazon Senior Product Manager	None	None	None	None
Chairman Office Deputy General Manager	R.O.C.	Yu-Teh Shen	Male	2020.7.15	388,000	0.12%	120,000	0.04%	None	None	Bachelor, Department of Electrical Engineering of National Taiwan University Master in Computer Science and Information Engineering, National Taiwan University Master in Media Art & Technology, MIT Founder of Storysense Computing Limited	Director of Storysense Computing Limited Supervisor, Yi Luo Capital Co., Ltd. Director, Ji Yun Technology Co., Ltd. Chairman of Storysense Computing, Inc. (British Virgin Islands)	None	None	None
Audit Dept. Deputy General Manager	R.O.C.	Hsiu-Chu Chen	Female	2017.4.26	0	0.00%	0	0.00%	None	None	Department of International Trade, Open College Affiliated with National Taipei University of Business Manager, Audit Office of Good Finance Securities Co., Ltd.	None	None	None	None
Audit Dept. Deputy General Manager	R.O.C.	Chun-Han Chuang	Female	2025.7.21	9,000	0.00%	0	0.00%	None	None	Graduate Institute of Accounting, National Cheng Kung University Assistant Vice President, PwC Taiwan Conuntry / Governance FA\ GSF Internal Audit Audit Manager, Standard Chartered Bank	None	None	None	None

Financial Product Dept. Deputy General Manager	R.O.C.	Pei-Chi Hung	Female	2021.7.1	82,500	0.03%	16,143	0.01%	None	None	Graduate Institute of Law, National Chenchi University Executive Director, J.P. Morgan Asset Management	Director, Good Finance PE Co., Ltd. Brand Manager, Good Finance PE Co., Ltd.	None	None	None
Finance Dept. Deputy General Manager	R.O.C.	Yi-bai Chen	Male	2023.4.6	0	0.00%	0	0.00%	None	None	MBA, Carnegie Mellon University CFO, Mastercard China Deputy General Manager, Finance Department, Greater China Region, VISA	None	None	None	None
Legal Affairs Department Deputy General Manager	R.O.C.	Wei-Chun Lin	Male	2024.1.1	30,000	0.01%	0	0.00%	None	None	Juridical Division, Department of Law, National Chung Hsing University Assistant Vice President of Legal Compliance Department, O-Bank Vice President, Legal Department, HSBC Director of Uniforce Technology Corporation	Director, Alforce Intelligence Corp.	None	None	None
Media Department Deputy General Manager	R.O.C.	Shen-Chun Lo	Male	2020.4.1	438,000	0.14%	0	0.00%	517,500	0.17%	Computer Animation Group, Department of Arts and Crafts of Fu-Hsin Trade & Arts School Co-founder of WeFx Studio Advisor, Innovative Experiment Special Zone Promotion Team of Zhongxing New Village, Executive Yuan Distinguished Associate Professor, National Taiwan Normal University Independent Director of Good Finance Securities Co., Ltd.	Person in charge of JL Design Lab Inc. Person in charge of Creative Generation Co., Ltd.	None	None	None

Technological Product Dept. Senior Assistant Vice President	R.O.C.	Tzu-Hui Lin	Female	2025.3.1	30,000	0.01%	0	0.00%	None	None	Bachelor, Department of Foreign Languages and Literature, National Taiwan University Master of Science in Computer Science, Santa Clara University Assistant Vice President of R&D, Trend Micro Incorporated	None	None	None	None
Human Resource Dept. Assistant Vice President	R.O.C.	Wan-Chi Fang	Female	2023.1.1	72,000	0.02%	0	0.00%	None	None	Bachelor of Science in Psychology/Business Administration from the University of Southern California (USC) LVMH Fashion Group China Head of HR - SSC, Talent Acquisition and Employer Branding LVMH Fashion Group – KENZO Shanghai Head of HR	Human Resources Manager, Good Finance PE Co., Ltd.	None	None	None
Customer Partner Dept. Assistant Vice President	R.O.C.	Hsi-Jung Kuo	Male	2023.4.1	35,000	0.01%	0	0.00%	None	None	Bachelor, Department of Money and Banking, National Chengchi University Branch Manager, Dashin Securities Branch Manager, IBF Securities Co., Ltd.	None	None	None	None
Investment Dept. Manager	R.O.C.	Ching-Yao Huang	Male	2022.8.1	255,000	0.08%	60,000	0.02%	None	None	Bachelor, Department of Economics, National Taiwan University Senior Researcher and Trader, Investment Dept., Good Finance Securities	Director, Good Finance PE Co., Ltd. Chairman, Yuan Zhen Co., Ltd.	None	None	None
Finance Dept. Accounting Manager	R.O.C.	Shih-Hsiang Lin	Male	2025.7.16	4,000	0.00%	0	0.00%	None	None	Department of Accounting, Soochow University Deloitte Manager & Zhongxin United Accounting Firm	None	None	None	None

Risk Management Dept. Manager	R.O.C.	Chien-Jen Cheng	Male	2022.11.1	30,000	0.01%	0	0.00%	None	None	Master in Finance Operation, National Kaohsiung First University of Science and Technology Deputy Manager, Treasure Division, Jih Sun Bank Assistant Project Manager, Deputy Manager of Risk Management Department, Jih Sun Securities	None	None	None	None
Head of Futures Brokerage Trading	R.O.C.	Chi-Hsun Yang	Male	2024.1.1	0	0.00%	0	0.00%	None	None	Bachelor, Department of Economics, Fu Jen Catholic University Xingen (Taiwan) Managing Director Citibank Branch Business Manager HSBC Client Manager	None	None	None	None
Settlement and delivery supervisor	R.O.C.	Shu-Min Chung	Female	2024.11.8	2,024	0.00%	0	0.00%	None	None	MBA, Department of Business Administration, Chihlee University of Technology Senior Manager, IBF Securities Head of Settlement, CTBC Securities Co., Ltd	None	None	None	None
Futures Clearing and Settlement Supervisor	R.O.C.	Ming-Chu Fan	Female	2014.7.1	1,000	0.00%	0	0.00%	None	None	Yu Da High School of Commerce and Home Economics	None	None	None	None
Fullsun Branch Manager	R.O.C.	Cong-Chi Yang	Male	2023.4.1	0	0.00%	0	0.00%	None	None	Department of Industrial Management, Lunghwa Junior College of Engineering Management Department, CHINA RYODEN COMPANY LTD. PW Securities Co., Ltd.	None	None	None	None
Luzhou Branch Manager	R.O.C.	Chia-Yen Chuang	Female	2019.5.23	0	0.00%	0	0.00%	None	None	Department of Business of Blessed Imelda's School	None	None	None	None

Taishan Branch Manager	R.O.C.	Hsia-Ping Chen	Female	2015.1.1	4,000	0.00%	0	0.00%	None	None	Master of Business Administration, Longhua University of Science and Technology	None	None	None	None
Kaohsiung Branch Manager	R.O.C.	Hui-Hao Tsai	Female	2022.11.1	0	0.00%	18,000	0.01%	None	None	Master of Financial Management, Cheng Shiu University Assistant Vice President of Financial Services at Huannan Securities	None	None	None	None
Miaoli Branch Manager	R.O.C.	Yu-Chen Hsu	Female	2019.5.1	55	0.00%	0	0.00%	None	None	Department of Industrial Management of National United University	None	None	None	None
Zhongli Branch Manager	R.O.C.	Yu-Feng Liu	Male	94.8.26	0	0.00%	745	0.00%	None	None	Department of Economics, Chinese Culture University	None	None	None	None
Keelung Branch Manager	R.O.C.	Chi-Chao Chen	Male	2017.4.1	0	0.00%	0	0.00%	None	None	In-service Master Program, Department of Economics of Soochow University	None	None	None	None
Tainan Branch Manager	R.O.C.	Yu-Wen Chen	Male	2025.9.1	0	0.00%	0	0.00%	None	None	EMBA, National Sun Yat-sen University Graduate Institute of Finance, National Kaohsiung First University of Science and Technology Department of Business Management, National Sun Yat-sen University Vice President, Nomura Asset Management Taiwan Assistant Vice President, Citibank Assistant Vice President, UBS	None	None	None	None

Shizheng Branch Manager	R.O.C.	Dun-Hsi Yang	Male	2021.7.1	120,000	0.04%	300,000	0.10%	3,665,000	1.17%	Bachelor in Business Administration, Chung Yuan Christian University Wealth Management Manager, Standard Chartered Bank Taichung Branch General Manager, South China Region, Asia Value Capital	Chairman, Da Han Capital Co., Ltd.	None	None	None
Zhonghe Branch Manager	R.O.C.	Wei-Chin Huang	Male	2023.11.6	0	0.00%	0	0.00%	None	None	Bachelor, Department of Financial Management, Mingchuan College of Management General Manager of Sales, South China Region, Asia Value Capital General Manager of Sales for Taiwanese Companies, Shenzhen Branch, Fubon Bank (China)	None	None	None	None
Yangmei Branch Manager	R.O.C.	Ching-Yun Chang	Male	2025.12.1	0	0.00%	0	0.00%	None	None	Master's Degree in Management, Yuan Ze University Assistant Vice President, KGI Securities Co., Ltd.	None	None	None	None

Note 1: The changes in Deputy General Managers, heads of departments, and branch managers from January 1, 2025 to February 28, 2026 are described as follows: Chun-Han Chuang, Deputy General Manager of the Audit Dept. (assumed office on July 21, 2025); Yu-Ling Lung, Deputy General Manager of the Audit Dept. (transferred on September 1, 2025); Tzu-Sui Lin, Senior Assistant Deputy General Manager of the Technological Product Dept. (assumed office on March 1, 2025); Yu-Te Shen, Deputy General Manager of the Technological Product Dept. (transferred on March 1, 2025); Shih-Hsiang Lin, Accounting Supervisor of the Finance Dept. (assumed office on July 16, 2025); Yu-Ting Tai, Manager of the Finance Dept. (commenced unpaid leave on August 1, 2025); Mei-Ling Liao, Branch Manager of the Taishan Branch (transferred on November 30, 2025); Hsia-Ping Chen, Branch Manager of the Yangmei Branch (transferred on November 30, 2025); Ching-Yun Chang, Branch Manager of the Yangmei Branch (assumed office on December 1, 2025); Hsia-Ping Chen, Branch Manager of the Taishan Branch (assumed office on December 1, 2025); Ya-Yu Wu, Branch Manager of the Tainan Branch (resigned on May 31, 2025); Jui-Lin Chen, Branch Manager of the Tainan Branch (assumed office on June 1, 2025; transferred on November 30, 2025); Yu-Wen Chen, Branch Manager of the Tainan Branch (assumed office on December 1, 2025); and Meng-Fen Wu, Branch Manager of the Taichung Branch (transferred on April 19, 2025).

(III) If the Chairman and General Manager or equivalent (the highest-ranking manager) of the Company are the same person, spouses or relatives within the first degree of kinship, please disclose the reason, rationality, necessity and responsive measures: None.

II. Remuneration paid to directors, General Managers and Deputy General Managers in the most recent year

(I) Remuneration of Directors (including Independent Directors)

Unit: NT\$ thousand; %

Position	Name	Remuneration to directors								Sum of A, B, C, and D as a percentage of net income				Employee compensation received by directors								The sum of A, B, C, D, E, F, and G and its percentage of net income (%)				Compensation from investees other than subsidiaries, or parent company
		Reward (A)		Retirement pension (B)		Remuneration to directors (C)		Fees for services rendered (D)						Salaries, bonuses, special allowances etc. (E)		Retirement pension (F)		Remuneration to employees (G)								
		The Company	All companies included in the consolidated statements	The Company	All companies included in the consolidated statements	The Company	All companies included in the consolidated statements	The Company	All companies included in the consolidated statements	The Company	All companies included in the consolidated statements	The Company	All companies included in the consolidated statements	The Company	All companies included in the consolidated statements	The Company	All companies included in the consolidated statements	The Company	All companies included in the consolidated statements	The Company	All companies included in the consolidated statements	The Company	All companies included in the consolidated statements	The Company	All companies included in the consolidated statements	
Chairman	Ku-Han Huang	3,840	3,840	0	0	2,759	2,759	70	70	6,669	0.58%	6,669	0.58%	0	0	0	0	0	0	0	0	6,669	0.58%	6,669	0.58%	None
Vice Chairman	Ming-Li Chuang	240	240	0	0	2,759	2,759	50	50	3,049	0.26%	3,049	0.26%	0	0	0	0	0	0	0	0	3,049	0.26%	3,049	0.26%	None
Independent director	Keng-Chou Lin	600	600	0	0	5,518	5,518	140	140	6,258	0.54%	6,258	0.54%	0	0	0	0	0	0	0	0	6,258	0.54%	6,258	0.54%	None
Independent director	Ching-Hsiu Chen	600	600	0	0	5,518	5,518	120	120	6,238	0.54%	6,238	0.54%	0	0	0	0	0	0	0	0	6,238	0.54%	6,238	0.54%	None
Independent director	Li-Yi Cheng	600	600	0	0	5,518	5,518	85	85	6,203	0.54%	6,203	0.54%	0	0	0	0	0	0	0	0	6,203	0.54%	6,203	0.54%	None
Director	Wei-Chung Li	240	240	0	0	2,759	2,759	50	50	3,049	0.26%	3,049	0.26%	0	0	0	0	0	0	0	0	3,049	0.26%	3,049	0.26%	None
Director	Hsuan-Ching Peng	240	240	0	0	2,759	2,759	70	70	3,069	0.27%	3,069	0.27%	0	0	0	0	0	0	0	0	3,069	0.27%	3,069	0.27%	None
Director	Fu-Liang Wan	240	240	0	0	2,759	2,759	45	45	3,044	0.26%	3,044	0.26%	3,137	3,137	0	0	184	0	184	0	3,044	0.55%	3,044	0.55%	None
Director	Yang-Juh Lai	240	240	0	0	2,759	2,759	50	50	3,049	0.26%	3,049	0.26%	0	0	0	0	0	0	0	0	3,049	0.26%	3,049	0.26%	None
Director	Ming-Dao Gu	240	240	0	0	2,759	2,759	20	20	3,019	0.26%	3,019	0.26%	0	0	0	0	0	0	0	0	3,019	0.26%	3,019	0.26%	None

1: Remuneration to directors is a proposed amount.
2. The remuneration policy for the Company's independent directors follows the "Regulations Governing the Remuneration to Directors and Functional Committee Members": (1) A fixed monthly salary of NT\$50,000 is paid; an additional transportation allowance of NT\$5,000 is provided for attendance at Board meetings. Director remuneration shall not exceed 3% of annual profits as stipulated in the Articles of Incorporation. (2) For 2025, only transportation allowances and fixed remuneration were distributed.
3. In addition to the disclosure shown in the above table, the remuneration received by the directors for their service provided to all companies listed in the financial reports in the most recent fiscal year: None.

(II) Remuneration to General Manager and Deputy General Managers

Unit: NT\$ thousand; %

Position	Name	Base salary (A)		Retirement pension (B)		Bonus and allowance (C)		Remuneration for employees (D)				Total Remuneration (A+B+C+D) as a % of the Net Income				Compensation from investees other than subsidiaries, or parent company
		The Company	All companies included in the consolidated statements	The Company	All companies included in the consolidated statements	The Company	All companies included in the consolidated statements	The Company		All companies included in the consolidated statements		The Company		All companies included in the consolidated statements		
								Amount of Cash	Amount of Stock	Amount of Cash	Amount of Stock					
General Manager	Ta-Hsiu Chuang	35,134	35,134	1,026	1,026	21,229	21,229	1,844	0	1,844	0	59,233	59,233	5.11%	5.11%	None
Senior Vice President	Huei-Cheng Shen															
Senior Vice President	Hsueh-Li Yu															
Senior Vice President	Fu-Liang Wan															
Deputy General Manager	Yu-Teh Shen															
Deputy General Manager	Wei-Chun Lin															
Deputy General Manager	Pei-Chi Hung															
Deputy General Manager	Yi-bai Chen															
Deputy General Manager	Hsiu-Chu Chen															
Deputy General Manager	Shih-Hsuan Huang															
Deputy General Manager	Dun-Hsi Yang															
Deputy General Manager	Yu-Ling Lung															
Deputy General Manager	Shen-Chun Lo															

Deputy General Manager	Chun-Han Chuang Note 2																		
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Note 1: The remuneration paid to the General Manager's driver was NT\$782 thousand in 2025.

Note 2: Took office on July 21, 2025.

Note 3: Employee remuneration is a proposed amount.

Note 4: The basis of compensation disclosed above is different according to the basis of the Income Tax Act; hence the above table has been prepared solely for information disclosure, and not for tax purpose.

Remuneration ranges for the General Managers and Deputy General Managers of the Company	Name of General Manager, or Deputy General Manager,	
	The Company	All Companies in the Consolidated Financial Statements (E)
Less than NT\$1,000,000	None	None
NTD 1,000,000 (inclusive) to NTD 2,000,000 (exclusive)	Chun-Han Chuang, Hsiu-Chu Chen	Chun-Han Chuang, Hsiu-Chu Chen
2,000,000 (inclusive) to 3,500,000 (exclusive)	None	None
NTD 3,500,000 (inclusive) to NTD 5,000,000 (exclusive)	Yu-Teh Shen, Hwei-Cheng Shen, Wei-Chun Lin, Dun-Hsi Yang Fu-Liang Wan, Yu-Ling Lung, Ta-Hsiu Chuang, Shen-Chun Lo	Yu-Teh Shen, Hwei-Cheng Shen, Wei-Chun Lin, Dun-Hsi Yang Fu-Liang Wan, Yu-Ling Lung, Ta-Hsiu Chuang, Shen-Chun Lo
5,000,000 (inclusive) to 10,000,000 (exclusive)	Pei-Chi Hung, Yi-Bai Chen, Hsueh-Li Yu, Shih-Hsuan Huang	Pei-Chi Hung, Yi-Bai Chen, Hsueh-Li Yu, Shih-Hsuan Huang
10,000,000 (inclusive) to 15,000,000 (exclusive)	None	None
NTD 15,000,000 (inclusive) to NTD 30,000,000 (exclusive)	None	None
NTD 30,000,000 (inclusive) to NTD 50,000,000 (exclusive)	None	None
NTD 50,000,000 (inclusive) to NTD 100,000,000 (exclusive)	None	None
More than NTD 100,000,000	None	None
Total	14	14

Note: The basis of compensation disclosed above is different according to the basis of the Income Tax Act; hence the above table has been prepared solely for information disclosure, and not for tax purpose.

(III) Names of managers receiving employee remuneration, and state of distribution

The Board of Directors resolved on March 12, 2026 to distribute the remuneration to employees totaling NT\$11,986,027. As of the date of publication of the annual report, the remuneration has not yet been distributed. The amount of cash dividend to be distributed to employees this year was based on that distributed actually last year. Please refer to the following table:

Unit: NT\$

	Position	Name	Amount of Stock	Amount of Cash	Total	The sum as percentage of net income (%)
Manager	General Manager	Ta-Hsiu Chuang	None	2,397,205	2,397,205	0.20%
	Senior Deputy General Manager	Huei-Cheng Shen				
	Senior Deputy General Manager	Fu-Liang Wan				
	Senior Deputy General Manager	Hsueh-Li Yu				
	Deputy General Manager	Wei-Chun Lin				
	Deputy General Manager	Hsiu-Chu Chen				
	Deputy General Manager	Yu-Ling Lung				
	Deputy General Manager	Yu-Teh Shen				
	Deputy General Manager	Shen-Chun Lo				
	Deputy General Manager	Shih-Hsuan Huang				
	Deputy General Manager	Pei-Chi Hung				
	Deputy General Manager	Yi-bai Chen				
	Deputy General Manager	Chun-Han Chuang				
	Senior Assistant Vice President	Tzu-Hui Lin				
	Assistant Vice President	Hsi-Jung Kuo				
Assistant Vice President	Wan-Chi Fang					

	Manager	Chien-Jen Cheng			
	Manager	Ching-Yao Huang			
	Accounting Manager, Finance Dept.	Shih-Hsiang Lin			
	Head of Futures Brokerage Trading	Chi-Hsun Yang			
	Settlement and delivery supervisor	Shu-Min Chung			
	Futures Clearing and Settlement Supervisor	Ming-Chu Fan			
	Branch Manager	Chia-Yen Chuang			
	Branch Manager	Hsia-Ping Chen			
	Branch Manager	Yu-Feng Liu			
	Branch Manager	Wei-Chin Huang			
	Branch Manager	Chi-Chao Chen			
	Branch Manager	Hui-Hao Tsai			
	Branch Manager	Yu-Chen Hsu			
	Branch Manager	Cong-Chi Yang			
	Branch Manager	Dun-Hsi Yang			
	Branch Manager	Yu-Wen Chen			
	Branch Manager	Ching-Yun Chang			

(IV) Compare and describe the total remuneration paid to the directors, supervisors, General Manager, and Deputy General Managers of the Company in the most recent two years by the Company and all companies in the consolidated financial statements as a percentage of the after-tax net profit of the parent company only or individual financial statements, and explain the policy and standard of remuneration payment and portfolio, the procedure for determining remuneration, and its association with operating performance and future risks.

1. Compensation paid in the most recent two years by the Company to the Company's Directors, Supervisors, General Manager, and Deputy General Managers as a percentage of net income in the most recent two years is analyzed as following:

Unit: %

Payee/Year	2024	2025
Director	5.16%	3.78%
General Manager and Deputy General Managers	14.41%	5.13%

Note: The Company established Audit Committee in 2016 and, therefore, no supervisors were appointed.

2. Policies, standards and packages by which the compensation was paid, the procedures through which compensation was determined, and their association with business performance

① Policy by which the compensation was paid

The Company pays remuneration to Directors in accordance with the regulations in the Articles of Incorporation, the regulations in the Regulations for Payment of Remuneration to Directors and Members of Functional Committees. In order to recruit and retain management talents to take charge of the management business, the compensation was determined based on the prevailing standards in the market and industry and among peer companies for the position.

② Standards and packages by which the compensation was paid

The Company established the Remuneration Committee on December 30, 2011. The Committee sets and periodically reviews the annual and long-term performance targets and remuneration policies, systems, standards and structure for Directors and managers, assesses the achievement of performance targets by directors and managers periodically, and determines the details and amount of remuneration to individual Directors and managers based on the results of evaluations conducted in accordance with the performance evaluation standards. The Company's Regulations for Payment of Remuneration to Directors and Members of Functional Committees states that the scope of the remuneration for Directors includes compensation, remuneration, and fees for services rendered (transportation and travel expenses). Directors receive a fixed remuneration each month. According to the Articles of Incorporation, the remuneration for Directors shall be no higher than 1% of the total profits for the year. The Remuneration Committee shall formulate recommendations for the distribution of remuneration for the Company's Directors based on the overall performance of the Board, the Company's business performance, future operations, and risk tolerance. The Board of Directors shall review and approve the amount and distribute the remuneration based on the level of participation of each Director in the Company's operations. The fees for services rendered, which consists of transportation and travel expenses, shall be paid based on the actual requirements for services provided by Directors.

③ Procedures through which compensation was determined

According to the Regulations for Payment of Remuneration to Directors and Members of Functional Committees, the Company references peer companies' pay level, proposes the remuneration to the Remuneration Committee for review, and submits the proposal to the Board of Directors for resolution and implementation.

④ Association with business performance and future risk

Article 30 of the Articles of Incorporation: If the Company records a profit in a year, the Company shall set aside no less than 1% of the profit for employee's remuneration, which shall be distributed in shares or cash by resolution of the Board of Directors. The Company may, by resolution of the board meeting, set aside no more than 3% of the said profit for Directors' remuneration. The motions for distribution of remuneration to employees and directors shall be submitted to the shareholders' meeting for reporting. The remuneration to employees and directors resolved by the Board of Directors was NT\$11,986,027 and NT\$35,866,725, respectively, in 2025.

III. Operations of corporate governance

(I) Operation of the Board of Directors:

1. Information about operations of the Board of Directors in 2025

The 18th Board of Directors held 10 meetings in 2025 (from January 1, 2025 to December 31, 2025). The attendance of directors is as follows:

Term	Position	Name	Actual attendance (in non-voting capacity (times) (B)	Number of attendance by proxy	Actual attendance rate (B/A) (%)	Remarks
18th Term	Chairman	Good Financial Technology Co., Ltd. Representative: Ku-Han Huang	9	1	90%	
	Vice Chairman	Ming-Li Chuang	10	0	100%	
	Director	Fu-Liang Wan	9	1	90%	
	Director	Good Financial Technology Co., Ltd. Representative: Hsuan-Ching Peng	9	1	90%	
	Director	Good Financial Technology Co., Ltd. Representative: Yang-Juh Lai	10	0	100%	
	Director	Good Financial Technology Co., Ltd. Representative: Wei-Chung Li	10	0	100%	
	Director	Good Financial Technology Co., Ltd. Representative: Ming-Dao Gu	3	7	30%	
	Director	Good Financial Technology Co., Ltd. Representative: Wei-Jen Li	4	0	100%	Resigned on 2024.9.18.
	Independent director	Keng-Chou Lin	9	1	90%	
	Independent Director	Ching-Hsiu Chen	10	0	100%	
Independent Director	Li-Yi Cheng	6	3	60%		

Other remarks:

- I. For Board of Directors meetings that meet any of the following descriptions, state the date, session, contents of the motions, independent directors' opinions and how the Company has responded to such opinions:
- (I) Matters listed in Article 14-3 of the Securities and Exchange Act: The Company has established an Audit Committee, so it is not applicable.
- (II) Any other resolution(s) by the Board of Directors meetings passed but with independent directors voicing opposing or qualified opinions on the record or in writing: none.
- II. For directors' avoidance of motions which involves conflict of interest, the names of directors, contents of the motions, reasons of the recusal for conflict of interest, and participation in voting must be disclosed:

Date of Board meeting	Directors to recuse themselves	Contents of Motion	Reasons for recusal	Participation in voting
2025.02.20	Fu-Liang Wan	The list of employees who were granted the second allotment of the RSAs in 2022 and the change of the number of shares.	Director Fu-Liang Wan was assigned the managerial officer position for this case.	Recused from the discussion and voting.
2025.03.06	Ku-Han Huang	The proposal for canceling the recovered RSAs for capital decrease.	The recipient of the shares repurchased under this proposal is the spouse of Chairman Ku-Han Huang.	Recused from the discussion and voting.
2025.04.24	Ku-Han Huang Hsuan-Ching Peng	Proposed acquisition of a headquarters building by the Company.	As Good Land Co., Ltd. provided relevant consultation and recommendations during the process of the acquisition of the real property in this case. Chairman Ku-Han Huang of the Company has a controlling relationship with Good Land Co., Ltd. through Good Innovation Co., Ltd., for which he serves as the responsible person; Director Hsuan-Ching Peng serves as a manager of Good Land Co., Ltd.	Recused from the discussion and voting.
2025.06.24	Fu-Liang Wan	The salary adjustment for the managerial officers of the Company.	Director Fu-Liang Wan is a manager.	Recused from the discussion and voting.
2025.08.21	Ku-Han Huang Ming-Li Chuang Fu-Liang Wan Yang-Juh Lai Hsuan-Ching Peng Wei-Chung Li Ming-Dao Gu Keng-Chou Lin	Distribution of the Company's remuneration for directors for 2024.	The recipients under this proposal.	Recused from the discussion and voting.

	Ching-Hsiu Chen Li-Yi Cheng			
2025.11.06	Ku-Han Huang	Proposed bond repurchase agreement transactions with Asia Value Capital Co., Ltd., Intelligent Innovation Co., Ltd., and Innovation Universe Co., Ltd.	Chairman Ku-Han Huang serves as the Chairman of Asia Value Capital Co., Ltd.. The counterparties to this transaction, Intelligent Innovation Co., Ltd. and Innovation Universe Co., Ltd., are held by Good Innovation Co., Ltd., for which Chairman Ku-Han Huang serves as a director.	Recused from the discussion and voting.
2025.12.18	Fu-Liang Wan	Propose to submit the 2026 internal audit plan.	Director Fu-Liang Wan is a manager.	Recused from the discussion and voting.
2025.12.18	Ming-Li Chuang	Signing a lease contract with "Ta Ching Construction Co., Ltd."	Vice Chairman Ming-Li Chuang serves as a director of Ta Ching Construction Co., Ltd.	Recused from the discussion and voting.

- III.** The TWSE/TPEX-listed company shall disclose the evaluation cycle and period, scope of evaluation, method and contents of evaluation about the Board of Directors' self (or peer) performance evaluation, and specify the status of evaluation conducted by the Board of Directors in the Schedule 2(2)-Status of the Board of Directors Performance Evaluation attached hereto.
- IV.** Evaluation of the current and most recent year's objectives for enhancing the functions of the Board of Directors (e.g., establishing an audit committee, enhancing information transparency, etc.) and their implementation:
- (I) The Company has established the Audit Committee on June 23, 2016. Under the Board of Directors, functional committees such as the Remuneration Committee and the Risk Management Committee were established to strengthen the internal supervision mechanism of corporate governance.
- (II) The Company has designated a corporate governance officer as the highest officer in charge of corporate governance related matters by resolution of the board of directors in accordance with Article 3-1 of the "Corporate Governance Best-Practice Principles for Securities Firms."
- (III). The Company performs the obligation to disclose information honestly in accordance with related laws and TWSE's regulations. Establish the online information disclosure system, designate dedicated personnel to take charge of collecting and disclosing information, and set up the spokesperson system to ensure that any information which might affect shareholders' and stakeholders' decisions may be disclosed adequately.
- (IV) The Company constructs a website via the network conveniently and create the Company's business/finance-related information and corporate governance information for shareholders' and stakeholders' reference, and provides an English translation of the financial statements, or others, if necessary. The network referred to in the preceding paragraph is maintained by dedicated personnel. The personnel update the information accurately, honestly and timely to prevent any confusion.

2. Status of the Board of Directors Performance Evaluation

Evaluation Cycle	Evaluation Period	Scope of Evaluation	Method of Evaluation	Contents of Evaluation
Once per year	From January 1, 2025 to December 31, 2025	Board of Directors	Self-evaluation by the Board of Directors	1. The contents of evaluation cover participation in the Company's operation, Board decision-making quality, composition and structure of the Board, election and continuing education of directors and internal controls, etc. Of the 46 evaluation items, the completion rate was more than 90%.
		Individual board members	Self-evaluation by the directors	1. The contents of evaluation cover alignment with the goals and mission of the Company, knowledge of directors' duties, participation in the Company's operations, management of internal relationship and communication, professionalism and continuing education of directors, and internal controls, etc.. 2. There are 10 directors in total, over 90% self-evaluation completion rate of 10 directors, which demonstrated that the Directors already performed their required functions in the Board of Directors' operations.
		Audit Committee	Audit Committee's self-evaluation	1. The contents of evaluation cover participation in the Company's operation, knowledge of the Committee's duties, Committee's decision-making quality, composition and structure of the Committee, and internal controls, etc. 2. Of the 17 evaluation items, the completion rate was more than 90%, which showed that the Company's Audit Committee has already performed its required functions.

		Remuneration Committee	Self-evaluation Remuneration Committee	<ol style="list-style-type: none"> 1. The contents of evaluation cover participation in the Company's operation, knowledge of the Committee's duties, Committee's decision-making quality, composition and structure of the Committee, and internal controls, etc. 2. Of the 14 evaluation items, the completion rate was more than 90%, which showed that the Company's Remuneration Committee has already performed its required functions.
		Risk Management Committee	Risk Management Committee's self-evaluation	<ol style="list-style-type: none"> 1. The contents of evaluation cover participation in the Company's operation, knowledge of the Committee's duties, Committee's decision-making quality, composition and structure of the Committee, and internal controls, etc. 2. Of the 17 evaluation items, the completion rate was more than 90%, which showed that the Company's Audit Committee has already performed its required functions.

(II) Audit Committee's operations

1. The Company has established the Audit Committee on June 23, 2016 in the regular shareholders' meeting. The Committee members include all three independent directors.
2. The 5th Audit Committee held 8 meetings in 2025 (from January 1, 2025 to December 31, 2025). The attendance of directors is as follows:

Term	Position	Name	Actual presence (times) (B)	Number of attendance by proxy	Actual attendance rate (B)/(A) %	Remarks
5th Term	Independent Director (Convener)	Keng-Chou Lin	8	0	100%	
	Independent Director	Ching-Hsiu Chen	8	0	100%	
	Independent Director	Li-Yi Cheng	6	2	75%	

Other remarks:

- I. Where one of the following conditions occur in the operations of the Audit Committee, state the date, term, and contents of motions of the Audit Committee meeting, objections, reservations, or important suggestions by the Independent Directors, as well as the resolutions of the Audit Committee and the Company's treatment to the opinions of the Audit Committee
 - (I) Items listed in Article 14-5 of the Securities and Exchange Act:
 - (II) Other than the aforesaid matters, any resolutions not approved by the Audit Committee but approved by more than two-thirds of all directors:

Date and session of Audit Committee meeting	Contents of Motions and Follow-up	Items listed in Article 14-5 of the Securities and Exchange Act	Any resolutions not approved by the Audit Committee but approved by more than two-thirds of all directors:
2025.02.20	The list of employees who were granted the second allotment of the RSAs in 2022 and the change of the number of shares.	✓	-

10th meeting of the 5th term	Dissenting opinions, qualified opinions, or major recommendations of independent directors: None.		
	The Audit Committee's resolution was approved by all attending members, and submitted to the Board of Directors for discussion.		
	The Company's (the Board of Directors') handling of the Audit Committee's opinions: Approved by the Board of Directors (2025.2.20)		
2025.03.06 11th meeting of the 5th Board	The Company's 2024 business report.	✓	-
	The Company's 2024 parent company only and consolidated financial statements.		
	The Company's earnings distribution plan for the second half of 2024.		
	The Company's 2024 earnings distribution.		
	The amendment of the Company's internal control system.		
	Issuance of the Company's 2024 statement of internal control system.		
	Issuance of the Company's 2024 Declaration of AML/CFT Internal Control System.		
	Dissenting opinions, qualified opinions, or major recommendations of independent directors: None.		
	The Audit Committee's resolution was approved by all attending members, and submitted to the Board of Directors for discussion.		
	The Company's (the Board of Directors') handling of the Audit Committee's opinions: Approved by the Board of Directors (2025.3.6)		
	The Company's plan to dispose of and transfer the shares of DACIN Construction Co., Ltd. held by the Investment Department to the subsidiary, Good Finance PE Co., Ltd.	✓	-
Dissenting opinions, qualified opinions, or major recommendations of independent directors: None.			
Resolution of the Audit Committee: All attending members unanimously approved the proposal as submitted, with additional resolutions, and it was submitted to the Board of Directors for further discussion. Supplementary Resolution: When the subsidiary, Good Finance PE, disposes of shares of DACIN, such disposal shall be handled in accordance with the Company's "Procedures for Acquisition or Disposal of Assets" and relevant internal regulations.			
The Company's (the Board of Directors') handling of the Audit Committee's opinions: Approved by the Board of Directors (2025.3.6)			
2025.04.24 3rd meeting (extraordinary) of the 5th Board	Proposed acquisition of a headquarters building by the Company.	✓	-
	Dissenting opinions, qualified opinions, or major recommendations of independent directors: None.		
	The Audit Committee's resolution was approved by all attending members, and submitted to the Board of Directors for discussion.		
	The Company's (the Board of Directors') handling of the Audit Committee's opinions: Approved by the Board of Directors (2025.4.24)		
2025.05.08	The amendment of the Company's internal control system.		-

12th meeting of the 5th Board	The Company's consolidated financial statements for Q1 2025.	✓	
	Personnel matter of the Finance Department of the Company.		
	Dissenting opinions, qualified opinions, or major recommendations of independent directors: None.		
	The Audit Committee's resolution was approved by all attending members, and submitted to the Board of Directors for discussion.		
	The Company's (the Board of Directors') handling of the Audit Committee's opinions: Approved by the Board of Directors (2025.5.8)		
	Personnel matter of the Audit Department of the Company.	✓	-
	Dissenting opinions, qualified opinions, or major recommendations of independent directors: None.		
	Resolution of the Audit Committee: The attending members agreed to withdraw the proposal.		
	The Company's (the Board of Directors') handling of the Audit Committee's opinions: Withdrawn upon approval by the Board of Directors (2025.5.8)		
2025.06.24 4th meeting (extraordinary) of the 5th Board	The amendment of the Company's internal control system.	✓	-
	Personnel matter of the Audit Department of the Company.		
	Dissenting opinions, qualified opinions, or major recommendations of independent directors: None.		
	The Audit Committee's resolution was approved by all attending members, and submitted to the Board of Directors for discussion.		
	The Company's (the Board of Directors') handling of the Audit Committee's opinions: Approved by the Board of Directors (2025.6.24)		
2025.08.21 13th meeting of the 5th Board	The Company's Business Report for the first half of 2025.	✓	-
	The Company's Q2 2025 parent company only financial report audited by the CPAs.		
	The Company's earnings distribution plan for the first half of 2025.		
	The amendment of the Company's internal control system.		
	Dissenting opinions, qualified opinions, or major recommendations of independent directors: None.		
	The Audit Committee's resolution was approved by all attending members, and submitted to the Board of Directors for discussion.		
	The Company's (the Board of Directors') handling of the Audit Committee's opinions: Approved by the Board of Directors (2025.8.21)		
	The amendments of the Company's "Tiered Responsibility Regulations".	✓	-
	Dissenting opinions, qualified opinions, or major recommendations of independent directors: None.		
The Audit Committee's resolution was approved by all attending members, and submitted to the Board of Directors for discussion.			
The Company's (the Board of Directors') handling of the Audit Committee's opinions: Withdrawn upon approval by the Board of Directors (2025.8.21)			

2025.11.06 14th meeting of the 5th Board	Consolidated Financial Statements for Q3 2025.	✓	-	
	The Company intends to extend the transaction date for the disposal and transfer of the shares of DACIN Construction Co., Ltd. held by the Company to its subsidiary, Good Finance PE Co., Ltd..			
	The amendment of the Company's internal control system.			
	Dissenting opinions, qualified opinions, or major recommendations of independent directors: None.			
	The Audit Committee's resolution was approved by all attending members, and submitted to the Board of Directors for discussion.			
	The Company's (the Board of Directors') handling of the Audit Committee's opinions: Approved by the Board of Directors (2025.11.6)			
	Proposed bond repurchase agreement transactions with Asia Value Capital Co., Ltd., Intelligent Innovation Co., Ltd., and Innovation Universe Co., Ltd.	✓	-	
	Dissenting opinions, qualified opinions, or major recommendations of independent directors: None.			
	The Audit Committee's resolution was approved by all attending members, and submitted to the Board of Directors for discussion.			
	The Company's (the Board of Directors') handling of the Audit Committee's opinions: Approved by the Board of Directors (2025.11.6) present at the meeting agreed to amend and pass the resolution based on the discussion.			
2025.12.18 15th meeting of the 5th Committee	Proposal to replace the CPAs and the remuneration of the CPAs in 2026, and evaluation of their independence and suitability.	✓	-	
	Propose to submit the 2026 internal audit plan.			
	Signing a lease contract with "Ta Ching Construction Co., Ltd."			
	Proposed issuance of 2026 RSAs.			
	Dissenting opinions, qualified opinions, or major recommendations of independent directors: None.			
	The Audit Committee's resolution was approved by all attending members, and submitted to the Board of Directors for discussion.			
	The Company's (the Board of Directors') handling of the Audit Committee's opinions: Approved by the Board of Directors (2025.12.18)			
	The amendments of the Company's "Tiered Responsibility Regulations".	✓	-	
Dissenting opinions, qualified opinions, or major recommendations of independent directors: None.				
The Audit Committee's resolution was passed by all attending members, and will be discussed by the Board of Directors.				
The Company's (the Board of Directors') handling of the Audit Committee's opinions: Withdrawn upon approval by the Board of Directors (2025.12.18)				

II. For independent directors' avoidance of motions which involves conflict of interest, the names of independent directors, contents of the motions, reasons of the recusal for conflict of interest, and participation in voting must be disclosed: None

III. Communication between Independent Directors and chief internal auditor/external auditors (e.g., discussions concerning major financial and business affairs, the method of communication used, and the outcome):

(I) Communication between Independent Directors and chief internal auditor/external auditors:

1. The Company's internal audit unit would deliver the audit report to independent directors for review on a monthly basis. The chief internal auditor explains to independent directors about the audit business and results, as well as the follow-up on improvement of audited deficiencies, on the Audit Committee meeting from time to time.
2. Upon completion of the audit on the semi-annual and annual financial reports, and review of the quarterly financial report, the Company's independent auditors would explain the audit results and findings to independent directors at the Audit Committee meeting.

(II) Summary of communication between independent directors and chief internal auditor:

Date and session of Audit Committee meeting	Contents of Communication	Results
2025.03.06 11th meeting of the 5th Board	<ol style="list-style-type: none"> 1. Routine audit reports. 2. The amendment of the Company's internal control system. 3. Issuance of the Company's 2024 Declaration of Internal Control System. 	<ol style="list-style-type: none"> 1. Acknowledged and reported to the Board of Directors. 2. Proposed to the Board of Directors upon review and approval. 3. Proposed to the Board of Directors upon review and approval.
2025.05.08 12th meeting of the 5th Board	<ol style="list-style-type: none"> 1. Routine audit reports. 2. The amendment of the Company's internal control system. 	<ol style="list-style-type: none"> 1. Acknowledged and reported to the Board of Directors. 2. Proposed to the Board of Directors upon review and approval.
2025.06.24 4th meeting (extraordinary) of the 5th Board	<ol style="list-style-type: none"> 1. The amendment of the Company's internal control system. 	<ol style="list-style-type: none"> 1. Proposed to the Board of Directors upon review and approval.
2025.08.21 13th meeting of the 5th Board	<ol style="list-style-type: none"> 1. Routine audit reports. 2. The amendment of the Company's internal control system. 	<ol style="list-style-type: none"> 1. Acknowledged and reported to the Board of Directors. 2. Proposed to the Board of Directors upon review and approval.
2025.11.06 14th meeting of the 5th Board	<ol style="list-style-type: none"> 1. Routine audit reports. 2. The amendment of the Company's internal control system. 	<ol style="list-style-type: none"> 1. Acknowledged and reported to the Board of Directors.

		2. Proposed to the Board of Directors upon review and approval.
2025.12.18 15th meeting of the 5th Committee	1. Routine audit reports. 2. Report on the inspection comments of Financial Examination Bureau, Financial Supervisory Commission, and improvement status. 3. 2026 internal audit plan.	1. Acknowledged and reported to the Board of Directors. 2. Acknowledged and reported to the Board of Directors. 3. Proposed to the Board of Directors upon review and approval.

(III) Summary about communication between independent directors and the external auditors:

Date and session of Audit Committee meeting	Contents of Communication	Results
2025.03.06 11th meeting of the 5th Board	The Company's 2024 parent company only and consolidated financial statements.	Proposed to the Board of Directors upon review and approval.
2025.05.08 12th meeting of the 5th Board	The Company's consolidated financial statements for Q1 2025.	Proposed to the Board of Directors upon review and approval.
2025.08.21 13th meeting of the 5th Board	The Company's Q2 2025 parent company only financial report audited by the CPAs.	Proposed to the Board of Directors upon review and approval.
2025.11.06 14th meeting of the 5th Board	Consolidated Financial Statements for Q3 2025.	Proposed to the Board of Directors upon review and approval.

(III) Status of corporate governance, and deviation from Corporate Governance Best-Practice Principles for TWSE/TPEX-Listed Companies and causes thereof

Assessment criteria	Status			Deviation from Corporate Governance Best-Practice Principles for TWSE/TPEX-Listed Companies and causes thereof
	Yes	No	Summary	
I. Has the Company formulated and disclosed its corporate governance practice best principles in accordance with the "Corporate Social Responsibility Best Practice Principles for TWSE/GTSM Listed Companies"?	✓		The Company's Board of Directors has approved the establishment of the "Corporate Governance Best Practice Principles" and disclosed it on the Company's website °	No material deviation.
II. The Company's Equity Structure and Shareholders' Right (I) Does the Company have the internal procedures set up to handle shareholders' proposals, doubts, disputes, and litigation matters, and have the procedures been implemented accordingly?	✓		An "Investor Related" section has been set up on the Company's website, and a window of service for investors is clearly specified. The spokesperson and shareholders service personnel are responsible for handling shareholders' suggestions, questions, disputes and litigation matters.	No material deviation.
(II) Does the Company possess the list of the Company's major shareholders of ultimate controllers, and the list of the ultimate controllers of the major shareholders?	✓		The Company keeps track of the list of major shareholders and the changes in their shareholdings based on the roster of shareholders and monthly shareholding reports.	No material deviation.

Assessment criteria	Status			Deviation from Corporate Governance Best-Practice Principles for TWSE/TPEX-Listed Companies and causes thereof
	Yes	No	Summary	
(III) Does the Company establish and implement the risk control and firewall mechanism with its affiliated companies?	✓		The Company and its affiliates operate independently, in finance and business. The management authorities between the Company and affiliates are also defined specifically. The communication or transactions among the affiliates are governed by laws. In addition, the "Operating Procedures for Finance and Business Dealings with Related Parties" and the "Procedures for Monitoring Subsidiaries" have been formulated to establish an appropriate risk control mechanism and firewall mechanism.	No material deviation.
(IV) Has the Company established internal policies that prevent insiders from trading securities using unpublished market information?	✓		The Company established the "Procedures for Handling Material Inside Information" and "Regulations for Prevention of Insider Trading" with contents including the prohibition of insiders of the Company from using unpublished information to trade securities during the closed period of 30 days prior to the publication of the annual financial reports and 15 days prior to the publication of the quarterly financial reports.	No material deviation.

Assessment criteria	Status			Deviation from Corporate Governance Best-Practice Principles for TWSE/TPEX-Listed Companies and causes thereof
	Yes	No	Summary	
<p>III. Composition and responsibilities of the Board of Directors</p> <p>(I) Has the board of directors formulated a diversification policy, set specific management objectives, and implemented execution?</p>	✓		<p>The board diversification policy is specified in Article 20 of the Company's Corporate Governance Best Practice Principles. The current board members consist of the directors elected, based on the principle of diversification, from the candidates with professional knowledge, skills, qualifications and abundant industry experience, to meet the Company's business development needs, shareholdings and practical needs. The Company currently has 11 directors (including 3 independent directors), with expertise and backgrounds in banking, finance, investment, accounting, law, and information technology. Among them, 2 are female directors, accounting for 18% of the total board. The overall qualifications of the Board members are detailed in the "Board Diversity and Independence" section of the Corporate Governance Report.</p>	No material deviation.

Assessment criteria	Status			Deviation from Corporate Governance Best-Practice Principles for TWSE/TPEX-Listed Companies and causes thereof
	Yes	No	Summary	
(II) Does the Company, in addition to setting up the Remuneration Committee and Audit Committee lawfully, have other functional committees set up voluntarily?	✓		<p>Other than the establishment of the Remuneration and Audit Committees pursuant to laws, in consideration of the professionalism, normality and timeliness required by risk management, the Company's Board of Directors passed the Articles of Association for Risk Management Committee and establishment of the Risk Management Committee on December 20, 2019. The Committee is responsible for supervising the risk management of routine transactions and performing the following duties:</p> <ol style="list-style-type: none"> 1. Set forth the Company's risk management policy and structure, and delegated powers to related units. 2. Set forth the Company's risk assessment standards. 3. Manage the Company's overall risk limit and various departments' risk. 	No material deviation.

<p>(III) Has the Company established a set of policies and assessment methods to evaluate the Board's performance, conducted the performance evaluation regularly at least on an annual basis, and submitted the performance evaluation result to the Board and applied the same as reference for remuneration to individual directors and nomination?</p>	<p>✓</p>	<p>(III) The Company established the “Regulations for the Performance Evaluation of the Board of Directors and Functional Committees” in September 2018. The Board of Directors, functional committees, and individual directors regularly conduct self-evaluations to complete the performance evaluation pursuant to the Regulations each year. The Company has completed the 2025 Board of Directors' self-assessment, and the results of the assessment were submitted to the Q1 2026 Board of Directors' report as the basis for review and improvement. In terms of the self-evaluation of the Directors, a total of ten current Directors participated in the self-evaluation. There are 25 evaluation items and the achievement rates were over 90%. In terms of the self-evaluation of the Board of Directors, there are 46 evaluation items and the achievement rates were over 90%. According to the evaluation results above, the Company’s Board of Directors have fully demonstrated its functions in operations. The results of the evaluations were submitted to the 16th meeting of the 18th Board of Directors on March 12, 2026.</p> <p>According to Article 30 of the Articles of Incorporation and the “Regulations for Payment of Remuneration to Directors and Members of Functional Committees,” if the Company generates profits in the current year, the Remuneration Committee may consider the overall performance of the Board of Directors, business performance of the Company, and the future operations and risk appetite of the Company, and prepare a recommendation for distribution. The Board of Directors shall determine the allocation of no more than 3% as directors’ remuneration in this resolution and distribute the remuneration based on the level of participation of individual directors in the operations of the Company.</p>	<p>No material deviation.</p>
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<p>(IV) Does the Company regularly evaluate the independence of attesting CPAs?</p>	<p>✓</p>	<p>The Company conducts an annual audit quality evaluation to assess the independence and suitability of its signing CPAs. Evaluation items include assessments of the CPAs' independence, their tenure, and applicable rotation measures. Since 2023, the Company has also obtained Audit Quality Indicator (AQI) information from the CPAs as a reference for evaluation. The 2025 evaluation results were submitted and approved at the 4th meeting of the 5th Audit Committee and the 9th meeting of the 18th Board of Directors held on December 19, 2024. Based on the assessment, CPAs Pei-Te Chen and Chun-Lin Wu of Deloitte Taiwan were determined to be in compliance with independence requirements (Note) and deemed qualified to serve as the Company's signing CPAs. A declaration of compliance has also been obtained from the CPAs.</p> <p>(Note) The main evaluation items of the supplementary explanation are as follows:</p> <table border="1" data-bbox="1200 820 1890 1353"> <thead> <tr> <th>Assessment criteria</th> <th>Evaluation results</th> <th>Compliant with independence</th> </tr> </thead> <tbody> <tr> <td>1. No direct or material indirect financial interest with the Company.</td> <td>Yes</td> <td>V</td> </tr> <tr> <td>2. No financing or guarantee relationship with the Company or its directors.</td> <td>Yes</td> <td>V</td> </tr> <tr> <td>3. No close business relationship or potential employment relationship with the Company.</td> <td>Yes</td> <td>V</td> </tr> </tbody> </table>	Assessment criteria	Evaluation results	Compliant with independence	1. No direct or material indirect financial interest with the Company.	Yes	V	2. No financing or guarantee relationship with the Company or its directors.	Yes	V	3. No close business relationship or potential employment relationship with the Company.	Yes	V	<p>No material deviation.</p>
Assessment criteria	Evaluation results	Compliant with independence													
1. No direct or material indirect financial interest with the Company.	Yes	V													
2. No financing or guarantee relationship with the Company or its directors.	Yes	V													
3. No close business relationship or potential employment relationship with the Company.	Yes	V													

			4. The CPA and members of the audit team have not served as directors, managers, or held positions with significant influence on the audit within the Company during the current year or the past two years.	Yes	V
			5. No provision of non-audit services that may directly affect the audit work.	Yes	V
			6. Not involved in brokering the Company's issuance of shares or other securities.	Yes	V
			7. Not acting as the Company's legal representative or coordinating conflicts with third parties.	Yes	V
			8. No familial relationship with the Company's directors, managers, or personnel in positions with significant influence on the audit.	Yes	V
			9. Declaration letter from the CPA has been obtained.	Yes	V

Assessment criteria	Status			Deviation from Corporate Governance Best-Practice Principles for TWSE/TPEX-Listed Companies and causes thereof
	Yes	No	Summary	
IV. Whether the TWSE/TPEX-listed company assigns the adequate number of competent corporate governance officers, and appoints the chief corporate governance officer responsible for the corporate governance affairs (including but not limited to, provision to directors/supervisors the information needed by them to perform their duties, assistance to directors/supervisors in compliance, organization of the Board of Directors meetings and shareholders' meetings, and preparation of board meeting and shareholders' meeting minutes, etc.)?	✓		The Company's Legal Department is responsible for corporate governance-related matters, and with the resolution of the Board of Directors dated June 20, 2024, Senior Deputy General Manager, Huei-Cheng Shen was appointed as the Corporate Governance Office. Her main responsibilities include handling matters relating to board meetings and shareholders meetings according to laws; producing minutes of board meetings and shareholders meetings; assisting in onboarding and continuous development of directors and supervisors; furnishing information required for business execution by directors and supervisors; assisting directors and supervisors with legal compliance.	No material deviation.
V. Has the Company created channels for communicating with the stakeholders (including but not limited to the shareholders, employees, customers and suppliers), set a special zone for the stakeholders on its website, and appropriately responded to important issues on corporate social responsibilities which arouse the stakeholders' concern?	✓		<ol style="list-style-type: none"> 1. The Company has set up the spokesperson and acting spokesperson system and established communication channels with stakeholders. 2. Customers can use the customer service hotline and email to provide feedback or file complaints to the Company's customer service units. 3. Employees may propose opinions or complaints to managers on all levels verbally or in writing. They can also file them directly to the HR unit by email. 	No material deviation.

Assessment criteria	Status			Deviation from Corporate Governance Best-Practice Principles for TWSE/TPEX-Listed Companies and causes thereof
	Yes	No	Summary	
VI. Does the Company engage a Shareholders Service Agency to handle Shareholders' Meeting affairs?	✓		The Company appoints CTBC Bank Co., Ltd., Transfer Agency Department to handle the shareholders services on behalf of it.	No material deviation.
VII. Information disclosure (I) Does the Company set up a website to disclose the Company's business, finance and corporate governance information?	✓		The Company already set up the "Investor Service" section in the official website, in order to disclose the information about the Company's business, finance and corporate governance periodically. The Company also discloses business and finance messages on the "MOPS" periodically and from time to time.	No material deviation.
(II) Has the Company adopted other means of information disclosure (such as setting up an English website, appointing dedicated personnel responsible for the collection and disclosure of Company information, implementing a spokesperson system, posting the Company's earnings calls on its website, etc.)?	✓		The Company's business units for individual businesses are responsible for disclosing information on the MOPS. The Company has appointed a spokesperson and acting spokesperson to oversee the Company's external announcements. We also set up a section for investor conferences on the Company's website.	No material deviation.
(III) Does the Company announce and report the annual financial report within two months at the end of each fiscal year, and the financial report for Q1, Q2 and Q3 and monthly operation overview before the prescribed time limit?	✓		The Company announces and reports the annual financial report within the statutory period specified in related provisions of the Securities and Exchange Act and Regulations Governing Securities Firms at the end of each fiscal year, and the financial report for Q1, Q2 and Q3 and monthly operation overview before the prescribed time limit.	No material deviation.

Assessment criteria	Status			Deviation from Corporate Governance Best-Practice Principles for TWSE/TPEX-Listed Companies and causes thereof
	Yes	No	Summary	
VIII. Does the Company have other information that enables a better understanding of the Company's corporate governance practices (including but not limited to employee rights, employee care, investor relations, supplier relations, stakeholders' interests, continuing education of Directors/Supervisors, implementation of risk management policies and risk measurements, implementation of customer policy, and the Company's purchase of liability insurance for directors and supervisors)?	✓		<ol style="list-style-type: none"> 1. The Company has set up an employee opinion box to provide employees with a channel of advice; employees whose interests are infringed, may also file a complaint in writing, by phone or by email. 2. The Company's website has set up the "Investor Related" section dedicated to disclosing the information about the Company's business, finance and corporate governance. 3. The Company also convenes the shareholders' meeting each year, and prepares and uploads its annual report to the MOPS to help shareholders and investors learn about the Company's corporate governance operations. 4. The Company also adopts the spokesperson system and assigns various business contact persons to practice the customer policy and maintain stakeholders' interests and rights. 5. The directors of the Company have completed more than 6 hours of continuing education in 2025. 6. The Company has purchased liability insurance for directors. 	No material deviation.

Assessment criteria	Status			Deviation from Corporate Governance Best-Practice Principles for TWSE/TPEX-Listed Companies and causes thereof
	Yes	No	Summary	
IX. Please explain the improvements made, based on the latest Corporate Governance Evaluation results published by the TWSE Corporate Governance Center, and propose enhancement measures for any issues that are yet to be rectified.	✓		In the 2025 corporate governance evaluation, the Company ranked in 51% - 65% among the TPEX listed companies. Currently, priority is given to the promotion of sustainable development items, and the unscored items will be reviewed to evaluate the subsequent improvement measures.	No material deviation.

(IV) Information on the Composition and Operation of the Remuneration Committee

The Company's Board of Directors resolved on December 30, 2011 to establish the Remuneration Committee. The duties of the Remuneration Committee are to set and periodically review the performance evaluation standards as well as annual and long-term performance targets and remuneration policies, systems, standards and structure for Directors and managers. It assesses the achievement of performance targets by directors and managers periodically, and determines the details and amount of remuneration to individual Directors and managers based on the results of evaluations conducted in accordance with the performance evaluation standards.

1. Information about the Company's 7th Remuneration Committee (June 15, 2023 to May 23, 2026) members

Capacity	Name	Professional qualifications and experience	Independence	Number of other public companies in which he/she concurrently serves as the remuneration committee member
Convener and Independent Director	Li-Yi Cheng	<ol style="list-style-type: none"> 1. B.S. in International Business, National Taiwan University 2. MBA, University of Washington 3. Associate Marketing Director, Johnson & Johnson China 4. Chief Brand Officer, Royal Friesland Campina China 5. Chief Marketing Officer, Nutricia Early Life Nutrition Management (Shanghai) Co.,Ltd. 6. Director of Marketing, ABBOTT LABORATORIES SERVICES LLC TAIWAN BRANCH (U.S.A.) 7. Vice President, Nutricia Pharmaceutical (Wuxi) Co., Ltd. (current) 	<ol style="list-style-type: none"> 1. The individual, spouse, and relative within the second degree of kinship is not a director, supervisor, or employee of the Company or any of its affiliates. 2. The individual, spouse, and relative within the second degree of kinship do not hold shares (or have shares held in names of third parties) of the Company. 3. The individual is not a director, supervisor, or employee of a company that has special relations with the Company. 4. The individual has not provided business, legal, financial, or accounting services to the Company or its affiliates in the last two years. 	0
Independent Director	Keng-Chou Lin	<ol style="list-style-type: none"> 1. Department of Accounting, National Chengchi University 2. CPA, KPMG 3. Supervisor, ASEC International Corporation 4. Director, Posiflex Technology, Inc. 5. CPA of Kuang Ho Accounting Firm 6. Chairman, Hsing Chou Investment Co., Ltd. (current) 	<ol style="list-style-type: none"> 1. The individual, spouse, and relative within the second degree of kinship is not a director, supervisor, or employee of the Company or any of its affiliates. 2. The individual, spouse, and relative within the second degree of kinship do not hold shares (or have shares held in 	0

			<p>names of third parties) of the Company.</p> <p>3. The individual is not a director, supervisor, or employee of a company that has special relations with the Company.</p> <p>4. The individual has not provided business, legal, financial, or accounting services to the Company or its affiliates in the last two years.</p>	
Independent Director	Ching-Hsiu Chen	<ol style="list-style-type: none"> 1. Doctorate Program of Law, Institute of Law, National Taiwan University 2. Chairman, Law and Regulation Commission, Taipei City Government 3. Attorney and Partner, Chen Shyuu & Pun Law Offices 4. Adjunct Associate Professor, Department of Accounting, Soochow University 5. Deputy Secretary General, Taiwan Administrative Law Association 6. Chairman of the Financial Law Committee and Deputy Secretary General, the Taiwan Bar Association 7. Chairman of the Financial Law Committee, Administrative Law Committee of Taipei Bar Association 8. Independent Director, Hua Nan Financial Holdings Co., Ltd. (2010.07.01~2019.06.30) 9. Professor, Department of Law, Soochow University (current position) 	<ol style="list-style-type: none"> 1. The individual, spouse, and relative within the second degree of kinship is not a director, supervisor, or employee of the Company or any of its affiliates. 2. The individual, spouse, and relative within the second degree of kinship do not hold shares (or have shares held in names of third parties) of the Company. 3. The individual is not a director, supervisor, or employee of a company that has special relations with the Company. 4. The individual has not provided business, legal, financial, or accounting services to the Company or its affiliates in the last two years. 	0

2. Information about Remuneration Committee's operations

- (1) The Company's Remuneration consists of 3 members.
- (2) The current members' term of office: The term of office for 7th Remuneration Committee members commences from June 15, 2023 until May 23, 2026. A total of 6 Remuneration Committee meetings (A) were convened in the most recent year (2025). Below are the members' attendance records:

Term	Position	Name	Actual presence (times) (B)	Number of attendance by proxy	Actual attendance rate (B)/(A) %	Remarks
7th Term	Convener	Li-Yi Cheng	5	1	83%	
	Member	Keng-Chou Lin	6	0	100%	
	Member	Ching-Hsiu Chen	6	0	100%	

Other remarks:

- I. Should the Board rejects or modifies the suggestions from the Remuneration Committee, the following should be stated: date of the Board meeting, term of the Board, contents of the motions, resolutions of the Board and the Company's handling of the Remuneration Committee's opinion: None.
- II. Should any resolution(s) by the Remuneration Committee be passed but with member voicing opposing or qualified opinions on the record or in writing, please describe the date and session of the meeting, contents of the motion, the entire members' opinions, and how their opinions are addressed: None.

- (3) The focus of the communication via meetings in the most recent year until the date of publication of the annual report:

Date of Remuneration Committee Meeting	Contents of Motion	Resolution	The Company's resolution of Remuneration Committee's opinions
9th meeting of the 7th Board of Directors 2025.02.20	Motion 1. Proposal for the distribution of remuneration to employees and directors of the Company for 2024.	Approved by all present members unanimously, and submitted to the Board of Directors.	None; submitted to the Company's Board of Directors for resolution and approval.

Date of Remuneration Committee Meeting	Contents of Motion	Resolution	The Company's resolution of Remuneration Committee's opinions
	Motion 2: Proposal to amend the Articles of Incorporation of the Company, please deliberate.	Approved by all present members unanimously, and submitted to the Board of Directors.	None; submitted to the Company's Board of Directors for resolution and approval.
	Motion 3: List of employees who received the second allotment of RSAs in 2022 and the changes in number of shares recommended for approval.	Approved by all present members unanimously, and submitted to the Board of Directors.	None; submitted to the Company's Board of Directors for resolution and approval.
	Motion 4: Appointment of the supervisor of the Technological Product Dept.	Approved by all present members unanimously, and submitted to the Board of Directors.	None; submitted to the Company's Board of Directors for resolution and approval.
10th meeting of the 7th Board of Directors 2025.05.08	Motion 1: Personnel matter of the Audit Department is submitted for approval.	The proposal was withdrawn upon the Chairman's consultation with and consent of all attending committee members.	None; submitted to the Board of Directors of the Company for approval to withdraw the proposal.
	Motion 2: Personnel matter of the Finance Department is submitted for approval.	Approved by all present members unanimously, and submitted to the Board of Directors.	None; submitted to the Company's Board of Directors for resolution and approval.
	Motion 3: Personnel matter of Tainan Branch is submitted for approval.	Approved by all present members unanimously, and submitted to the Board of Directors.	None; submitted to the Company's Board of Directors for resolution and approval.

Date of Remuneration Committee Meeting	Contents of Motion	Resolution	The Company's resolution of Remuneration Committee's opinions
11th meeting of the 7th Board of Directors 2025.06.24	Motion 1: Salary adjustment for managers.	Upon consultation by the Chairman with all attending committee members, the proposal was approved as presented without objection, except that additional allowances should be considered for those assigned to serve as managers, and the proposal was subsequently submitted to the Board of Directors.	None; submitted to the Company's Board of Directors for resolution and approval.
	Motion 2: Personnel matter of the Audit Department is submitted for approval.	Approved by all present members unanimously, and submitted to the Board of Directors.	None; submitted to the Company's Board of Directors for resolution and approval.
12th meeting of the 7th Board of Directors 2025.08.21	Motion 1: The distribution of 2024 directors' remuneration.	Approved by all present members unanimously, and submitted to the Board of Directors.	None; submitted to the Company's Board of Directors for resolution and approval.
	Motion 2: The salary adjustment for the managers of Yangmei Branch is submitted for approval.	Approved by all present members unanimously, and submitted to the Board of Directors.	None; submitted to the Company's Board of Directors for resolution and approval.
13th meeting of the 7th Board of Directors 2025.11.04	Motion 1: Personnel matter of the Company is submitted for approval.	Approved by all present members unanimously, and submitted to the Board of Directors.	None; submitted to the Company's Board of Directors for resolution and approval.
14th meeting of the 7th Board of Directors 2025.12.18	Motion 1: Provision for the Company's 2024 employee remuneration and 2025 profit-sharing bonuses.	All attending members resolved to approve the proposal after adjusting the 2025 profit-sharing bonuses, and the proposal was subsequently submitted to the Board of Directors.	None; submitted to the Company's Board of Directors for resolution and approval.

Date of Remuneration Committee Meeting	Contents of Motion	Resolution	The Company's resolution of Remuneration Committee's opinions
	Motion 2: Proposed amendments to the Regulations for the Remuneration of the Company's Directors and Members of Functional Committees is submitted for approval.	All attending members resolved to approve the proposal after adding the provision that the Chairman's remuneration shall be "submitted to the Remuneration Committee for approval and then submitted to the Board of Directors for ratification," and the proposal was subsequently submitted to the Board of Directors.	None; submitted to the Board of Directors of the Company for resolution to withdraw the proposal.

(V) Promotion of Sustainable Development – Implementation Status and Deviations from the Sustainable Development Best-Practice Principles for TWSE/TPEX Listed Companies and the Reasons.

Promotion Item	Implementation Status			Deviation from Sustainable Development Best-Practice Principles for TWSE/TPEX-Listed Companies and the Reasons
	Yes	No	Summary	
I. Has the Company set up a governance structure for sustainable development, established an exclusively (or concurrently) dedicated unit to implement sustainable development, and have senior executives appointed by the Board of Directors to be in charge of corporate social responsibility and to report the implementation status to the Board of Directors?	✓		<p>(I) In terms of the Company's governance structure for promoting sustainable development, the Board of Directors has authorized the senior management to set up part-time units to promote the Company's businesses for sustainable development.</p> <p>(II) The Company has set up the “Sustainable Development Task Force” as a part-time unit with the General Manager as the convener. The Board of Directors granted authorization (passed in a resolution of the board meeting on March 24, 2022) for the Task Force to promote Company’s sustainable development. The Sustainable Development Task Force is composed of the heads of the Legal Department, Human Resources Department, Risk Management Department, Finance Department and Administration Department. The duties of the task force are as follows: (1) formulate various policies, systems or</p>	No material deviation. In the future, the Company will continue to research and draft the policy in response to related laws and regulations.

			<p>related management guidelines for the Company's sustainable development; (2) propose and execute various concrete promotion plans for sustainable development and implementation thereof; (3) tracking and review the effects of various sustainable development plans; (4) preparation of the annual sustainability reports; (5) regular report to the Board of Directors on the implementation of sustainable development; (6) other matters related to sustainable development.</p> <p>(III) The Sustainable Development Task Force reports the implementation of sustainable development of the Company to the Board of Directors quarterly. The report dates in the most recent year are May 8, 2025, August 21, 2025, December 18, 2025, and March 12, 2026, respectively. The Board of Directors determines the effectiveness of the management approach for environmental, social, and corporate governance issues based on the report of Sustainable Development Task Force, and whether the strategies and objectives require adjustments and review. If there are any deficiencies, it provides guidance to the management for improvement.</p>	
<p>II. Does the Company conduct the risk assessment on environmental, social, and corporate governance issues related to the Company's operation and adopts related risk management policies or strategies?</p>	<p>✓</p>		<p>(I) The boundaries of risk assessment by the Company are the head office and branches of Good Finance Securities Co., Ltd.</p> <p>(II) The Company's Sustainable Development Task Force conducts risk assessments of ESG issues based on the principle of materiality. Its evaluation criteria are based on environmental, social, and corporate governance issues related to the Company's operations, the level of concern of</p>	<p>No material deviation. In the future, the Company will continue to research and draft the policy in response to</p>

			<p>these issues to stakeholders, and their impact on environmental, social, and corporate governance issues. The Task Force ranks and selects the issues based on the order of importance before identifying key issues to develop the risk management strategy:</p> <p>1. Environmental issues:</p> <p>(1) Risk assessment item: Impact of climate change on the Company's operations.</p> <p>(2) Risk management policies or strategies: Reduce the use of paper in operations and energy use management. The "customer statements" can be changed to "digital statements" with an online application. We offer "online account opening" to replace signature on printed documents. The Company has adopted "electronic proposals" and "electronic leave applications" in internal operations and we use the "TDCC e-Passbook" to reduce paper consumption. We store files and data in digital format wherever possible and print on both sides of the paper or use recycled paper for photocopying. We reduced the toner concentration in photocopiers. We adopt paperless and digital documents for work procedures. As a financial service business, the Company has no such problem about consumption of energy by production of tangible products. The largest power consumption in the Company's business operations are air conditioning, computer equipment, and lighting. We use energy-efficient products</p>	<p>related laws and regulations.</p>
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			<p>and replace traditional lighting equipment with LED lights. We have replaced the CRT screens used on the TV walls for stock market quotations with projection TV walls. The Company purchases energy-efficient products for all types of equipment wherever possible and plans to replace existing power-consuming equipment. The Company has prepared budgets each year to replace the air-conditioning system with equipment rated for level 1 energy efficiency.</p> <p>2. Social Issues</p> <p>(1) Risk assessment item: Customer personal data and confidentiality protection</p> <p>(2) Risk management policies or strategies: The Company established the “Personal Data and File Security Protection Plan” as the guiding principle for employees’ implementation of personal data protection. To maintain the security of customer information and prevent data leak, the Company has established regulations on the collection, processing, and use of customers’ personal data by employees in business operations. The Company implements strict control over the use of information equipment to prevent unauthorized access to customer data. We perform regular personal data inventory and conduct risk assessments on the security of files. We organize personal data protection training for new employees from time to time each year with training for “personal</p>	
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			<p>data compliance”, “personal data collection, processing, and use”, and “emergency response procedures for personal data leaks”. All employees must receive information security and personal data protection training each year to enhance their ability to respond to information security incidents.</p> <p>3. Corporate governance issues:</p> <p>(1) Risk assessment item: Ethical corporate management.</p> <p>(2) Risk management policies or strategies: The Company has established the “Good Finance Securities Co., Ltd. Ethical Corporate Management Operating Procedures” as the management guidelines to ensure that all employees of the Company shall not directly or indirectly offer, promise to offer, request or accept any improper benefits, nor commit unethical acts including breach of ethics, illegal acts, or breach of fiduciary duty when engaging in business activities for purposes of acquiring or maintaining benefits. The Company communicates with employees from time to time to strengthen employees’ ethics and integrity and prevent employees from engaging in inappropriate conduct. The Company shall instruct the Audit Dept. to investigate any unethical conduct of the Company's employees. The dedicated unit shall report the unethical conduct, how it was handled, and subsequent review and improvement</p>	
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			measures to the Board of Directors. In the event that the Company is subject to unethical conduct or an unlawful act by another individual, the Audit Dept. shall provide the relevant audit results to the Legal Affairs Dept., which shall notify the judicial authorities in accordance with its authority and responsibility.	
<p>III. Environmental issues</p> <p>(I) Does the Company have an appropriate environmental management system established in accordance with its industrial characteristics?</p>	✓		<p>(I) As a financial service business, the Company is considered engaging in the low-carbon industry. The impact to be posed by the Company's operating activities to the environment on the earth is less destructive. Notwithstanding, the Company is still using the best effort to inspect various important parts of the entire operating activities, in order to search more eco-friendly practices to reduce the GHG emission and make contribution to the environmental protection.</p> <p>(II) Since 2023, the Company has gradually introduced ISO 14064-1 GHG inventory management, covering Scope 1 and Scope 2 emissions, in order to effectively manage emissions and establish carbon reduction targets. In the future, the Company will further expand the scope of the inventory and strengthen its management of GHG emissions information.</p>	No material deviation. In the future, the Company will continue to research and draft the policy in response to related laws and regulations.
<p>(II) Is the Company committed to enhancing the efficient utilization of energy and to using renewable materials that have a low impact on the environment?</p>	✓		<p>(I) The Company installs recycling bins at the office premises to recall any recyclable waste, such as wasted paper, iron cans and plastic bottles and cans, and practice the waste reduction policy.</p> <p>(II) To protect global forest resources, the Company seeks methods to reduce paper usage in various</p>	No material deviation. In the future, the Company will continue to research and

			<p>operations from the perspectives of customer service and internal operations. In addition, the Company endeavors to digitize the storage of various documents and records and reduce the use of paper files wherever possible. Double-sided printing or recycled paper is frequently used for document copying, and the toner density settings of photocopiers are lowered to reduce toner consumption and avoid the waste of paper and toner. Promoting paperless internal operating procedures and electronic documentation to minimize paper consumption is one of the Company's key environmental protection initiatives.</p>	<p>draft the policy in response to related laws and regulations.</p>
<p>(III) Does the Company assess the potential risk and opportunity posed by climate changes to the enterprise, now and in the future, and take responsive measures related?</p>	<p>✓</p>		<p>The Company is using the best effort to inspect various important parts of the entire operating activities, in order to search more eco-friendly practices to reduce the GHG emission and make contribution to the environmental protection. Reduction of GHG emission refers to the core issue in the Company's assessment and management of impact posed by weather. The Company prepares budget each year to replace old and energy-consumption electrical appliances. The new electrical appliances purchased by the Company are almost the 1st-grade energy-efficiency products that may reduce power consumption. The Company owns 12 business locations throughout the nation. Most of the business locations are situated at the metropolitan areas with convenient traffic. In order to reduce the CO2 emitted from transportation means, we encourage our employees to use mass transportation means more frequently to commute between work and home, and avoid driving vehicles or riding motorcycles as possible</p>	<p>No material deviation. In the future, the Company will continue to research and draft the policy in response to related laws and regulations.</p>

			as they can, in order to mitigate the impact posed to the environment.	
(IV) Does the Company gather the statistics about the annual GHG emission, water consumption and gross weight of waste for the past two years, and adopts policies for GHG reduction, reduction of water consumption, or other waste management?	✓		As a financial service business, the Company has no such problem about consumption of energy by production of tangible products. The Company has successively introduced energy-conservation products. The Company applies energy-conservation LED lamps, and adopts the projection TV wall to display stock market quotation.	No material deviation. In the future, the Company will continue to research and draft the policy in response to related laws and regulations.
IV. Social Issues (I) Has the company formulated relevant management policies and procedures in accordance with relevant laws and regulations as well as the International Bill of Human Rights?	✓		All business activities of the Company's are conducted in accordance with government regulations, and are in compliance with the regulations of human rights-related regulations in Taiwan. The Company supports and respects the human rights and equality recognized internationally, and would never discriminate based on gender, race and religious belief when recruiting personnel, performing appraisal and determining reward and remuneration. The Company's development relies on harmonious labor-management relationship. The Company establishes its work rules in accordance with the "Labor Standards Act" in order to expressly define both parties' right and obligation.	No material deviation. In the future, the Company will continue to research and draft the policy in response to related laws and regulations.
(II) Whether the Company adopts and implements reasonable employee benefit policy (including remuneration, vacation and other benefits, etc.), and reflects the operating performance or results to the remuneration to employees adequately?	✓		(I) The Company's management policies on labor-employer relationship and labor-management relationship focus on the issues, such as remuneration and benefits, employees' training, employees' health, communication with employees, and gender equality , establishment of	No material deviation. In the future, the Company will continue to research and

			<p>legal organizations and adoption of reasonable and compliant operating regulations and welfare systems, in order to achieve the management target to care employees.</p> <p>(II) The Company provides diversified benefit measures, including issuance of RSAs, festival bonuses, subsidies (e.g. maternity allowance, marriage allowance, gift money for the completion of new home, gift money for birthday, condolences for hospitalization and death, etc.); the Company provides paid leaves based on the seniority, 30 days of annual sick leave with half pay, maternity exam leave, maternity leave, paternity exam and paternity leave, as well as bereavement leave, marriage leave, and parental leave of absence system.</p> <p>(III) All full-time employees of the Company set personal goals in accordance with the "Evaluation Criteria for Managerial Officers and Employees' Development and Performance," and based on the Company's strategic direction and departmental goals. The employees' performance are conducted by department heads through annual performance evaluations. The evaluation results will be effectively linked to the personal year-end performance bonus. The "Rewards and Disciplinary Measures" are also established. Rewards and punishments to employees will be included in the performance evaluation of these recipient for the year, and the scope of impact includes annual salary adjustments, year-end bonuses, performance bonuses, project bonuses or other bonuses.</p>	<p>draft the policy in response to related laws and regulations.</p>
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<p>(III) Does the Company provide employees with a safe and healthy work environment, and provide safety and health education to employees regularly?</p>	<p>✓</p>	<p>(I) The Company's business locations comply with the local government laws and regulations, and conduct fire protection inspection periodically to protect employees' safety in workplace. The Company uses the best effort to improve the working environment according to the Occupational Safety and Health Act. Since 2001, the Company has adopted gypsum boards or calcium silicate compartments for the partitions inside the Company, in order to replace angle materials as the compartmental framework. Meanwhile, the Company ceases to use the counter made of wooden materials, and replaces it with OA office furniture. The Company also adopts the storage cabinets made of iron or fireproof polymer boards, thus reducing the use of wooden materials directly or indirectly. The light steel frame ceiling has also been replaced by calcium silicate boards, in order to reduce dust.</p> <p>(II) The Company implements four major plans to promote workplace health, including "Maternal Health Protection Plan," "Preventive Plan for Abnormal Workload Trigger," "Ergonomic Hazard Prevention Plan," "Prevention Plan for Unlawful Damage when Conducting Business;" released a workplace overload questionnaire to understand employees' workload, needs, and physical and mental conditions, and to provide health education consultation and care to employees with medium and high-risk.</p> <p>(III) The Company appoints medical staff to conduct eight on-site health consultation services each month. Employees are provided with one-on-one interviews with nurses to provide timely care and</p>	<p>No material deviation. In the future, the Company will continue to research and draft the policy in response to related laws and regulations.</p>
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			<p>health education for employees' health. In addition, contracted physicians to come to the Company for health sharing, as well as provides health promotion and health education consulting services every six months.</p> <p>(IV) In order to take better care of the health of employees, in addition to holding employee health examination every three years, starting from 2022, the health examination items have been superior to those stipulated in the Labor Health Protection Regulations; a half-day health examination leave is provided to facilitate employees to arrange related examinations and allow them to obtain more detailed test results to promote the health management.</p> <p>(V) The Company has established a "Stress Relief Station" and hired two visually impaired masseuses, to provide 28 massage sessions per week, so that employees can relax their body and mind, and release stress in a timely manner through the massage services.</p>	
<p>(IV) Does the Company have an effective career capacity development training program established for employees?</p>	<p>✓</p>		<p>The Company has set forth the "Regulations Governing Education and Training Management" as its management policy. The Company organizes the orientation and in-service training programs in response to the competent authority's requirements, and also plans various professional training and management training programs, including external and internal training, in order to help employees access systematic and professional training, and also cultivate the effective attitude, knowledge and skills to execute the Company's mission via various learning resources, thus improving the employees' expertise and business performance.</p>	<p>No material deviation. In the future, the Company will continue to research and draft the policy in response to related laws and regulations.</p>

<p>(V) Does the Company comply with related laws and international practices with respect to customers' health and safety, customers' privacy, marketing and labeling for its products and services, adopt related policies or procedures for protecting the rights and interests of consumers or customers, and set up appealing procedures?</p>	<p>✓</p>		<p>The Company complies with the Personal Data Protection Act to safeguard customer privacy and has established the Personal Data Protection Management Regulations as a guiding policy for employees to follow in the execution of personal data protection tasks. A dedicated customer service hotline is available, along with mechanisms for handling complaints and dispute resolution. In conducting business operations, the Company respects customers' rights and interests and ensures proper resolution of transaction disputes to uphold consumer protection. In support of this, the Company has also implemented policies and procedures such as the Fair Treatment of Customers Policy, Procedures for Handling Financial Consumer Disputes, and the Ethical Corporate Management Best Practice Procedures to enhance employees' awareness and compliance regarding consumer protection. Additionally, the Company adheres to the self-regulatory guidelines set forth in the Regulations Governing Advertising, Solicitation, and Business Promotion by Members of the Taiwan Securities Association, to protect investors and maintain fairness in the securities market when conducting marketing and promotional activities.</p>	<p>No material deviation. In the future, the Company will continue to research and draft the policy in response to related laws and regulations.</p>
<p>(VI) Does the Company adopt any specific suppliers' management policy to require suppliers to comply with the related regulations governing environmental protection, occupational safety and health or labors' human rights, and provide information on the implementation of the policy?</p>	<p>✓</p>		<p>The Company carefully implements the management of suppliers, including the following emphases:</p> <p>(I) Establish a comprehensive supplier audit system and rigorous internal approval procedures for supplier evaluation in accordance with the supplier evaluation-related regulations stipulated in the Company's "Procurement Procedures" and "Procurement Standard Operating Procedures," to select the best suppliers.</p>	<p>No material deviation. In the future, the Company will continue to research and draft the policy in response to</p>

			<p>(II) Before conducting business with a supplier, in addition to understanding the background, operating conditions, and financial status of the transaction counterparty fully, the Company must conduct a stakeholder review, to ensure compliance with relevant laws and regulations, to avoid transaction risks.</p> <p>(III) Suppliers are invited to sign the "Corporate Social Responsibility Commitment" and comply with the corporate social responsibility commitments related to ethical corporate management, labor and human rights, and environmental sustainability. Suppliers that have signed include world-class brands Snøhetta and Unifor.</p> <p>(IV) Evaluate whether a property has energy-saving equipment or whether the equipment is outdated before renting the property. Priority is given to leasing properties with new energy-saving equipment, or the lessor is willing to replace the old equipment with the new energy-saving equipment.</p>	related laws and regulations.
V. Does the Company prepare the report disclosing the Company's non-financial information, such as the Sustainability Report, based on the guidelines or directions for preparation of reports applicable internationally? Have the aforesaid reports been assured or certified by a third-party verification agency?	✓		The Company prepares the report disclosing the Company's non-financial information, such as Sustainability Report, based on the guidelines or directions for preparation of reports applicable internationally. For details, please refer to the stakeholder section on the Company's website. The said report obtained partial assurance or guarantee from a third-party certification unit.	No material deviation. In the future, the Company will continue to research and draft the policy in response to related laws and regulations.

VI. If the Company has established its own Sustainable Development Best-Practice Principles in accordance with the “Sustainable Development Best-Practice Principles for TWSE/TPEX-Listed Companies”, please describe the current practices and any deviations of its practices from said Principles: None.

VII. Any other important information which facilitates the understanding of promotion of sustainability development:

(VI) Climate-related information:

1. Implementation of Climate-Related Information

Item	Implementation Status
<ol style="list-style-type: none"> 1. Describe the board of directors’ and management’s oversight and governance of climate-related risks and opportunities. 2. Describe how the identified climate risks and opportunities affect the business, strategy, and finances of the business (short, medium, and long term). 3. Describe the financial impact of extreme weather events and transformative actions. 4. Describe how climate risk identification, assessment, and management processes are integrated into the overall risk management system. 5. If a scenario analysis is used to evaluate the resilience in the face of climate change risks, the scenarios, parameters, assumptions, analysis factors and main financial impacts used shall be explained. 6. If there is a transformation plan in response to the management of climate-related risks, describe the content of the plan, and the indicators and targets used to identify and manage physical risks and transformation risks. 7. If internal carbon pricing is used as a planning tool, the basis for setting the price should be stated. 8. If climate-related targets have been set, the activities covered, the scope of greenhouse gas emissions, the planning horizon, and the progress achieved each year should be specified. If carbon credits or renewable energy certificates (RECs) are used to achieve relevant targets, the source and quantity of carbon credits or RECs to be offset should be specified. 9. Greenhouse gas inventory and assurance status and reduction targets, strategy, and 	<ol style="list-style-type: none"> 1. The Company's Board of Directors has authorized the senior management to set up the Sustainable Development Task Force, responsible for promoting the Company's sustainable development tasks, and the scope of task covers climate-related risk management. The Sustainable Development Task Force regularly reports of the Company’s implementation of sustainable development to the Board of Directors. The Company's risk management principles have expressly stipulated that the Company shall establish climate risk assessment and management mechanisms depending on the scale of business development, to reduce climate risks. 2. Given the Company’s current business scale and development status, the primary focus remains on enhancing techniques related to market, credit, operational, and liquidity risk management. However, the Company has initiated planning for the establishment of a climate risk assessment and management mechanism. 3. In accordance with the guidelines and examples provided by the Securities Association, the Company conducts climate change scenario analysis to evaluate its resilience to climate-related risks. This includes the disclosure of the scenarios, parameters, assumptions, analytical factors, and the key financial impacts involved.

concrete action plan (separately fill out in points 1-1 and 1-2 below).

2. Greenhouse Gas Inventory and Assurance Status for the Most Recent 2 Fiscal Years

(1) Greenhouse Gas Inventory Information

Describe the emission volume (metric tons CO₂e), intensity (metric tons CO₂e/NT\$ million), and data coverage of greenhouse gases in the most recent 2 fiscal years.

➤ 2025

Emissions: 819.681 (tons CO₂e)

Intensity: 38.50%

Data coverage: direct emissions, indirect emissions from energy sources

➤ 2024

Emissions: 866.773 (tons CO₂e)

Intensity: 54.09%

Data coverage: direct emissions, indirect emissions from energy sources

(2) Greenhouse Gas Assurance Information

Describe the status of assurance for the most recent 2 fiscal years as of the printing date of the annual report, including the scope of assurance, assurance institutions, assurance standards, and assurance opinion.

Assurance will be conducted in accordance with the schedule.

3. Greenhouse Gas Reduction Targets, Strategy, and Concrete Action Plan

Specify the greenhouse gas reduction base year and its data, the reduction targets, strategy and concrete action plan, and the status of achievement of the reduction targets.

The Company will disclose the Company's carbon reduction goals, strategies and concrete action plans in accordance with the laws and regulations

(VII) Deviation from Ethical Corporate Management Best Practice Principles for TWSE/TPEX-Listed Companies and Reasons:

Assessment criteria	Status (Note)			Deviation from Ethical Corporate Management Best-Practice Principles for TWSE/TPEX-Listed Companies and causes thereof
	Yes	No	Summary	
<p>I. Establish ethical management policies and plans</p> <p>(I) Has the Company stated in its Articles of Incorporation or external correspondence about the ethical management policies and practices passed by the Board of Directors and the commitment of the Board of Directors and senior management to actively implement the operating policies?</p> <p>(II) Has the Company established the assessment mechanism about unethical conduct to analyze and assess the operating activities with higher risk of unethical conduct in the scope of business periodically, and adopted the unethical conduct prevention program based on the mechanism, which shall at least cover the prevention measures referred to in the subparagraphs of Paragraph 2, Article 7 of the “Ethical Corporate Management Best Practice Principles for TWSE/TPEX-Listed Companies”?</p> <p>(III) Whether the Company expressly states the SOP, guidelines for conduct and reward & punishment and grievance systems in the unethical conduct prevention program, implements the same</p>	<p>✓</p> <p>✓</p> <p>✓</p>		<p>(I) The Company has established the "Procedures for Ethical Management and Guidelines for Conduct" as the guidelines for establishing a corporate culture of ethical management and the operation of business activities. The Board of Directors and senior management also promise to actively implement these procedures.</p> <p>(II) The Company sets forth the unethical conduct prevention program in its “Procedure for Ethical Management”, and expressly states the SOP, guidelines for conduct and reward & punishment and grievance systems therein, and implements the same precisely.</p> <p>(III) The Company has set forth the prevention policy for the operating activities referred to in Paragraph 2 of Article 7 of the “Ethical Corporate Management Best-Practice Principles for TWSE/TPEX-Listed Companies” or with</p>	<p>(I) No material deviation.</p> <p>(II) No material deviation.</p> <p>(III) No material deviation.</p>

precisely, and reviews amendments to said program periodically?			higher risk of unethical conduct in the scope of business.	
<p>II. Implementation of ethical management</p> <p>(I) Does the Company evaluate the integrity of all counterparts it has business relationships with? Are there any integrity clauses in the agreements it signs with business partners?</p> <p>(II) Does the Company establish a unit dedicated to (or concurrently engaged in) promoting ethical corporate management under supervision of the Board of Directors which shall be responsible for reporting the status of implementation of the ethical management policy and unethical conduct prevention program to the Board of Directors periodically (at least for once per year)?</p> <p>(III) Has the Company developed policies to prevent conflicts of interest, provided adequate channel for communication, and substantiated the policies?</p> <p>(IV) Does the Company fulfill the ethical management by establishing an effective accounting system and internal control system, and have an internal audit unit research and adopt related audit plans based on the unethical conduct risk assessment result and conduct audits on the compliance by the</p>	<p>✓</p> <p>✓</p> <p>✓</p> <p>✓</p>		<p>(I) All of the Company's trading counterparts must go through the ethical conduct record assessment. The ethical conduct clauses shall be expressly defined in the contracts executed with them.</p> <p>(II) The Company's unit dedicated to promoting ethical corporate management is the Legal Affair Department, which shall also report the status to the Board of Directors each year. The 2025 ethical management policies and unethical conduct prevention programs, and status thereof, were reported to the board meeting on March 12, 2026.</p> <p>(III) The Company has clearly stipulated in the "Operating Procedures for Ethical Management" that when performing the Company's business, if the personnel of the Company discover that they have a conflict of interest with themselves or the legal person they represent, or if themselves, spouses, parents, children, or any related party have received improper benefits, the relevant information shall be reported to the direct supervisor and the designated unit of the Company at the same time, and the direct supervisor shall provide appropriate guidance.</p> <p>(IV) In order to implement the ethical management, the Company has established effective accounting system and internal control system. The Company has the internal audit unit conduct the audit periodically, or retained CPAs to conduct the audit.</p>	<p>(I) No deviation.</p> <p>(II) No deviation.</p> <p>(III) No deviation.</p> <p>(IV) No deviation.</p>

<p>unethical conduct prevention program, or appoint a CPA to conduct the audits?</p> <p>(V) Does the Company organize internal or external training on a regular basis to maintain business integrity?</p>	<p>✓</p>		<p>(V) The Company regularly conducts education and training programs each year. On December 12, 2025, the Company engaged former Criminal Investigation Bureau officer Mr. Cheng-Hsien Hu to conduct a 3-hour education and training session entitled "Case Study on Money Laundering Prevention and Ethical Management," with a total of 359 participant attendances. All employees of the Company participated in the session. In addition, the Company provides annual education and training on ethical corporate management for directors through invited lecturers, written materials, or e-mails. The training and promotional content includes concepts relating to ethical corporate management, anti-bribery, and prevention of insider trading. Additionally, new directors are provided with relevant materials on ethical management upon assuming their positions. In 2025, all 10 directors required to participate in the annual ethical management training completed the program.</p>	<p>(V) No deviation.</p>
<p>III. Implementation of the Company's whistle-blowing system</p> <p>(I) Does the Company have a specific report and reward system stipulated, a convenient whistle-blowing channel established, and a responsible staff designated to deal with the whistle-blown individual?</p>	<p>✓</p>		<p>(I) The Company has established the Regulations for Processing Whistleblowing Cases. The Legal Affairs Dept. is the unit responsible for processing reports. The whistleblower may file a report to the Company's processing unit through the whistleblowing channels set up by the Company on the website for the Audit Dept. to conduct investigations.</p>	<p>(I) No deviation.</p>

<p>(II) Does the Company define the standard operating procedure, followup measures to be taken upon completion of the investigation, and nondisclosure mechanism toward the investigation of whistle-blown case as accepted?</p> <p>(III) Has the Company taken proper measures to protect the whistle-blowers from suffering any consequence of reporting an incident?</p>	<p>✓</p> <p>✓</p>		<p>(II) Article 5 and Article 7 of the Regulations for Processing Whistleblowing Cases specify the investigation procedures and related confidentiality mechanisms for reported matters.</p> <p>(III) Article 5 of the Regulations for Processing Whistleblowing Case specifies that the Company may not permit whistleblowers to be subject to inappropriate treatment for their reports such as dismissal, relief of duty, demotion, salary cut, any loss of benefit that they are entitled to under laws, contracts or customary practices, or adverse treatments of any kind.</p>	<p>(II) No deviation.</p> <p>(III) No deviation.</p>
<p>IV. Enhanced information disclosure Has the Company disclosed its integrity principles and progress onto its website and Market Observation Post System (MOPS)?</p>	<p>✓</p>		<p>The Company has disclosed the "Operating Procedures for Ethical Management" and the report on the implementation status of ethical corporate management for 2025 on its website.</p>	<p>No deviation.</p>
<p>V. If the Company has established its own ethical corporate management best practice principles in accordance with the “Ethical Corporate Management Best-Practice Principles for TWSE/TPEX-Listed Companies”, please describe the current practices and any deviations thereof from the Principles set forth by it: The Company has set forth the Procedure for Ethical Management, and practice the ethical management in accordance with the Procedure. There is no deviation of its practices from the Procedure.</p>				
<p>VI. Other important information to facilitate better understanding of the Company's implementation of ethical corporate management: (e.g., review and amendment of the Company's Ethical Corporate Management Best Practice Principles)</p> <ol style="list-style-type: none"> 1. The Company complies with the Company Act, Securities and Exchange Act, Business Entity Accounting Act, TWSE/TPEX-listed company regulations or other business conduct-related laws and regulations as the basis for implementation of ethical management. 2. The Company's “Rules of Procedure for Board Meeting” expressly states the system for directors’ recusal from conflict of interest. Where any motions submitted to the Board meeting involve conflict of interest with any director himself/herself or the juristic person represented by him/her and, therefore, it is likely to impair the interest of the Company, the director may state his/her own opinion and answers but shall recuse himself/herself from discussion and voting, and also be prohibited from exercising voting right on behalf of another director. 3. The Company completed integrity management training for all directors and employees in December 2025, and reported to the Board of Directors on March 12, 2026. 				

4. The Company has adopted the “Regulations for Prevention of Insider Trading” to expressly state that directors, supervisors, managers and employees shall not disclose any material internal information known by them to others, or inquire with any persons accessible to the Company’s material internal information for any information, or collect any information irrelevant to their job duty that has not yet been disclosed by the Company. The material internal information not yet disclosed by the Company known by them due to any causes other than performance of their duties shall not be disclosed to others either. The Company is used to upholding the ethical management principles in the conduct of business and always acts in accordance with laws.

(VIII) Other important information on the operation of corporate governance:

1. Fitch International confirmed on November 3, 2025, the Company’s ratings were as follows: domestic long-term credit rating as BBB+(twn), domestic short-term credit rating as F2 (twn), and domestic long-term outlook rating as Stable.
2. In order to practice the corporate governance and improve the Board’s and functional committees’ functions and set the performance targets to strengthen operating efficiency, the Company’s Board of Directors resolved on September 17, 2018 to pass the establishment of the Company’s “Regulations Governing Board and Functional Committee Performance Evaluation”, in accordance with the competent authority’s requirements. The Company has completed the Board and functional committee members’ self-evaluation before the first Board of Directors meeting in 2026, and reported the evaluation results to the Board of Directors on March 12, 2026.
3. Continuing education of Directors/Chief Corporate Governance Officer:

Position	Name	Training date	Organizer	Name of Course	Hours	Total hours of the continuing education for the year
Chairman	Ku-Han Huang	2025/09/25	Securities and Futures Institute	Fair Customer Treatment, Analysis of Non-Regular Transactions by Directors and Supervisors, and Case Studies Discussion	3.0	6.0
		2025/09/25	Securities and Futures Institute	Ethical Corporate Management, Analysis of Fraudulent Schemes, and Introduction to Cases Relating to Anti-Money Laundering Regulations (including insider trading awareness)	3.0	
Vice Chairman	Ming-Li Chuang	2025/09/25	Securities and Futures Institute	Fair Customer Treatment, Analysis of Non-Regular Transactions by Directors and Supervisors, and Case Studies Discussion	3.0	6.0
		2025/09/25	Securities and Futures Institute	Ethical Corporate Management, Analysis of Fraudulent Schemes, and Introduction to Cases Relating to Anti-Money Laundering Regulations (including insider trading awareness)	3.0	
Director	Yang-Juh Lai	2025/09/25	Securities and Futures Institute	Fair Customer Treatment, Analysis of Non-Regular Transactions by Directors and Supervisors, and Case Studies Discussion	3.0	6.0
		2025/09/25	Securities and Futures Institute	Ethical Corporate Management, Analysis of Fraudulent Schemes, and Introduction to	3.0	

				Cases Relating to Anti-Money Laundering Regulations (including insider trading awareness)		
Director	Wei-Chung Li	2025/09/25	Securities and Futures Institute	Fair Customer Treatment, Analysis of Non-Regular Transactions by Directors and Supervisors, and Case Studies Discussion	3.0	6.0
		2025/09/25	Securities and Futures Institute	Ethical Corporate Management, Analysis of Fraudulent Schemes, and Introduction to Cases Relating to Anti-Money Laundering Regulations (including insider trading awareness)	3.0	
Director	Hsuan-Ching Peng	2025/09/25	Securities and Futures Institute	Fair Customer Treatment, Analysis of Non-Regular Transactions by Directors and Supervisors, and Case Studies Discussion	3.0	6.0
		2025/09/25	Securities and Futures Institute	Ethical Corporate Management, Analysis of Fraudulent Schemes, and Introduction to Cases Relating to Anti-Money Laundering Regulations (including insider trading awareness)	3.0	
Director	Ming-Dao Gu	2025/09/25	Securities and Futures Institute	Fair Customer Treatment, Analysis of Non-Regular Transactions by Directors and Supervisors, and Case Studies Discussion	3.0	6.0
		2025/09/25	Securities and Futures Institute	Ethical Corporate Management, Analysis of Fraudulent Schemes, and Introduction to Cases Relating to Anti-Money Laundering Regulations (including insider trading awareness)	3.0	
Director	Fu-Liang Wan	2025/09/25	Securities and Futures Institute	Fair Customer Treatment, Analysis of Non-Regular Transactions by Directors and Supervisors, and Case Studies Discussion	3.0	6.0
		2025/09/25	Securities and Futures Institute	Ethical Corporate Management, Analysis of Fraudulent Schemes, and Introduction to Cases Relating to Anti-Money Laundering Regulations (including insider trading awareness)	3.0	

Independent Director	Ching-Hsiu Chen	2025/09/25	Securities and Futures Institute	Fair Customer Treatment, Analysis of Non-Regular Transactions by Directors and Supervisors, and Case Studies Discussion	3.0	6.0
		2025/09/25	Securities and Futures Institute	Ethical Corporate Management, Analysis of Fraudulent Schemes, and Introduction to Cases Relating to Anti-Money Laundering Regulations (including insider trading awareness)	3.0	
Independent Director	Li-Yi Cheng	2025/09/25	Securities and Futures Institute	Fair Customer Treatment, Analysis of Non-Regular Transactions by Directors and Supervisors, and Case Studies Discussion	3.0	6.0
		2025/09/25	Securities and Futures Institute	Ethical Corporate Management, Analysis of Fraudulent Schemes, and Introduction to Cases Relating to Anti-Money Laundering Regulations (including insider trading awareness)	3.0	
Independent Director	Keng-Chou Lin	2025/11/28	CPA Association R.O.C. (Taiwan)	National Risk Assessment Results and Evaluation Planning for Money Laundering, Terrorism Financing, and Proliferation Financing of Weapons of Mass Destruction	3.0	6.0
		2025/12/11	CPA Association R.O.C. (Taiwan)	Analysis of Corporate Regulations and Corporate Registration Practices	3.0	
Chief Corporate Governance Officer	Huei-Cheng Shen	2025/07/09	Taiwan Stock Exchange Corporation (TWSE)	2025 Cathay Sustainable Finance and Climate Change Summit	6.0	12.0
		2025/09/25	Securities and Futures Institute	Fair Customer Treatment, Analysis of Non-Regular Transactions by Directors and Supervisors, and Case Studies Discussion	3.0	
		2025/09/25	Securities and Futures Institute	Ethical Corporate Management, Analysis of Fraudulent Schemes, and Introduction to Cases Relating to Anti-Money Laundering Regulations (including insider trading awareness)	3.0	

4. Execution of consumer protection or customer policy: The Company has set forth the “Fair Deal Policy and Strategy” and “Procedure for Settlement of Dispute Over Financial Consumption”, in order to improve the employees’ knowledge of financial consumer protection and compliance with financial consumer protection laws and regulations.
5. For directors’ avoidance of motions which involves conflict of interest: The Company’s directors have recused themselves from any motions involving a conflict of interest with them. For details, please refer to the Information about functionality of the Board of Directors (Pages 39 to 40 of the annual report).
6. The Company’s purchase of liability insurance for directors: The Company reported to the Board of Directors on August 21, 2025 and purchased the liability insurance from Hotai Insurance Co., Ltd. The insurance period was effective from May 7, 2025 until May 7, 2026. The insured value was US\$5 million.

(IX) Implementation of internal control system:

1. Declaration of Internal Control System

**Good Finance Securities Co., Ltd.
Declaration of Internal Control System**

Date: March 12, 2026

The Company states the following with respect to its 2025 internal control system based on the results of a self-assessment:

- I. The Company is aware that the establishment, execution, and maintenance its internal control policies are the responsibility the Company's Board of Directors and Managers; such policies were implemented throughout the Company. The purpose of this system is to provide reasonable assurance in terms of business performance, efficiency (including profitability, performance, asset security, etc.) reliable, timely and transparent financial reporting, and regulatory compliance.
- II. Internal control policies are prone to limitations. No matter how robustly designed, effective internal control policies merely provide reasonable assurance to the achievements of the three goals above. Furthermore, environmental and situational changes may affect the effectiveness of internal control policies. However, self-supervision measures were implemented within the Company's internal control policies to facilitate immediate rectification once procedural flaws were identified.
- III. The Company evaluates the effectiveness of its internal control policy design and execution based on the criteria specified in "Regulations Governing the Establishment of Internal Control Systems by Service Enterprises in Securities and Futures Markets" (hereinafter referred to as the "Regulations"). The criteria introduced by the "Regulations" consisted of five major elements, each representing a different stage of internal control: 1. Control environment, 2. Risk evaluation and response, 3. Procedural control, 4. Information and communication, 5. Supervision. Each element further contains several items. Please refer to the Regulations for the details.
- IV. The Company has adopted the above-mentioned criteria to validate the effectiveness of its internal control design and execution.
- V. Based on the assessments described above, the Company considers the design and execution of its internal control system to be effective as at December 31, 2025, except for matters specified in the Attachment. This system (including the supervision and management of subsidiaries and overall implementation of information security) has provided assurance with regards to the Company's business results, target accomplishments, reliability, timeliness and transparency of reported financial information, and its compliance with relevant laws.
- VI. This statement forms an integral part of the Company's annual report and prospectus, and shall be made public. Any illegal misrepresentation or non-disclosure in the public statement above are subject to legal consequences described in Articles 20, 32, 171, and 174 of the Securities and Exchange Act and Article 115 of the Futures Trading Act.
- VII. The Declaration was approved at the Company's Board of Directors meeting held on March 12, 2026. None of the 11 directors present at the meeting held any objections, and all directors unanimously agreed to the contents of the Declaration.

Good Finance Securities Co., Ltd.

Chairman: Ku-Han Huang (with seal/signature)

General Manager: Ta-Hsiu Chuang (with seal/signature)

Audit Manager: Chun-Han Chuang (with seal/signature)

Supreme supervisor responsible for information security: Tzu-Hui Lin (with seal/signature)

Internal Control System Items for Improvement and Corrective Action Plan of Good Finance Securities Co., Ltd.

Base Date: December 31, 2025

Items for improvement	Corrective action plan	Scheduled time to complete the improvement
None	None	None

Note: Specify the warnings penalties including warnings (inclusive) or above or fines of NT\$240,000 or above imposed by the competent authority in the current year; specify the improvements made for information security deficiencies found in audits conducted by the competent authority, Taiwan Stock Exchange, Taipei Exchange, and Taiwan Futures Exchange.

2. The independent auditor's report issued by the CPA commissioned to conduct an internal control audit, if any: None.

3. Declaration of AML/CFT Internal Control

Declaration of AML/CFT Internal Control System

We hereby declare on behalf of Good Finance Securities Co., Ltd. (hereinafter referred to as “the Company”) that the Company has established the internal control system, implemented risk management and appointed the independent audit entity to conduct the audit and report to the Audit Committee and the Board of Directors periodically, in accordance with the AML/CFT-related laws and regulations from January 1, 2025 to December 31, 2025. Upon careful evaluation on various units’ AML/CFT internal controls and compliance this year, the units are considered executing the internal controls and compliance effectively, except for the items enumerated in the “AML/CFT Internal Control System Items for Improvement and Corrective Action Plan” attached hereto.

To:

Financial Supervisory Commission

Declarant

Chairperson: Huang Ku-Han

General Manager: Chuang Ta-Hsiu

Audit Manager: Chun-Han Chuang

AML/CFT Reporting Officer: Wei-Chun Lin

March 12, 2026

AML/CFT Internal Control System Items for Improvement and Corrective Action Plan
(Base date: December 31, 2025)

Items for improvement	Corrective action plan	Scheduled time to complete the improvement
None	None	None

(X) Important resolutions of the shareholder meeting and board meeting during the most recent year or during the current year up to the date of publication of the annual report:

1. Important resolutions of the shareholders' meeting on June 19, 2025

Matters	Cause	Resolution	Implementation Status
Proposed Resolutions	(I) 2024 Business Report and Financial Statements	The motion was passed as proposed by the number of votes cast in favor of the motion.	The motion was passed in accordance with the resolution of the shareholders' meeting.
	(II) 2024 Earnings Distribution Table	The motion was passed as proposed by the number of votes cast in favor of the motion.	The motion was passed in accordance with the resolution of the shareholders' meeting.
Discussion	(I) Amendments to the Company's Articles of Incorporation.	The motion was passed as proposed by the number of votes cast in favor of the motion.	The registration was approved by the Ministry of Economic Affairs, and the announcement was made on the Company's website.

2. Major resolutions of the Board of Directors in the most recent year (2025) up till the publication date of this annual report

Date and session of Board meeting	Motions
2025.01.06 5th extraordinary meeting of the 18th term	I. Approved the record date for capital increase of the second issuance of new restricted employee shares in 2022 was adjusted.
2025.02.20 10th meeting of the 18th term	I. Approved the list of employees who were granted the second allotment of the RSAs in 2022 and the change of the number of shares. II. Approved the appointment of the supervisor of the Technology Product Department.
2025.03.06 11th meeting of the 18th term	I. Approved the 2024 business report. II. Approved the Company's 2024 parent company only and consolidated financial statements. III. Approved the Company's 2024 second half earnings appropriation. IV. Approved the record date for the ex-dividend date of the distribution of cash dividends from earnings in the second half of 2024 was set by the Company. V. Approved the Company's 2024 earnings appropriation. VI. Approved the distribution of remuneration to employees and directors of the Company for 2024. VII. Approved the amendments to the Articles of Incorporation. VIII. Approved the establishment of the Company's "Guidelines for Professional Investor Review Operations." IX. Approved the 2024 Statement of Internal Control System. X. Approved the issuance of the Company's 2024 Declaration of AML/CFT Internal Control System.

<p>2025.04.24 6th extraordinary meeting of the 18th term</p>	<p>I. Approved the Company's proposed acquisition of a headquarters building.</p>
<p>2025.05.08 12th meeting of the 18th term</p>	<p>I. Approved the Company's 2025 first-quarter consolidated financial statements. II. Personnel matter of the Finance Department of the Company is submitted for approval. III. Approved the assignment of directors and supervisors to the subsidiary, Good Finance Securities Investment Consulting Co., Ltd. IV. Approved the submission of the Company's 2025 financial service industry Fair Customer Treatment Principles evaluation form to the FSC. II. Approved the personnel matter the Tainan Branch of the Company.</p>
<p>2025.06.24 7th extraordinary meeting of the 18th term</p>	<p>I. Approved the Salary adjustment for the Company's managers III. Personnel matter of the Audit Department of the Company is submitted for approval.</p>
<p>2025.08.21 13th meeting of the 18th term</p>	<p>I. Approved the Company's Business Report for the first half of 2025. II. Approved the Company's Q2 2025 parent company only financial report audited by the CPAs. III. Approved the Company's 2025 second half earnings appropriation. IV. Approved the preparation and filing of the Company's 2024 Sustainability Report. V. Approved the Company's application for outsourcing CRM_Salesforce cloud operations. VI. Approved the Company's proposed issuance of subordinated unsecured corporate bonds. VII. Approved the Company's dividend distribution guidelines. VIII. Approved the distribution of 2024 directors' remuneration.</p>
<p>2025.09.25 8th extraordinary meeting of the 18th term</p>	<p>I. Approved the application to the competent authority for the operation of brokerage trading of foreign securities at each branch office.</p>
<p>2025.11.06 14th meeting of the 18th term</p>	<p>I. Approved the Company's 2025 third-quarter consolidated financial statements. II. Approved the amendment to the Company's "Investment Management Principles." II. Approved the motion of the Company's personnel.</p>
<p>2025.12.18 15th meeting of the 18th term</p>	<p>I. Approved the Company's 2026 business plan. II. Approved the Company's 2026 budget plan. III. Approved the proposal for the appropriation and payment of the 2024 employee remuneration and 2025 earnings bonus. IV. Approved the issuance of 2026 RSAs by the Company. V. Approved the amendment to the Company's "Investment Management Principles." VI. Approved to replace the CPAs and the remuneration of the CPAs in 2026, and</p>

	evaluation of their independence and suitability.
2026.03.12 16th meeting of the 18th term	<ul style="list-style-type: none"> I. Approved the 2025 business report. II. Approved the Company's 2025 parent company only and consolidated statements. III. Approved the distribution of remuneration to employees and directors of the Company for 2025. IV. Approved the Company's 2025 second half earnings appropriation. V. Approved the record date for the ex-dividend date of the distribution of cash dividends from earnings in the second half of 2025 was set by the Company. VI. Approved the Company's 2025 earnings appropriation. VII. Approved the Company's proposed disposal of Company-owned assets. VIII. Approved the 2025 Statement of Internal Control System. IX. Approved the issuance of the Company's 2025 Declaration of AML/CFT Internal Control System X. Approved the amendments to the Articles of Incorporation.

(XI) Where, during the most recent fiscal year or during the current fiscal year up to the date of publication of the annual report, a director or supervisor has expressed a dissenting opinion with respect to a material resolution passed by the board of directors, and said dissenting opinion has been recorded or prepared as a declaration, disclose the principal content thereof: none.

IV. Public Fees of CPAs

(I) Information about CPA's Audit Fees

Unit: NT\$ thousand

Name of CPA Firm	Name of CPA	Audit Period	Audit Fee	Non-Audit Fee	Total	Remarks
Deloitte & Touche	Chun-Lin Wu	2025	2,640	360	3,000	
	Pei-De Chen	2025				

Note: The specific content of the non-audit fees includes tax certification services and the review of the salary information checklist for full-time employees not serving in managerial positions.

(II) If a change of CPA firm resulted in a lower audit fee for that year compared to the previous year, the amount, percentage, and reason of the reduction before and after the change must be disclosed: None.

(III) If the audit fee was reduced by more than 10% from the previous year, the actual amount, proportion, and reasons for the reduction must be disclosed: Not applicable.

V. Information on change of CPA:

(I) Regarding the predecessor CPA

Date of Replacement	From Q1 2026		
Reasons for replacement and description	Replacement of CPAs in line with the internal organizational adjustment of Deloitte Taiwan, starting from Q1 2026, the signing CPAs have been changed from Chun-Lin Wu and Pei-Te Chen to Chun-Lin Wu and Mei-Hui Wu.		
Explain whether it was due to the appointer's or accountant's termination or the accountant's declination of the appointment	Counterpart Status	CPA	Principal of the Company
	The appointment was terminated voluntarily	-	-
	No longer accepted (continued) the appointment	-	-
Issuance of the audit report other than the audit report containing unqualified opinions in the most recent two years, and cause thereof	None		
If there is any disagreement with the issuer	Yes	-	Accounting principles or practices
		-	Disclosure of financial reports
		-	Scope or steps of audit
		-	Others
	None	V	
Description	-		
Other disclosures (required by Article 10, Paragraph 6, Item 1-4 to 1-7 of the Guidelines)	None		

(II) Regarding the succeeding accountant:

Name of CPA firm	Deloitte & Touche
Name of CPA	CPAs Chun-Lin Wu and Mei-Hui Wu
Date of appointment	The appointment was approved by the Audit Committee and the Board of Directors on December 18, 2025, and has been the Company's certified public accountant since Q1, 2026.
The results of the audit on the accounting treatment or accounting principles of specific transactions before the appointment, and the possible opinions to be issued on the financial statements.	None
The written opinion of the succeeding CPA to the matters disagreed by the former CPA	None

(III) The Company shall submit the matters stipulated in Paragraph 1 and Item 3 in the preceding paragraph to the former CPAs in writing, and notify the former CPAs to respond via writing within ten days if the former CPAs hold different opinions. The Company shall disclose the former CPA's written response: Not applicable.

VI. Disclosure of any of the Company's Chairman, General Manager, or managers responsible for financial or accounting affairs being employed by the auditor's firm or any of its affiliated company in the last year, including their names, job titles, and the periods during which they were employed by the auditor's firm or any of its affiliated company. The term "affiliated enterprise of a CPA firm" means one owned by the independent auditors: None.

VII. Changes in transfer and pledge of shares by directors, managerial officers and shareholders with more than 10% shareholding in the most recent year up till the publication date of this annual report.

1. Changes of the equity of directors, managerial officers and major shareholders

Position	Name	2025		Current year as of April 19	
		Increase (decrease) in shares held	Increase (decrease) in shares pledged	Increase (decrease) in shares held	Increase (decrease) in shares pledged
Chairman	Good Financial Technology Co., Ltd.	0	6,000,0000	0	(6,000,000)
	Representative: Ku-Han Huang	0	0	0	0
Vice Chairman	Ming-Li Chuang	0	0	0	0
Director	Good Financial Technology Co., Ltd.	0	6,000,0000	0	(6,000,000)
	Representative: Chen-Hai Wang (Date of inauguration: 2026/3/11)	0	0	0	0
Director	Good Financial Technology Co., Ltd.	0	6,000,0000	0	(6,000,000)
	Representative: Yang-Juh Lai	0	0	0	0
Director	Good Financial Technology Co., Ltd.	0	6,000,0000	0	(6,000,000)
	Representative: Wei-Chung Li	0	0	0	0

Director	Good Financial Technology Co., Ltd.	0	6,000,000	0	(6,000,000)
	Representative: Ming-Dao Gu	0	0	0	0
Director	Good Financial Technology Co., Ltd.	0	6,000,000	0	(6,000,000)
	Representative: Hsuan-Ching Peng	0	0	0	0
Director and also Senior Deputy General Manager	Fu-Liang Wan (Note)	95,000 (50,000)	0	40,000	0
Independent Director	Keng-Chou Lin	0	0	0	0
Independent Director	Ching-Hsiu Chen	0	0	0	0
Independent Director	Li-Yi Cheng	0	0	0	0
General Manager	Ta-Hsiu Chuang (Note)	80,000 (50,000)	0	40,000	0
Senior Deputy General Manager	Huei-Cheng Shen (Note)	80,000 (50,000)	0	40,000	0
Senior Deputy General Manager	Hsueh-Li Yu (Note)	173,000 (50,000)	0	80,000	0
Deputy General Manager	Shen-Chun Lo (Note)	125,000 (50,000)	0	95,000	0

Deputy General Manager	Yu-Teh Shen	120,000	0	3,000	50,000
Deputy General Manager	Hsiu-Chu Chen	0	0	0	0
Deputy General Manager	Shih-Hsuan Huang (Note)	175,000 (50,000)	0	0	0
Deputy General Manager	Dun-Hsi Yang	60,000	0	80,000	0
Deputy General Manager	Pei-Chi Hung (Note)	110,000 (50,000)	0	30,000	0
Deputy General Manager	Yu-Ling Lung (Note)	80,000 (50,000)	0	0	0
Deputy General Manager	Yi-bai Chen (Note)	100,000 (110,000)	0	0	0
Deputy General Manager	Wei-Chun Lin	30,000	0	0	0
Senior Assistant Vice President	Tzu-Hui Lin (Note) (Date of inauguration: 2025/3/1)	30,000 (50,000)	0	0	0
Assistant Vice President	Wan-Chi Fang (Note)	80,000 (50,000)	0	0	0
Assistant Vice President	Hsi-Jung Kuo	15,000	0	20,000	0
Manager	Chien-Jen Cheng	15,000	0	20,000	0

Manager	Ching-Yao Huang (Note)	200,000 (100,000)	0	20,000	0
Accounting Manager	Shih-Hsiang Lin (Date of inauguration: 2025/7/16)	0	0	0	0
Manager	Ming-Chu Fan	0	0	0	0
Manager	Chi-Hsun Yang	0	0	0	0
Manager	Shu-Min Chung (Note)	25,000 (25,000)	0	0	0
Manager	Chi-Chao Chen	0	0	0	0
Manager	Hsia-Ping Chen	0 0	0	0	0
Manager	Yu-Chen Hsu	0	0	0	0
Manager	Chia-Yen Chuang	0	0	0	0
Manager	Yu-Wen Chen (Date of inauguration: 2025/12/1)	0	0	0	0
Manager	Ching-Yun Chang (Date of inauguration: 2025/12/1)	0	0	0	0
Manager	Yu-Feng Liu	0	0	0	0

Manager	Hui-Hao Tsai	0	0	0	0
Manager	Cong-Chi Yang	0	0	0	0
Manager	Wei-Chin Huang (Note)	50,000 (50,000)	0	0	0
shareholders with more than 10% shareholding	Good Financial Technology Co., Ltd.	0	6,000,000	0	(6,000,000)

Note: In addition to those bought from the centralized ordered market, the additional shares refer to the allotted RSAs. The decreased shares refer to those kept in trust.

2. Information on a counterparty of an equity transfer who is a related party: No such occurrence.

3. Information on a counterparty of an equity pledge who is a related party: No such occurrence.

VIII. Information on the top ten shareholders who are related to other under SFAS No. 6 or are spouses or relatives within the second degree of kinship

Disclosure of the related party among the top ten shareholders

Unit: shares; April 19, 2026

Name (Note 1)	Shareholding under own name		Shares held by spouse and underage children		Total shares held in the names of others		Disclosure of the related parties defined under Statement of Financial Accounting Principle No. 6 among the top ten shareholders, including their names and relationship		Remarks
	Shares	Shareholding ratio	Shares	Shareholding ratio	Shares	Shareholding ratio	Name	Relationship	
Good Financial Technology Co., Ltd. Representative: Ku-Han Huang	148,673,000	47.59%		0.00%	0	0.00%	None	None	None
Chuang Lung-Ching	29,656,784	9.49%		0.00%	0	0.00%	Chuang Lung-Chang Ming-Li Chuang	Relative within the second degree of kinship	None
Asia Value Fund Investment Account, entrusted to Deutsche Bank Taipei Branch for custody	19,896,000	6.37%		0.00%	0	0.00%	None	None	None
Ming-Li Chuang	8,992,202	2.88%		0.00%	0	0.00%	Chuang Lung-Chang Chuang Lung-Ching	Relative within the second degree of kinship	None
Chuang Lung-Chang	7,447,086	2.38%		0.00%	0	0.00%	Chuang Lung-Ching Ming-Li Chuang	Relative within the second degree of kinship	None
North Bay Recreation Co., Ltd. Representative: Jun-Chi Chuang	5,614,000	1.80%		0.00%	0	0.00%	Jung-Cheng, Chuang	Chairman himself	None
Ho Tai An Investment Co., Ltd. Representative: Chao Yi-Tang	4,000,000	1.28%		0.00%	0	0.00%	None	None	None
Jung-Cheng, Chuang	3,785,326	1.21%		0.00%	0	0.00%	North Bay Recreation Co., Ltd. Wu Er Fu Co., Ltd.	Chairman	None
Da Han Capital Co., Ltd. Representative: Dun-Hsi Yang	3,665,000	1.17%		0.00%	0	0.00%	None	None	None
Wu Er Fu Co., Ltd. Representative: Jun-Chi Chuang	3,635,681	1.16%		0.00%	0	0.00%	Jung-Cheng, Chuang	Chairman himself	None

IX. Number of shares held by the Company, the Company's directors, supervisors and managers, and the entities directly or indirectly controlled by the Company in a single investee.

Unit: Thousand Shares; %

Investee (Note)	By the Company		By Directors, supervisors, managers and the entities directly or indirectly controlled by the Company		Consolidated shareholding	
	Shares	Shareholding	Shares	Shareholding	Shares	Shareholding
Good Finance Securities Investment Consulting Co., Ltd.	5,000	100%	0	–	5,000	100%
Good Finance PE Co., Ltd.	6,648	100%	0	–	6,648	100%

Note: The Company's investee under equity method.

Three. Fundraising Status

I. Capital and shares

(I) Source of Share Capital

Unit: shares or NT\$; March 31, 2026

Date	Issue price	Authorized capital stock		Paid-in capital		Remarks		
		Shares	Amount	Shares	Amount	Source of Share Capital	Paid by any property other than cash	Others
2025.02	10	2,000,000,000	20,000,000,000	312,615,974	3,126,159,740	Issued RSAs for NT\$17,250,000	-	Note 1
2025.03	10	2,000,000,000	20,000,000,000	312,580,974	3,125,809,740	Capital decrease by cancelling RSAs for NT\$350,000	-	Note 2
2025.08	10	2,000,000,000	20,000,000,000	312,515,974	3,125,159,740	Capital decrease by cancelling RSAs for NT\$650,000	-	Note 3
2025.12	10	2,000,000,000	20,000,000,000	312,390,974	3,123,909,740	Capital decrease by cancelling RSAs for NT\$1,250,000	-	Note 4

Note 1: The Board of Directors approved the third issuance of RSAs in 2022 totaling 1,725,000 shares. The record date of the capital increase is February 21, 2025. Registration of change, approved by the Ministry of Economic Affairs on March 12, 2025 under Letter Jing-Shou-Shang-Zi No. 11430031520.

Note 2: Registration of change, approved by the Ministry of Economic Affairs on March 31, 2025 under Letter Jing-Shou-Shang-Zi No. 11430039410.

Note 3: Registration of change, approved by the Ministry of Economic Affairs on September 23, 2025 under Letter Jing-Shou-Shang-Zi No. 11430146720.

Note 4: Registration of change, approved by the Ministry of Economic Affairs on January 26, 2026 under Letter Jing-Shou-Shang-Zi No. 11430208420.

Total shares	Authorized capital stock			Remarks
	Outstanding shares	Unissued shares	Total	
Common shares	312,390,974	1,687,609,026	2,000,000,000	None

(II) List of major shareholders: List of shareholders with a stake of 5 percent or greater, or of the top ten

April 19, 2026

Shares Name of major shareholder	Shares held	Percentage of holding
Good Financial Technology Co., Ltd.	148,673,000	47.59%
Chuang Lung-Ching	29,656,784	9.49%
Asia Value Fund Investment Account, entrusted to Deutsche Bank Taipei Branch for custody	19,896,000	6.37%
Ming-Li Chuang	8,992,202	2.88%
Chuang Lung-Chang	7,447,086	2.38%
North Bay Recreation Co., Ltd.	5,614,000	1.80%
Ho Tai An Investment Co., Ltd.	4,000,000	1.28%
Jung-Cheng, Chuang	3,785,326	1.21%
Da Han Capital Co., Ltd.	3,665,000	1.17%
Wu Er Fu Co., Ltd.	3,635,681	1.16%

(III) Dividend policy and implementation status

1. Dividend Policy

The Company's earnings and cash flow were primarily affected by fluctuations in the economic cycle. In order to seek sustainable and stable business development, the Company adopted the balanced and stable dividend policy. The cash dividend distributed by the Company, if any, shall account for at least 10% of the whole dividends for the year. According to the Company's 2024 Board of Directors' expression of the Company's long-term dividend policy, 30% of the net value will be distributed to shareholders. Subsequently, if there is a need to adjust the market environment and operating conditions, it will be reported to the Board of Directors for resolution. The limit of distributed dividends referred to in the preceding paragraph is provided for reference only. The Company may consider the capital planning of next year subject to the actual operations in the current year, in order to decide the optimal dividend policy.

2. Pursuant to the Company's Articles of Incorporation, the Board of Directors is authorized to resolve semi-annual distribution of cash dividends. The amount and distribution date of the Company's 2025 cash dividends are as follows:

	Date of approval	Distribution Date	Cash dividends per share (NT\$)	Total cash dividends (NT\$)
First half of 2025	2025.08.21	None	0	0
Second half of 2025	2026.03.12	2026.05.22	NT\$2.00102488	NT\$624,781,948

(IV) Impacts of Proposed Stock Dividends on the Company's Business Performance and Earnings per share (EPS):

The Company's 2025 annual general meeting didn't propose the distribution of stock bonus.

(V) Remuneration to employees and directors

1. The percentages or ranges with respect to remuneration to employees and directors, as set forth in the Company's Articles of Incorporation:

Article 30: When the Company makes a profit in a year, it shall set aside not less than 1% of such profit as employees' compensation, which shall be distributed in the form of stock or cash as determined by the Board of Directors. The recipients of employee compensation may include the employees of parents or subsidiaries of the company meeting certain specific requirements. The Company may set aside not more than 3% of the aforesaid profits as remuneration to directors as determined by the Board of Directors in accordance with the relevant regulations established by the Board of Directors. Article 30: If the Company records a profit in a year, no less than 80% of the amount distributed as remuneration to employees referred to in the preceding paragraph shall be distributed as the remuneration to the entry-level employees. The motions for distribution of remuneration to employees and directors shall be submitted to the shareholders' meeting for reporting. If, however, the Company has accumulated losses, profit shall first be used to offset accumulated losses and then to set aside the remuneration to employees and directors according to the aforementioned percentages.

2. The Board of Directors approved the proposed distribution of 2025 employee remuneration: NT\$11,986,027; the Board of Directors approved the proposed distribution of 2025 director remuneration: NT\$35,866,725.

3. The amount of employee remuneration distributed in stock in 2025 and the ratio to the net income after tax and total employee remuneration in the parent company only or individual financial statements for the current period: None.
4. The basis for estimating the amount of remuneration to employees and directors and for calculating the number of shares to be distributed as the remuneration to employees, and the accounting treatment of the discrepancy, if any, between the actual distributed amount and the estimated figure, for the current period: None.
5. The actual distribution of the remuneration of employees, directors and supervisors in the previous year (including the number, amount and share price of shares distributed); if there is a difference from the recognized remuneration of employees, directors and supervisors, state the difference, the reason and the handling situation: None.

(VI) Repurchase of the Treasury Stock: None.

II. Issuance of corporate bond (including overseas corporate bonds)

(I) Outstanding corporate bonds

Type of corporate bond	1st issuance of secured corporate bonds in 2021	1st secured subordinated corporate bonds in 2024	1st secured subordinated corporate bonds in 2025
Date of Issue (offering)	May 24, 2021	December 31, 2024	January 10, 2025
Face value (NT\$)	1,000,000	1,000,000	1,000,000
Place of issue and trading	Taipei Exchange	Taipei Exchange	Taipei Exchange
Issue price	Issued at full face value	Issued at full face value	Issued at full face value
Total amount (NT\$)	300,000,000	300,000,000	300,000,000
Interest rate	0.65%	3.70%	3.70%
Term	5-year Maturity: May 24, 2026	6-year Maturity: December 31, 2030	6-year Maturity: January 10, 2031
Guaranteeing institution	Taiwan Cooperative Bank Co., Ltd.	Shanghai Commercial & Savings Bank Ltd.	Shing Kong Bank Co., Ltd.
Trustee	TAIPEI FUBON COMMERCIAL BANK CO., LTD.	Bank SinoPac Co., Ltd.	Bank SinoPac Co., Ltd.
Underwriting institution	Taiwan Cooperative Securities Co., Ltd.	SinoPac Securities Investment Trust	Masterlink Securities Corporation
Certifying Attorney-at-Law	Ya-Wen Chiu, Attorney-at-Law	Ya-Wen Chiu, Attorney-at-Law	Ya-Wen Chiu, Attorney-at-Law
Independent Auditor	Deloitte & Touche Independent Auditor: Wu Yi-Chun	Deloitte & Touche Independent Auditor: Wu Yi-Chun	Deloitte & Touche Independent Auditor: Wu Yi-Chun
Repayment method	To be repaid in full upon maturity of the corporate bond.	To be repaid in full upon maturity of the corporate bond.	To be repaid in full upon maturity of the corporate bond.
Balance of the principal to be repaid	300,000,000	300,000,000	300,000,000
Terms and conditions for redemption or early repayment	None	None	None
Restrictive clauses	Not applicable.	Not applicable.	Not applicable.

Name of credit rating institution, rating date and corporate bond rating result		Taiwan Ratings Corp. twAAA	AA (tw) from Fitch Ratings, Inc.	Taiwan Ratings Corp. - twAA-
Other rights	Amount of common shares, global depository receipts, or other securities converted (exchanged or subscribed for) up to the date of publication of the annual report	Not applicable.	Not applicable.	Not applicable.
	Issuance and conversion (exchange or subscription) terms	Not applicable.	Not applicable.	Not applicable.
Possible dilution of equity and impact on the existing shareholders' equity due to the issuance and conversion, terms of exchange or subscription, and terms of issuance		Not applicable.	Not applicable.	Not applicable.
Custodian of exchanged assets		Not applicable.	Not applicable.	Not applicable.

III. Issuance of preferred shares

None.

IV. Issuance of global depository receipts

None.

V. Employee stock warrants and restricted stock awards (RSAs)

(I) Remarks on employee stock warrants: None.

(II) Remarks on restricted stock awards (RSAs):

1. For all RSAs for which the vesting conditions have not yet been met for the full number of shares, the annual report shall disclose the status up to the date of publication of the annual report and the effect on shareholders' equity.

Status of RSAs

Types of RSAs	1st tranch (term) of RSAs, 2020	2nd tranch (term) of RSAs, 2020
Effective Date of reporting and <u>total number of shares</u>	July 13, 2020/ 3,500,000 shares	
Date of issuance	March 24, 2021	May 3, 2021
Issued RSAs	3,000,000 shares	100,000 shares
<u>RSAs available for issuance</u>	500,000 shares	400,000 shares
Issue price	0	0
Percentage of issued RSAs to total issued shares	0.96%	0.032%
Vesting conditions for RSAs	Employees shall still hold the position on each vesting date after being allotted the RSAs and are held by the Company free from violations of the Company's labor contract, work rules, non-competition and non-disclosure agreements, or any other agreements/contracts with the Company, and also attain the personal performance appraisal indicators set by the Company, which shall reach Grade A or above. Any employees who fail to attain the performance appraisal rating lower than Grade A shall be considered failing to fulfill the vesting conditions.	
Restrictive conditions for RSAs	<ol style="list-style-type: none">1. Where any employees fail to hold the position on each vesting date after being allotted the RSAs, and also violate the Company's labor contract, work rules, non-competition and non-disclosure agreements, or any other agreements/contracts with the Company, with the personal performance appraisal rating less than Grade A, the Company is entitled to recall the RSAs for which the conditions are not met by the employees, without consideration, and cancel the same.2. Where any employee resigns voluntarily, or is dismissed or laid off during the vesting period, or deceased due to any causes other than occupational hazards, the number of shares which have not yet been vested in him/her shall be recalled by the Company without consideration, and then canceled.	
Custody of RSAs	Taishin Bank was contracted as the custodian.	
Resolution if the vesting conditions are not met upon the employees' receipt of allotted shares or subscription for the new shares.	<ol style="list-style-type: none">1. After becoming eligible for the allotment of new shares but before meeting the vesting conditions, except in the case of inheritance, the employee shall not sell, pledge, transfer or give the RSAs to any others, or create mortgage thereof, or dispose of the same in any other manners.2. After becoming eligible for the allotment of new shares but before	

	<p>meeting the vesting conditions, the rights, including attendance, proposition, speech, voting and right of election, vested in the employee shall be identical with those with respect to the common shares already issued by the Company and shall be exercised pursuant to the trust contract.</p> <p>3. Any other rights vested in the employee who becomes eligible for the RSA pursuant to the Regulations but has not yet met the vesting conditions, including but not limited to, rights to receive allotted bonus, stock dividend, legal reserve and capital surplus, and right to subscribe for new shares issued through capital increase in cash, shall be identical with those with respect to the common shares already issued by the Company. The related operations shall be executed pursuant to the trust contract.</p> <p>4. The time and procedure to relieve the restrictions on the stocks vested in the employee who meets the vesting conditions on the book closure dates for issuance of bonus shares, cash dividends and subscription for new shares issued through capital increase in cash of the Company and of a shareholders' meeting referred to in Paragraph 3 of Article 165 of the Company Act, or from statutory book closure date de facto until the record date for distribution of rights shall be governed by the trust contract or related laws and regulations.</p>	
Number of redeemed or repurchased RSAs	925,000 shares	75,000 shares
Number of RSAs with restrictions lifted	1,310,000 shares	
Number of RSAs with restrictions not yet lifted	790,000 shares	
Percentage of RSAs subject to restrictions to total issued shares (%)	0.25%	
Impact on shareholders' equity	Subject to the vesting period set as three years and total outstanding shares, the expensable amount for each of the five years will be NT\$11,469 thousand, NT\$11,469 thousand, NT\$11,469 thousand, NT\$6,971 thousand, and NT\$3,598 thousand, and the dilution of the Company's earnings per share for each year is NT\$0.037, NT\$0.037, NT\$0.037, NT\$0.022 and NT\$0.012. As the dilution of the Company's earnings per share is limited, no material impact will be posed on the shareholders' equity.	

Types of RSAs	1st tranch (term) of RSAs, 2021	2nd tranch (term) of RSAs, 2021	3rd tranch (term) of RSAs, 2021
Effective Date of reporting and <u>total number of shares</u>	December 15, 2021 / 3,500,000 shares		
Date of issuance	August 29, 2022	October 28, 2022	September 18, 2023
Issued RSAs	1,335,000 shares	200,000 shares	1,175,000 shares
<u>RSAs available for issuance</u>	2,165,000 shares	1,965,000 shares	790,000 shares
Issue price	0	0	0
Percentage of issued RSAs to total issued shares	0.43%	0.06%	0.38%
Vesting conditions for RSAs	Employees shall still hold the position on each vesting date after being allotted the RSAs and are held by the Company free from violations of the Company's labor contract, work rules, non-competition and non-disclosure agreements, or any other agreements/contracts with the Company, and also attain the personal performance appraisal indicators set by the Company, which shall reach Grade A or above.		

Restrictive conditions for RSAs	<ol style="list-style-type: none"> 1. Where any employees fail to hold the position on each vesting date after being allotted the RSAs, and also violate the Company's labor contract, work rules, non-competition and non-disclosure agreements, or any other agreements/contracts with the Company, with the personal performance appraisal rating less than Grade A, the Company is entitled to recall the RSAs for which the conditions are not met by the employees, without consideration, and cancel the same. 2. Where any employee resigns voluntarily, or is dismissed or laid off during the vesting period, or deceased due to any causes other than occupational hazards, the number of shares which have not yet been vested in him/her shall be recalled by the Company without consideration, and then canceled. 		
Custody of RSAs	Taishin Bank was contracted as the custodian.		
Resolution if the vesting conditions are not met upon the employees' receipt of allotted shares or subscription for the new shares.	<ol style="list-style-type: none"> 1. After becoming eligible for the allotment of new shares but before meeting the vesting conditions, except in the case of inheritance, the employee shall not sell, pledge, transfer or give the RSAs to any others, or create mortgage thereof, or dispose of the same in any other manners. 2. After becoming eligible for the allotment of new shares but before meeting the vesting conditions, the rights, including attendance, proposition, speech, voting and right of election, vested in the employee shall be identical with those with respect to the common shares already issued by the Company and shall be exercised pursuant to the trust contract. 3. Any other rights vested in the employee who becomes eligible for the RSA pursuant to the Regulations but has not yet met the vesting conditions, including but not limited to, rights to receive allotted bonus, stock dividend, legal reserve and capital surplus, and right to subscribe for new shares issued through capital increase in cash, shall be identical with those with respect to the common shares already issued by the Company. The related operations shall be executed pursuant to the trust contract. 4. The time and procedure to relieve the restrictions on the stocks vested in the employee who meets the vesting conditions on the book closure dates for issuance of bonus shares, cash dividends and subscription for new shares issued through capital increase in cash of the Company and of a shareholders' meeting referred to in Paragraph 3 of Article 165 of the Company Act, or from statutory book closure date de facto until the record date for distribution of rights shall be governed by the trust contract or related laws and regulations. 		
Number of redeemed or repurchased RSAs	525,000 shares	50,000 shares	325,000 shares
Number of RSAs with restrictions lifted	288,000 shares		
Number of RSAs with restrictions not yet lifted	1,522,000 shares		
Percentage of RSAs subject to restrictions to total issued shares (%)	0.49%		
Impact on shareholders' equity	Subject to the vesting period set as three years and total outstanding shares, the expensable amount for each of the five years will be NT\$21,375 thousand, NT\$21,375 thousand, NT\$21,375 thousand, NT\$12,993 thousand, and NT\$6,706 thousand, and the dilution of the Company's earnings per share for each year is NT\$0.069, NT\$0.069, NT\$0.069, NT\$0.042 and NT\$0.021. As the dilution of the Company's earnings per share is limited, no material impact will be posed on the shareholders' equity.		

Types of RSAs	1st tranch (term) of RSAs, 2022	2nd tranch (term) of RSAs, 2022
Effective Date of reporting and <u>total number of shares</u>	April 24, 2023/3,500,000 shares	
Date of issuance	August 26, 2024	February 21, 2025
Issued RSAs	100,000 shares	1,725,000 shares
<u>RSAs available for issuance</u>	3,400,000 shares	1,675,000 shares
Issue price	0	0
Percentage of issued RSAs to total issued shares	0.03%	0.55%
Vesting conditions for RSAs	Employees shall still hold the position on each vesting date after being allotted the RSAs and are held by the Company free from violations of the Company's labor contract, work rules, non-competition and non-disclosure agreements, or any other agreements/contracts with the Company, and also attain the personal performance appraisal indicators set by the Company, which shall reach Grade A or above. Any employees who fail to attain the performance appraisal rating lower than Grade A shall be considered failing to fulfill the vesting conditions.	
Restrictive conditions for RSAs	<ol style="list-style-type: none"> 1. After an employee has received the RSAs, if, on the vesting date, the employee is no longer employed, or has violated the Company's labor contract, work rules, non-compete or confidentiality agreements, or other agreements with the Company, or if the employee's annual performance evaluation does not meet "Achieved Expectations," the Company shall have the right to recall without consideration and cancel any RSAs that have not yet met the vesting conditions. 2. Where any employee resigns voluntarily, or is dismissed or laid off during the vesting period, or deceased due to any causes other than occupational hazards, the number of shares which have not yet been vested in him/her shall be recalled by the Company without consideration, and then canceled. 	
Custody of RSAs	Taishin Bank was contracted as the custodian.	
Resolution if the vesting conditions are not met upon the employees' receipt of allotted shares or subscription for the new shares.	<ol style="list-style-type: none"> 1. After becoming eligible for the allotment of new shares but before meeting the vesting conditions, except in the case of inheritance, the employee shall not sell, pledge, transfer or give the RSAs to any others, or create mortgage thereof, or dispose of the same in any other manners. 2. After becoming eligible for the allotment of new shares but before meeting the vesting conditions, the rights, including attendance, proposition, speech, voting and right of election, vested in the employee shall be identical with those with respect to the common shares already issued by the Company and shall be exercised pursuant to the trust contract. 3. Any other rights vested in the employee who becomes eligible for the RSA pursuant to the Regulations but has not yet met the vesting conditions, including but not limited to, rights to receive allotted bonus, stock dividend, legal reserve and capital surplus, and right to subscribe for new shares issued through capital increase in cash, shall be identical with those with respect to the common shares already issued by the Company. The related operations shall be executed pursuant to the trust contract. 4. The time and procedure to relieve the restrictions on the stocks vested in the employee who meets the vesting conditions on the book closure dates for issuance of bonus shares, cash dividends and subscription for new shares issued through capital increase in cash of the Company and of a shareholders' meeting referred to in Paragraph 3 of Article 165 of the Company Act, or from statutory book closure date de facto until the record date for distribution of rights shall be governed by the trust contract or 	

	related laws and regulations.	
Number of redeemed or repurchased RSAs	0 shares	100,000 shares
Number of RSAs with restrictions lifted	0 shares	
Number of RSAs with restrictions not yet lifted	1,725,000 shares	
Percentage of RSAs subject to restrictions to total issued shares (%)	0.55%	
Impact on shareholders' equity	Subject to the vesting period set as three years and total outstanding shares, the expensable amount for each of the five years will be NT\$18,162 thousand, NT\$18,162 thousand, NT\$18,162 thousand, NT\$11,040 thousand, and NT\$5,698 thousand, and the dilution of the Company's earnings per share for each year is NT\$0.058, NT\$0.058, NT\$0.058, NT\$0.035 and NT\$0.018. As the dilution of the Company's earnings per share is limited, no material impact will be posed on the shareholders' equity.	

2. Names of managers holding the RSAs cumulatively and top ten employees holding the most shares, and the status of acquisition, until the date of publication of the annual report.

Unit: thousand shares; February 28, 2026

	Position	Name	Number of acquired RSAs	Percentage of acquired RSAs to total issued shares	Already relieved from restriction			Subject to restriction				
					Number of shares already relieved from restrictions	Issue price	Issue amount	Percentage of RSAs already relieved from restrictions to total issued shares	Number of shares subject to restrictions	Issue price	Issue amount	Percentage of RSAs subject to restrictions to total issued shares
Manager	General Manager	Ta-Hsiu Chuang	3,760	1.20%	1,090.5	0	0	0.35%	2,669.5	0	0	0.85%
	Senior Vice President	Huei-Cheng Shen										
	Senior Vice President	Hsueh-Li Yu										
	Senior Vice President	Fu-Liang Wan										
	Deputy General Manager	Yu-Teh Shen										
	Deputy General Manager	Wei-Chun Lin										
	Deputy General Manager	Pei-Chi Hung										
	Deputy General Manager	Yi-bai Chen										
	Deputy General Manager	Shih-Hsuan Huang										
	Deputy General Manager	Dun-Hsi Yang										
	Deputy General Manager	Yu-Ling Lung										
	Deputy General Manager	Shen-Chun Lo										
	Senior Assistant Vice President	Tzu-Hui Lin										
	Assistant Vice President	Wan-Chi Fang										
	Assistant Vice President	Hsi-Jung Kuo										
	Manager	Ching-Yao Huang										
Manager	Chi-Hsun Yang											

	Manager	Chien-Jen Cheng										
	Manager	Wei-Chin Huang										
Employee	Assistant Vice President	Tsai Hao-Yun	775	0.25%	165	0	0	0.052%	610	0	0	0.20%
	Deputy Manager	I-Chen Li										
	Deputy Manager	Li Pin-I										
	Manager	Kuo Lin										
	Manager	Chen Chien-An										
	Manager	Tzu-Ying Wu										
	Clerk	Lin Li-Feng										
	Clerk	Yi-An Hsiao										
	Clerk	Yi-Chun Lin										
	Clerk	Yu-Jen Wang										

VI. Mergers or acquisitions or with acquisitions of shares of other companies
None.

VII. Implementation of capital utilization plan

As of the quarter before the date of publication of the annual report, the issuance or private placement of securities has not been completed: None.

Any of the three most recent years, and the year ended December 31, 2012, has completed the project and the planned benefits have not yet been shown: None.

Four. Operational Overview

I. Content of business

(I) Business scope

1. The Company's main operations:

- (1) Brokerage of securities listed on the Taiwan Stock Exchange (TWSE).
- (2) Proprietary trading of TWSE-listed securities.
- (3) Margin trading and short sale for the trading of securities.
- (4) Brokerage of securities listed on the Taipei Exchange (TPEX).
- (5) Proprietary trading of securities listed on TPEX.
- (6) Underwriting of securities.
- (7) Concurrent engagement in futures-related services.
- (8) Consigned trading of foreign securities.
- (9) Shareholders service agency.
- (10) Other securities-related operations approved by the competent authority.

2. Main business ratio

For the information provided by the Group to the main decision-makers for allocating resources and evaluating the performance of segments, the focus is on the operational performance of each company. The reportable segments of the Group are as follows:

- (1) Customer Partner Dept.: Mainly responsible for the mandate and brokerage of securities trading.
- (2) Investment Dept.: Mainly responsible for the proprietary trading of securities and bonds, transactions of bonds with repurchase agreements, and trading of financial products related to interest rates.
- (3) Investment Bank Dept.: Mainly responsible for the underwriting of securities transactions.
- (4) Futures Dept.: Mainly responsible for the mandate and brokerage of futures trading.
- (5) Other departments: They include the operating revenue of other subsidiaries.

The business performance of the reportable segments of the continuing operations are as follows:

Unit: NT\$ thousand

Item	Year	2022		2023		2024		2025	
		Amount	%	Amount	%	Amount	%	Amount	%
Customer Partner Dept.		626,878	72.1	631,386	61.82	884,891	55.22	744,175	34.37
Investment Dept.		181,411	20.9	331,731	32.48	638,460	39.85	1,352,123	62.44
Investment Bank Dept.		149	-	3,759	0.37	10,701	0.67	10,820	0.50
Futures Dept.		35,388	4.10	22,193	2.17	25,194	1.57	22,020	1.02

Other segments	25,523	2.90	32,275	3.16	43,083	2.69	36,321	1.68
Total	869,349	100.0	1,021,344	100.0	1,602,329	100.0	2,165,459	100.0

3. The Company's current products (services)

- (1) Brokerage: consigned trading, futures, margin trading and short sale, and lending for any purpose
- (2) Proprietary trading: proprietary trading of marketable securities, trading of bonds with conditions, financial derivatives
- (3) Underwriting: Tutorship or assessment for issuance by public offering companies, placement of negotiable securities, assistance to raising funds via securities issuance, or financial consulting services
- (4) Other related operations approved by the competent authority.

4. New products (services) under development

- (1) The Company has successfully launched the US bond sub-brokerage service at its Taipei headquarters and plans to extend this offering to all branches, supporting customers in optimizing global asset allocation and enhancing investment returns. U.S. stock-related business is planned to be launched in 2026.
- (2) Through the "Good Finance Wallet" app and sub-account functionality, the Company will provide a full suite of financial products and services, including cash management, wealth management, brokerage, and margin financing. Additionally, the Company is set to launch ELN (Equity-Linked Notes) non-principal-protected structured products, individual RP/RS products, and US equity sub-brokerage services. These initiatives aim to increase the convenience and satisfaction of using the Company's fintech solutions, diversify investment options, deliver improved investment performance, and help customers achieve their financial goals and a better quality of life.

(II) Overview of Industry

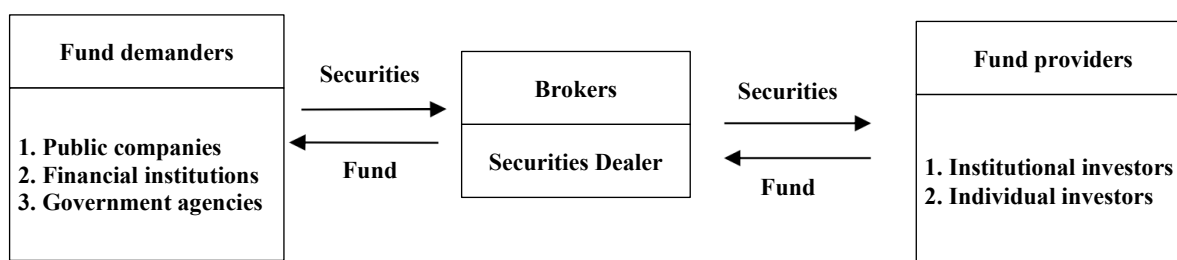
1. Status and development of the industry

- (1) In 2025, Taiwan's stock market continued its bullish momentum and reached another record high. The artificial intelligence (AI) boom continued to gain momentum in 2025, while the substantial expansion of global technology capital expenditures and the central role of Taiwan's technology supply chain jointly drove the Taiwan stock market to surge by 5,928 points for the year, representing a gain of 25.73%. This marked the second consecutive year of record-breaking annual point gains, with the weighted index closing at 28,963 points, reaching another all-time high. Technology heavyweight stocks such as TSMC and Hon Hai drove the total market capitalization of listed companies to a record high of NT\$94.3589 trillion, representing an annual increase of approximately NT\$20.46 trillion. As of the end of November 2025, the cumulative number of Taiwan stock market investor accounts was approximately 13.71 million, reaching 13.76 million accounts by year-end, representing an increase of approximately 550,000 accounts compared with the previous year. Securities assets have become the most important financial asset category for all residents to realize the value preservation and increase of Taiwan's social wealth.
- (2) In 2025, the combined pre-tax profit of the three major financial industries reached NT\$988 billion. Although this represented a year-on-year decrease of 6.69%, it still marked the

second-highest level in history. Among them, the banking industry recorded NT\$627.9 billion, representing annual growth of 11.01% and a record high; the securities, futures, and investment trust industry recorded NT\$166.4 billion, representing annual growth of 9.69% and likewise reaching a recent high; while the insurance industry recorded profits of NT\$193.7 billion, representing an annual decrease of 43.28% due to the provision of foreign exchange fluctuation reserves. Notably, ETFs, unrestricted-purpose lending, and sub-brokerage services have emerged as the fastest-growing segments in the securities industry over the years. Securities firms serve as a key gateway for the wealth management of nearly 13.76 million investors with brokerage accounts. By providing financing, they offer entrepreneurs long-term capital support, and through investment and wealth management products and services, they help society achieve long-term wealth appreciation while supporting every family in attaining financial health and goals.

2. Correlation of the up-stream, mid-stream and down-stream dealers in the industry:

The securities market constitutes a part of the financial market as the trading channel for fund demanders and fund providers. Generally, enterprises are used to raising fund by issuing securities. The investment in securities becomes an important approach for the private to utilize their savings and manage personal wealth. Therefore, the securities market aims to gather savings and transform them into investment, in order to drive the economic growth.



3. Product development trends and competition:

- (1) **Brokerage and Margin Financing Business:** In 2025, the market capitalization of the Taiwan stock market increased by approximately NT\$20.46 trillion year over year to NT\$94.4 trillion, while the weighted index rose by 25.73% for the year. Average daily trading volume remained at a high level, and market margin balances continued to stay at historically elevated levels, driving steady revenue growth for the securities industry. However, the industry also faced intensifying price competition in commission rates and margin financing interest rates. Thanks to the Company's unique client base and branch network, its brokerage business remains both stable and more profitable than the industry average. Positioned as a value-driven rather than price-driven firm, the Company is leveraging technology and investment advisory capabilities to empower both online and offline branches—enhancing investor experience and helping clients achieve better returns. The Company has completed the construction of a new data center, implementing a three-location backup system to ensure trading stability, and has developed a fully proprietary trading app to deliver a first-rate user experience. Brokerage services will serve as the primary touchpoint for engaging clients, deepening trust relationships, and delivering a full spectrum of investment products and advisory services. The Company aims to become clients' trusted financial advisor and long-term partner.
- (2) **Wealth and asset management business:** The FSC has been actively promoting the "Asian Asset Management Center" initiative by relaxing regulations and opening new financial products to attract international capital and institutions to Taiwan, thereby enhancing the

visibility of Taiwan's financial market. This initiative calls for a diversified set of investment management tools to meet the varied needs of investors. Among the priority instruments are venture capital (VC) and private equity (PE) funds. Regulatory frameworks will be relaxed to allow securities firms and investment trust companies to manage or distribute PE/VC funds, enhancing their role in Taiwan's asset management ecosystem. The Company is actively expanding its business scope. In 2022, it launched principal-guaranteed notes (PGNs) - a new financial product - under the appropriate licensing framework, with strong market reception. Additionally, in 2020, the Company established a private equity subsidiary through reinvestment, which began operations in June 2021. In the future, the Company will align with the overseas expansion of Taiwan's AI and high-end manufacturing supply chain and the needs of global capital allocation by exploring the establishment of new business locations in the United States to meet the investment and financing needs of enterprises and high-net-worth customers.

- (3) **Proprietary Trading Business:** In 2025, securities firms across the industry experienced significant growth in proprietary trading profits. The securities and futures industry recorded pre-tax profit of NT\$166.4 billion, representing annual growth of 9.69%, mainly benefiting from the enhanced position of Taiwan's capital market, which drove trading activity and investment returns. The Company's proprietary investment performance ranked among the top in the industry and continued to operate under two major investment strategies: the first focuses on innovation and growth investing by investing in high-quality growth enterprises and holding them for the long term; the second focuses on investing in a portfolio of stable enterprises by long-term holdings of Taiwan's hidden champion companies with high dividend yields. Geographically, the Company concentrates its investments in Taiwan, the U.S., and China. By applying both geographic and strategic diversification, the Company maintains a portfolio that is both stable and growth-oriented.
- (4) **Underwriting Business:** Given that underwriting is not typically a core advantage for small to medium-sized securities firms, the Company will selectively and strategically participate in joint underwriting projects, focusing resources where they can be most effectively deployed.

(III) Technology and R&D Overview:

1. **Financial Product Innovation:** Subject to obtaining the relevant business licenses, the Company continues to launch innovative products centered on customers' long-term interests and has established a product portfolio covering principal-guaranteed notes (PGN), private equity funds, and sub-brokerage trading of U.S. Treasury bonds. Looking ahead, the Company will strive to build a fixed-income product portfolio covering a complete maturity structure and provide customers with comprehensive financial services, including brokerage, financing, cash management, wealth management, and asset management. The Company will further deepen the breadth of customers' investment products and customer engagement, enhance the efficiency of customers' asset allocation, improve customers' investment returns, and support customers in achieving a better financial life.
2. **Technology Product Innovation:** The Company continues to invest resources in deepening the R&D and optimization of the Good Finance Wallet APP. At present, core functions such as segregated accounts and online account opening have been launched, while the trading server infrastructure and information security protection system have also been comprehensively upgraded. Looking ahead to the next stage, the Company will launch new functions, including more comprehensive segregated account management, integrated account opening procedures, and online margin account opening, while simultaneously strengthening the personal account management system and core financial systems to continuously enhance transaction stability

and customers' digital experience.

(IV) Long-term and short-term business development plans

1. Short-term development plan:

- (1) **Brokerage business:** The Company will continue to optimize the functions and user experience of its trading software, officially launch digital services such as upgraded segregated accounts, online margin account opening, and online investment advisory services, and assist customers in enhancing their investment judgment and returns through self-media content and investment advisory columns. In terms of talent, the Company will actively recruit young investment and wealth management advisors while comprehensively strengthening the professional capabilities of branch financial advisors to enhance customers' long-term investment satisfaction and accelerate new customer acquisition momentum.
- (2) **Wealth and asset management business:** The Company will continue to focus on differentiated products such as segregated accounts, reverse repurchase agreements, PGN, private equity funds, and sub-brokerage trading of U.S. Treasury bonds to provide customers with wealth and asset management solutions that offer the best returns and optimal user experience in the market. In the future, through continuous financial product innovation, the Company will build a fixed-income product portfolio covering short-, mid-, and long-term maturity structures to meet customers' diversified and cross-regional wealth management and asset allocation needs.
- (3) **Proprietary Trading Business:** The Company is expanding its investment team, refining its research framework, establishing a global network of AI experts and scientists, and forming a fixed income research unit. These efforts aim to enhance global capital allocation efficiency and boost the Company's overall return on assets.
- (4) **Underwriting business:** The Company's underwriting department has completed its transformation and has been formally upgraded to the Investment Banking Department. Its business scope has expanded to provide professional services for financial institutions and corporate entities, including financial planning, equity arrangements, employee equity plans, and capital market advisory services, while continuously deepening long-term cooperative relationships with corporate customers.

2. Long-term development plan:

The Company will strengthen the market position and profitability of its brokerage business, accelerate technological capability development and digital transformation, and focus on the growing demand for wealth and asset management services. The Company aims to transform into a wealth and asset management-oriented investment bank, create long-term and stable profitability, build a financial institution centered on customers' long-term interests, and become the integrated securities firm with the highest customer satisfaction in the market. For investors pursuing long-term wealth growth and willing to pay for value-driven, professional services, Good Finance Securities aspires to be their most trusted financial advisor and long-term partner. Compared to other banks and securities firms in Taiwan, the Company's edge lies in its leading long-term investment performance, effective goal-based financial products, and commitment to prioritizing the overall interests of its clients.

II. Market and production and sales overview

(I) Market analysis:

1. Territories where the services are sold and provided

(1) Main service items and recipients

- ① Service items: securities brokerage (including futures), proprietary trading, credit trading, and financial derivatives trading, etc.
- ② Service recipients: including domestic and foreign institutional investors and general investors.

(2) Geographic areas where the main services are sold

The Company extends services to domestic and foreign institutional investors and general investors primarily, and sets up business locations in major cities throughout the nation. Meanwhile, the Company's e-trading order system may help investors access more rapid and convenient wealth management information and services free from the limitation on time and space, and provide services to more customers of different attributes.

2. Market share: The Company's brokerage services secured the average market share of 0.35% in 2025.

3. Future demand & supply and potential growth in the market

Market Perspective: The period from 2020 to 2025 marked the most active stage in the history of Taiwan's securities trading market, with the addition of a new generation of young investors who have introduced new demands regarding brand image, technology, and trading experience. Driven by a low-interest rate environment, Taiwan's consistently strong export performance, and the momentum from new investor participation, the securities market is expected to remain vibrant. To meet the increasingly diversified investment and wealth management needs of clients, asset classes such as equities, ETFs, sub-brokerage services, and foreign bonds are anticipated to serve as key growth engines for the continued expansion of the securities and futures industry. The annual number of active trading customers in the securities industry has reached nearly 7 million, making it the financial gateway with the highest customer value and highest level of activity in Taiwan.

4. Competitive niche

(1) Competitive advantage of products:

- ① Profitability: The Company's brokerage business maintains market-leading profitability. Thanks to strategic regional branch placement and strong, trusted relationships built by its professional financial consultants, the Company charges brokerage fees higher than industry peers and maintains a lower reliance on electronic order flow.
- ② Financing: The Company's margin financing profitability is also ahead of the market. Financing rates have held steady at approximately 5%, outperforming the industry average of around 3% to 4%. With strong customer loyalty, the Company has been insulated from peer price-cutting competition, enabling consistent and stable interest income.
- ③ Product Expansion: Leveraging its strengths in investment and asset allocation, the Company has successfully launched new products such as segregated account, ELNs, RPs, and private placement services—offering best-in-class returns and user experience within their categories.

(2) Consulting Competitive Advantage:

- ① Track Record and Team Stability: With over 38 years of stable operations, the Company's branch managers and advisory teams are seasoned and reliable. Its brokerage client base is diverse, primarily composed of individual investors with long life cycles and high transaction activity. Long-term partnerships between technology and advisory teams have further supported profitability. The Company is actively expanding by recruiting more financial advisors to build a larger, highly professional team that offers attentive and compassionate service to clients.
- ② In the past three years, the Company has brought on several senior-level professionals, forming a top-tier, experienced management team with a global perspective.

(3) Investment competitive advantage:

- ① The Company's proprietary trading consistently outperforms peers. As a direct financial intermediary, the Company enjoys structural advantages in income generation compared to banks and insurers. Within the securities industry, the Company emphasizes research-driven strategies, value investing, and long-term positioning. By allocating capital to high-quality global enterprises, it has delivered investment returns superior to market averages, driving higher ROA and ROE than its financial sector peers.

5. Positive and negative factors for future development, and responsive measures

(1) Favorable factors:

- ① The overall wealth in Taiwan is mainly composed of stock assets and real estate assets. This is an irreplaceable advantageous position for securities firms to provide high net worth people in Taiwan with services.
- ② The leading position of insurance in Taiwan's social wealth management is changing. The massive low-interest U.S. bonds and IFRS 17 rules are causing insurance companies to have difficulty in expanding their tables, which is beneficial to banks and securities firms to play a more active role in the wealth management field.
- ③ The continuous increase in the amount of excess savings has brought the demand for wealth management with fixed income.
- ④ The FSC continues to relax securities firms' involvement in wealth management services, such as expanding the scope of investment in sub-brokerage accounts, relaxing the investment of private placement funds, and allowing discretionary investment services.

(2) Unfavorable factors:

- ① In contrast to the trend of financial institutions becoming bigger and bigger, the Company has smaller capital and its business scope is limited. If the securities dealer can establish strategic alliances with banks and insurance companies, or join the financial holding group, the financial products will be more complete. The Company is still striving to provide comprehensive financial services in the "one-stop shopping" of the new financial era.

- ② The frequency of fraud and black swan attacks has been increasing, and the competent authorities have been demanding higher information security requirements for the financial industry, which has caused a significant increase in information security costs. The difficulty in fund transfer by customers have also become more and more difficult, which is not conducive to the cost structure of small and medium-sized securities firms.
- ③ The aging and low birth society increase the difficulty in recruiting quality talent. The cost and difficulty in recruiting talent continue to rise. The financial industry will continue to face the competition of talent from the technology industry.

(3) Countermeasures:

① Clear Organizational Responsibilities:

The Company has a streamlined organizational structure with clearly defined responsibilities, enabling faster decision-making compared to larger financial institutions. Communication across all levels is smooth, facilitating effective execution of operational strategies. Over the past three years, the Company has recruited numerous senior professionals, forming a world-class, diverse, and experienced management team with a global perspective.

② Concentrated Shareholding:

The Company's shareholding is concentrated among directors, ensuring alignment between the Board, major shareholders, and the Company's vision for sustainable operations. The management team demonstrates strong commitment and clarity in executing the Company's medium- and long-term development plans.

③ High Performance Across Branches:

Each trading unit—including stocks, futures, and bonds—exhibits high per capita productivity. Led by experienced managers from within the industry, the Company's branch teams consist of domain experts. Most branches have operated for many years, with deep local roots, stable customer bases, and consistent performance and profitability.

④ Value-Driven Strategy

The Company's mission is to earn money for customers. The long-term goal is to become the most outstanding investment bank securities firm in Taiwan. The Company has three competitive advantages, leading long-term investment performance, and effectively helps customers achieve the products they need. The Company is a financial consultant with the priority of customers' overall interests. The Company's goal is to enable shareholders and customers to live well through investment in the Company or in the Company's products.

(II) Important purpose and production process of main products

1. Important purpose of main products (commodities) or services

Main services	Important purpose
Brokerage	Engage in trading of securities in the centralized ordered market and over-the-counter market on behalf of customers, and concurrently engage in the brokerage service of domestic stock index futures.
Underwriting	Tutorship for issuers' offering and issuance of securities, application for listing on TWSE (TPEX) or financial consulting services, and investment banking functions.
Proprietary trading	The proprietary trading of securities on the centralized ordered market, over-the-counter market and bond market aims to provide the Company with profit, and also adjust the demand and supply in the market and stabilize the stock price.

2. Production process: As a securities service business, the Company has no production process.

(III) Supply of main raw materials:

Not applicable, as the Company is a securities service business and the business operated by the Company and services provided by the Company all follow the competent authority's regulations and, therefore, no physical supply of raw materials exists.

(IV) Trade creditors'/trade debtors' names as well as their sales (purchase) amounts and ratios that accounted for over 10% of the total amount of goods purchased (sold) in the past two years or in any year and the reasons for changes thereof

The Company primarily provides services to customers including individuals, juristic persons, or foreign professional institutional investors and natural persons as approved. None of the trade creditors'/debtors' sales (purchase) amounts and ratios that accounted for more than 10% of the total amount of goods purchased (sold) in either of the past two years.

III. Information about the number of employees

Number of employees, average years of service, average age, and academic background distribution ratio of employees in the most recent two years and as of February 28, 2026

Unit: Person; Age: Year; %

Year		2024	2025	Current year as of February 28
Number of employees	Manager	33	32	31
	General employees	334	336	336
	Total	367	368	367
Average age		46.81	44.52	46.28
Average years of service		15.48	13.67	14.99
Academic background distribution ratio	PhD degree	0.00%	0.00%	0.00%
	Master degree	11.99%	13.39%	11.99%
	College	74.66%	74.73%	75.20%
	Senior high school	13.08%	12.77%	12.53%
	Below senior high school	0.27%	0.27%	0.27%

IV. Information about environment protection expenditure

As a securities service business, the Company has no problem of environmental pollution.

V. Labor relations

- (I) List the Company's employee welfare measures, education, training, retirement system, and their implementation status, and labor agreements as well as the various employee rights protection measures

1. Employee Welfare Measures

The Company's management regulations and work rules are enacted based on the Labor Standards Act, as the consistent principles to be followed by the whole employees. The Company also cares about employees' life and welfare, and sets reasonable salary and compensation. For the employee welfare, in response to labor laws and regulations, the Company enrolls the employees to labor insurance programs and contributes pension fund pursuant to laws on a monthly basis, and establish the Employee Welfare Commission pursuant to laws, distribute birthday of festival gift money or present, and subsidies for marriage, funeral and celebration. The Company sets up a sound welfare system to provide employees with stable life.

2. Employees' education and training

The Company provides diversified training courses and fair in-service education, including in-service training courses, professional courses, and the competent agency's training courses related to various job duties, in order to train professional and challenging talents.

3. Retirement system and implementation status thereof

① In order to enable the Company's employees to work without worries and protect their retirement life, the employees' retirement is handled in accordance with the Labor Standards Act, Labor Pension Act, and related regulations.

② The employees who have worked for the Company before enforcement of the Labor Pension Act (on July 1, 2005) may choose to continue applying the old system, under which their pension fund should be contributed from 2%~8% of the salary paid to them each year. Meanwhile, the employees may choose to apply the new system instead within five years. The pension fund of any employees who still work for the Company upon enforcement of the Labor Pension Act and choose to apply the Labor Pension Act, or the employees who are hired upon enforcement of the Labor Pension Act shall be contributed from 6% of their monthly salary on a monthly basis. The employees may also contribute no more than 6% of the monthly salary to their pension fund on a monthly basis voluntarily.

4. Labor agreements

The Company is engaged in the industry that may apply the Labor Standards Act. The Company is used to valuing the labor-management relationship, and always operating based on the Labor Standards Act. So far, the Company has kept the labor-management relationship harmonious and never been involved in any major labor-management dispute.

5. Employee rights protection measures

The Company's employee rights are protected pursuant to laws and maintained fairly.

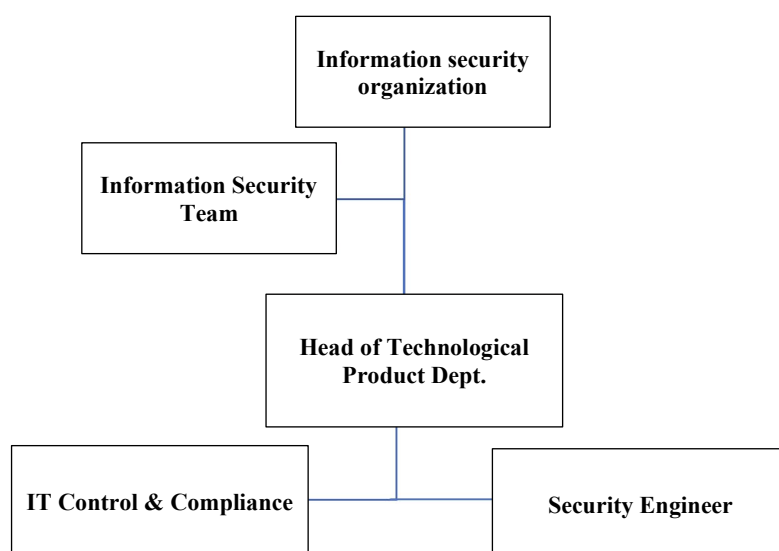
- (II) List the losses suffered by labor disputes in the most recent year and until the publication date of the prospectus, and disclose the amount of losses that may occur from such disputes in the future as well as the response measures. If the amount cannot be reasonably estimated, explain the facts behind why the reasonable estimation cannot be made: None in 2025 and 2026 until the date of publication of the prospectus.

VI. Information communication security management

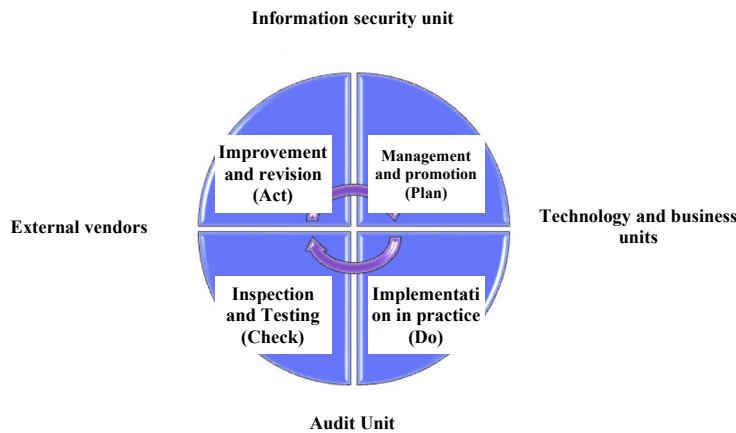
(I) Describe the cybersecurity risk management framework, cyber security policies, concrete management programs, and investments in resources for cybersecurity management.

1. The Company upholds its responsibilities for maintaining security in the securities market, protecting the rights and interests of investors, and improving service quality. To attain the Company's expectations and requirements for information security, the Company has established the "Information Security Policy" disclosed on the official website, and set up related management and control procedures based on the development of the organization. We also consider information security risks for the gradual enhancement of related management mechanisms to create a comprehensive feasible, and effective information security management system.
2. The Company has established the Information Security Task Force in which an executive ranked Deputy General Manager or above serves as the convener. The members of the Task Force consist of the managers of related departments or employees assigned by them. They convene meetings on the Information Security Policy at regular intervals each year. The Company's organization for the daily operations of information security or projects is the Technological Product Dept. We also assign suitable personnel to take charge of related operations. The current manpower allocation consists of a department head and two dedicated information security specialist who are responsible for the implementation of the Information Security Policy, planning of the information security system, and matters related to risk handling, to prevent the cyber crimes, maintain the information system security, and provide secure and reliable trading system to customers.

The Company's information security organizational structure is as follows:



The Company's information security management and governance process is as follows:



3. In terms of information risk management and cooperation, the Company has set up a DDoS protection mechanism with telecommunication operators and participated in the Financial Information Sharing and Analysis Center (F-ISAC). We assess related information security risks and implement related improvement measures based on the early warnings for threats and announcements of material vulnerabilities from F-ISAC. In addition, the Company conducts social engineering exercises as well as information security incident reports and response exercises in accordance with the Regulations on the Notification and Response of Cyber Security Incident with the Security and Future Computer Emergency Response Team (SFCERT).
4. To enhance employees' information security awareness, lower the risk of penetration by hackers, the Company organized information security training and email social engineering exercises at regular intervals each year.
5. To enhance protection against data leaks, the Company set up a data loss prevention system to monitor personal data, block leaks, and reduce the risks of leaks of personal data.
6. We schedule and execute regular vulnerability scans of critical systems to enhance information system security. We conduct information security inspections on the Company's mobile app to enhance its security.
7. The budget for core operating equipment and systems in 2025 was approximately NT\$207,578,053, with an achievement rate of approximately 84.60%; information security expenses invested in 2025 accounted for approximately 12.91% of total information-related expenditures.

(II) In the most recent year and up to the publication date of the annual report, the losses suffered as a result of major information and communication security incidents, the possible impacts, and the responsive measures. If it cannot be reasonably estimated, please explain the fact that it cannot be reasonably estimated:

No such matter as of the publication date of the prospectus for the years ended December 31, 2025 and 2026.

VII. Material contracts

The supply contracts, technical cooperation contracts, engineering contracts, long-term loan contracts, and other major contracts that may affect investors' interest and right, which are valid currently and going to expire in the most recent year:

Nature	Counterpart	Term	Main contents	Restrictive clauses
Joint credit contract	O-Bank Co., Ltd. (agency and lead bank), etc.	From December 19, 2023 to December 19, 2026	Loans to the Company within the total principal amount of NT\$1.5 billion	As stipulated in the contract
eACH construction project contract	Changing Information Technology Inc.	March 27, 2024 to 4 years after acceptance	Technical assistance	As stipulated in the contract
Google Workspace service contract	iKala Interactive Media Inc.	From June 1, 2024 to May 31, 2026	Technical assistance	As stipulated in the contract
Agreement on trading of computer equipment	DIMERCO DATA SYSTEM CORPORATION	30 days from the delivery date of equipment	Equipment procurement	As stipulated in the contract
Property Purchase and Sale Agreement	HOMAX DEVELOPMENT CORPORATION	April 25, 2025	Real estate transaction	As stipulated in the contract
Leasehold Rights Transfer Agreement	Cathay United Bank Company Limited	January 6, 2026	Assignment of claims	As stipulated in the contract
Joint credit contract	Cathay United Bank Company Limited (agency and lead bank), etc.	From June 3, 2025 to June 3, 2030	Loans to the Company within the total principal amount of NT\$1.324 billion	As stipulated in the contract

VIII. Own capital adequacy ratio since December 2025

Year	December 2024 (A)	December 2025 (B)	Variance (B-A)/A
Own capital adequacy ratio	256%	269%	5.08%

IX. Number of employees in non-management positions, annual average employee benefit expenses, and the difference compared to the preceding year.

Amount	Year		
	2025	2024	Deviation
Number of people	309 people	333 people	-24 people
Number of employees	309 people	333 people	-24 people
Average benefit expenses	1,115 thousand	1,079 thousand	36 thousand

X. Major business items

Major business items in the most recent five years

(I) Acquisition or merger of other companies: None.

(II) Demerger: None.

(III) Investment in affiliates:

Unit: NT\$ thousand

Name of affiliate	Relationship with the Company	Shareholding in the most recent five years %					Carrying amount as of December 31, 2025	Evaluation method
		End of 2025	End of 2024	End of 2023	End of 2022	End of 2021		
Good Finance Securities Investment Consulting Co., Ltd.	Investees valued under equity method	100.00%	100.00%	100.00%	100.00%	100.00%	56,980	Equity Method
Good Finance PE Co., Ltd.	Investees valued under equity method	100.00%	100.00%	100.00%	100.00%	100.00%	92,169	Equity Method

(IV) Reorganization: None.

(V) Purchase or disposal of material assets:

1. Name and nature of the subject property: 3F to 7F, No. 133, Shitan Road, Neihu District, Taipei City; 3F to 7F, No. 135, Shitan Road, Neihu District, Taipei City; 3F to 7F, No. 137, Shitan Road, Neihu District, Taipei City; and 3F to 7F, No. 139, Shitan Road, Neihu District, Taipei City.

2. Date of occurrence: 2025/4/24 to 2025/4/24
3. Board of Directors approval date: April 24, 2025
4. Other approval date: Not applicable
5. Quantity of trading units, unit price, and total transaction amount:
 - a. Building: 9,291.37 square meters (approximately 2,810.70 ping), at NT\$598 thousand per ping.
 - b. Parking spaces: 1,873.40 square meters (approximately 566.70 ping), comprising a total of 67 parking spaces, at NT\$1.7 million per parking space.
 - c. Total: 11,164.77 square meters (approximately 3,377.40 ping)
 - d. Transaction amount: approximately NT\$1.794 billion
6. Counterparty to the transaction and its relationship with the Company (if the counterparty is a natural person and not a related party of the Company, its name may be exempt from disclosure):
 - a. Counterparty to the transaction: HOMAX DEVELOPMENT CORPORATION
 - b. Relationship with the Company: Non-related party
7. If the counterparty to the transaction is a related party, the reasons for selecting the related party as the transaction counterparty, the previous owner, the relationship between the previous owner and the Company and the transaction counterparty, the date of the previous transfer, and the transfer amount shall also be disclosed: Not applicable.
8. If the owner of the transaction subject property within the most recent five years has been a related party of the Company, the acquisition and disposal dates, prices, and relationship with the Company at the time of the transaction shall also be disclosed: Not applicable.
9. Estimated gain (or loss) on disposal (not applicable to acquisition of assets) (if deferred, the recognition status shall be listed and explained): Not applicable.
10. Terms and conditions of delivery or payment (including payment period and amount), restrictive covenants of the contract, and other important agreed matters:
 - a. Payment terms: In accordance with the contract provisions.
11. Method for determining this transaction (such as tendering, price comparison, or negotiation), reference basis for price determination, and decision-making authority:
 - a. Method for determining the transaction: Negotiation.
 - b. Reference basis for price determination: Based on market conditions and appraisal reports.
 - c. Decision-making authority: Board of Directors
12. Name of the professional appraisal institution or company and the appraised amount:
 - a. Savills (Taiwan) Limited, NT\$1.767 billion
 - b. Shang-shang Real Estate Appraiser Firm, NT\$1.840 billion
13. Name of the professional appraiser:
 - a. Savills (Taiwan) Limited: Shih-Yu Yeh
 - b. Shang-shang Real Estate Appraiser Firm: Hung-Yuan Wang
14. Professional appraiser license number:
 - a. (110) Bei-Shih-Gu-Zi No. 000294
 - b. (91) Bei-Shih-Gu-Zi No. 000032
15. Whether the appraisal report is based on a limited price, specific price, or special price: No or not applicable.
16. Whether the appraisal report has not yet been obtained: No or not applicable.
17. Reason for not yet obtaining the appraisal report: Not applicable.

18. Where there is a material discrepancy in the appraisal results, the reasons for the discrepancy and the CPA's opinion: Not applicable.
 19. Name of CPA Firm: Not applicable
 20. Name of CPA: Not applicable
 21. CPA license number: Not applicable
 22. Broker and brokerage fees:
 - a. Broker: Tong Ang Corporation
 - b. Brokerage fees: In accordance with the contract agreement.
 23. Specific purpose or use of the acquisition or disposal: To serve as the Company's headquarters building.
 24. Opinions of directors expressing dissent regarding this transaction: None.
 25. Whether the transaction is a related-party transaction: No.
 26. Supervisor approval date or Audit Committee consent date: April 24, 2025
 27. Whether this transaction involves the acquisition of real property or right-of-use assets thereof from a related party: No.
 28. Price assessed in accordance with Article 16 of the "Regulations Governing the Acquisition and Disposal of Assets by Public Companies": Not applicable.
 29. Where the price assessed under the preceding paragraph is lower than the transaction price, the price assessed in accordance with Article 17 of the same Regulations: Not applicable.
 30. Date of previous material information announcement regarding the same event: April 24, 2025.
 31. Other matters to be stated: Supplemental explanation regarding the broker in accordance with the contract signed on August 5, 2025.
- (VI) Major changes in business practices or contents of operations: None.

Five. Review and Analysis of Financial Status and Financial Performance, and Risk Management

I. Financial status

Item	Year December 31, 2025	December 31, 2024	Increase (decrease)	Variance (%)
Current assets	29,298,070	21,525,625	7,772,445	36.11%
Noncurrent assets	3,273,489	1,967,669	1,305,820	66.36%
Total assets	32,571,559	23,493,294	9,078,265	38.64%
Current liabilities	19,782,167	13,431,897	6,350,270	47.28%
Noncurrent liabilities	3,022,977	1,763,008	1,259,969	71.47%
Total liabilities	22,805,144	15,194,905	7,610,239	50.08%
Capital stock	3,123,909	3,108,909	15,000	0.48%
Capital surplus	62,951	56,942	6,009	10.55%
Retained earnings	3,501,250	2,771,419	729,831	26.33%
Other equity items	3,078,305	2,361,119	717,186	30.37%
Total equity	9,766,415	8,298,389	1,468,026	17.69%

Notes to increase/decrease:

1. The increases in current assets, non-current assets, and total assets were mainly attributable to increases in investments in bonds under reverse repurchase agreements, accounts receivable, and the addition of the Neihu office.
2. The increases in current liabilities, non-current liabilities, and total liabilities were mainly attributable to increases in bonds payable under repurchase agreements, accounts payable, and long-term borrowings.
3. The increase in retained earnings was mainly attributable to the increase in profit or loss for the current period.
4. The increase in other equity items is primarily a result of the increase in net unrealized gain from financial assets at fair value through other comprehensive income.

II. Financial performance

Unit: NT\$ thousand

	2025	2024	Increase (decrease)	Variance (%)
Income	2,165,459	1,602,329	\$563,130	35.14%
Operating expenses and expenditure	<u>(1,126,698)</u>	<u>(1,191,849)</u>	<u>65,151</u>	(5.47%)
Other gains or losses	1,038,761	410,435	628,281	153.08%
Other gains or losses	<u>112,977</u>	<u>71,484</u>	<u>41,493</u>	58.05%
Profit before tax	1,151,738	481,964	669,774	138.97%
Income tax expense gains (expenses)	<u>6,519</u>	<u>(40,595)</u>	<u>47,114</u>	(116.06%)
Net profit after tax	<u>1,158,257</u>	<u>441,369</u>	<u>716,888</u>	162.42%
Notes to increase/decrease: Revenue and operating profit increased mainly due to the increase in net gains on operating securities measured at fair value through profit or loss during the current year, resulting in higher profitability.				

Sales volume forecast and the basis thereof, and the effect upon the Company's business and finance, as well as the measures to be taken in response:

Looking ahead to 2026, with the continued trend of AI and strong demand for information and communication products, the demand for precision chips will increase, which is beneficial to Taiwan's economic development. The fundamental trend of Taiwan stock market is upward. The Company expects that market share should be equivalent to that in 2025. Therefore, no significant impact may be imposed to the Company's future business and finance.

III. Cash flow

(I) Analysis of changes in cash flow in the most recent year (2025):

Item	Year	2025	2024	Increase (decrease)
Cash Flow Ratio (%)		5.76%	0.00%	5.76%
Cash Flow Adequacy Ratio		0.00%	0.00%	0.00%
Cash Reinvestment Ratio		5.62%	0.00%	5.62%
Analysis of changes in the percentage of increase or decrease: Please refer to the financial analysis.				

(II) Corrective measures against insufficient liquidity: No insufficient liquidity has occurred to the Company's capital utilization.

(III) Liquidity for the coming year:

Unit: NT\$ thousand

Balance of cash, beginning (1)	Projected net cash flow from operating activities for the year (2)	Projected cash inflows (outflows) from investing and financing activities for the year (3)	Projected cash balance (deficit) (1) + (2) + (3)	Projected corrective measures against insufficient cash position	
				Investment plan	Financing plan
2,025,056	(324,904)	9,242	1,354,726	-	-

IV. Impact posed by material capital expenditures to business and in the most recent year

Unit: NT\$ thousand

Project item	Actual or expected source of funds	Actual or expected completion date	Total amount of funds required	Actual or planned utilization of funds				
				2025	2026	2027	2028	2029
Acquisition of a new office building	Operating assets and financial institutions	Each year	1,794,000	1,794,000	0	0	0	0

V. The investment policy for the most recent year, major causes for profit or loss thereof, improvements, and investment plans for next year

(I) Investees

Unit: NT\$ thousand; Number of shares: Thousand shares

Name of Investee	Main business and product	Acquisition cost	Held at ending	
			Shares	Ratio
Good Finance Securities Investment Consulting Co., Ltd. (Note 1)	Securities investment advisor	50,000	5,000	100%
Good Finance PE Co., Ltd. (Note 1)	Investment, venture investment, investment consulting, management consulting	50,000	6,648	100%

Note 1: Consolidated subsidiaries

(II) Investment policy and causes of profit and loss

The Company's investment policy primarily aims at development toward a diversified securities finance and increase in revenue from the investment by vertical and horizontal business integration in response to the securities market's development trend.

Investee Companies: Good Finance Securities Investment Consulting Co., Ltd. was established in 2015. In 2025, the Company recognized investment income of NT\$3,742 thousand from Good Finance Securities Investment Consulting Co., Ltd., mainly attributable to advisory fee income. Good Finance Private Equity Co., Ltd. was established in 2021. In 2025, the Company recognized investment income of NT\$13,872 thousand from Good Finance Private Equity Co., Ltd., mainly attributable to product service fee income.

(III) Investment plan for the coming year

The Company will focus on discovery and creation of value and invest capital in great enterprises with long-term value to become the supporter of these enterprises' long-term capital and provide excellent entrepreneurs with comprehensive solutions in different industrial cycles (finance, human resource and strategic cooperation, etc.).

VI. Risk analysis and assessment

(I) Impacts of interest rate/foreign exchange rate fluctuation and inflation to the Company's income, and future responsive measures:

The Company's stock and bond portfolio may be affected by potential interest rate market changes, fluctuations in the exchange rate market, and inflation. In response to these changes in the external environment, the Company has responded by diversifying asset types, markets, and investee industries. The Company will respond to movement in the interest rate market by diversifying its investments in stocks and bonds, as well as long and short durations. Diversify the fluctuations of the exchange rate market through investments in Taiwan, the United States and China. By investing in different industries and companies with pricing power to respond to possible inflation. By nature, the capital market may fluctuate due to various factors. However, if the capital market is viewed over a long period of time, combined with appropriate asset allocation, the possibility of long-term profits is extremely high. In order to embrace the volatile nature of the capital market, the Company adopts ex-ante risk control, such as controlling the weights of investee countries, in-depth research on the fundamentals of individual stocks invested, control of purchase prices, and continuous follow-up after the investment.

(II) Policies on high-risk, highly-leverage investments, lending funds others, endorsement and guarantee, and derivatives transactions, main reasons for gain or loss, and future countermeasures:

1. The risk limits and types of trading underlyings of the Company's investment departments require the approval of the Board of Directors. The Risk Management Dept. of the Board of Directors is responsible for implementing risk management procedures to ensure that the risks borne by the investment positions do not exceed the limit approved by the Board of Directors.
2. The Company didn't loan funds to any others or make endorsements/guarantees for any others in the most recent year.
3. The Company's derivative transactions in the most recent year did not exceed the limit approved by the Board of Directors.

(III) R&D plans and expected investment in R&D expenses in the future

The Company is expected to invest NT\$120 million in R&D in 2025:

1. Integration with the overseas stock trading system significantly enhances the convenience and user experience for clients engaging in sub-trading services.
2. Next-generation data center construction provides new system computing power and improves the stability and performance of existing systems.
3. Development of a multi-service integrated account opening system greatly enhances the convenience and experience for clients opening accounts.

(IV) The impact of significant domestic and foreign policy and legal changes on the Company's finance and business matters and the countermeasures

1. Revision to the Q&A on Anti-Money Laundering and Counter-Terrorism Financing Regulations for Securities Firms dated January 3, 2025. (Letter referenced Jin-Guan-Cheng-Fa-Zi No. 114000043)
 - (1) Forwarded to related units of the Company and requested them to comply with regulations. Reviewed the Company's related regulations and included them into the compliance operations to ensure compliance.

- (2) No material impact on the Company's finance and business.
2. Order regarding Article 32 of the Securities Firms Financial Reporting Regulations dated February 10, 2025. (Letter referenced Jin-Guan-Cheng-Fa-Zi No. 11403803265)
 - (1) Forwarded to related units of the Company and requested them to comply with regulations.
 - (2) No material impact on the Company's finance and business.
3. Amendments to the "Establishment of Cybersecurity Inspection Mechanisms for Securities Firms" and the "Standard Specifications for Internal Control Systems of Securities Firms" dated April 11, 2025. (Letter referenced Jin-Guan-Cheng-Fa-Zi No. 1140006323)
 - (1) Forwarded to related units of the Company and requested them to comply with regulations. Reviewed the Company's related regulations and included them into the compliance operations to ensure compliance.
 - (2) No material impact on the Company's finance and business.
4. Order regarding Article 15 of the "Securities and Exchange Act" dated April 23, 2025. (Letter referenced Jin-Guan-Cheng-Fa-Zi No. 114081481)
 - (1) Forwarded to related units of the Company and requested them to comply with regulations.
 - (2) No material impact on the Company's finance and business.
5. Provisions regarding the scope of business operations permitted for securities firms under Subparagraph 2, Article 15 of the Securities and Exchange Act dated May 8, 2025. (Letter referenced Jin-Guan-Cheng-Fa-Zi No. 11403817044)
 - (1) Relevant units of the Company for business planning reference.
 - (2) No material impact on the Company's finance and business.
6. Order regarding Article 61 of the Securities and Exchange Act dated May 26, 2025. (Letter referenced Jin-Guan-Cheng-Fa-Zi No. 1140382475)
 - (1) Forwarded to related units of the Company and requested them to comply with regulations.
 - (2) No material impact on the Company's finance and business.
7. Order regarding Paragraph 2, Article 18; Subparagraph 3, Paragraph 1, Article 60; Subparagraph 11, Paragraph 1, Article 138 of the Securities and Exchange Act; and Article 6 of the Standards Governing Securities Eligible for Margin Purchase and Short Sale dated May 26, 2025. (Letter referenced Jin-Guan-Cheng-Fa-Zi No. 1140382477)
 - (1) Forwarded to related units of the Company and requested them to comply with regulations.
 - (2) No material impact on the Company's finance and business.
8. Order regarding Article 45 of the "Securities and Exchange Act," Article 2 of the "Standards Governing the Establishment of Securities Firms," and Paragraph 1, Article 31 and Paragraph 2, Article 36-1 of the "Regulations Governing Securities Firms" dated June 19, 2025. (Letter referenced Jin-Guan-Cheng-Fa-Zi No. 11403817072)
 - (1) Forwarded to related units of the Company and requested them to comply with regulations.
 - (2) No material impact on the Company's finance and business.
9. Establishment of the "Operational Procedures for Cybersecurity Assessment of Information Systems by Securities Firms" and amendments to the "Establishment of Cybersecurity Inspection Mechanisms for Securities Firms" and the "Standard

- Specifications for Internal Control Systems of Securities Firms" dated July 3, 2025. (Letter referenced Jin-Guan-Cheng-Fa-Zi No. 1140011618)
- (1) Forwarded to related units of the Company and requested them to comply with regulations. Reviewed the Company's related regulations and included them into the compliance operations to ensure compliance.
 - (2) No material impact on the Company's finance and business.
10. Types of business operations that securities firms may conduct upon approval by the competent authority under the proviso to Paragraph 1, Article 45 of the Securities and Exchange Act dated July 18, 2025. (Letter referenced Jin-Guan-Cheng-Fa-Zi No. 1140383122)
- (1) Relevant units of the Company for business planning reference.
 - (2) No material impact on the Company's finance and business.
11. Order regarding Paragraph 1, Article 12 of the Regulations Governing the Establishment of Internal Control Systems by Service Enterprises in Securities and Futures Markets dated September 2, 2025. (Letter referenced Jin-Guan-Cheng-Fa-Zi No. 1140346348)
- (1) Forwarded to related units of the Company and requested them to comply with regulations.
 - (2) No material impact on the Company's finance and business.
12. Order regarding Article 23, Paragraph 1 of Article 23-1, Article 39, and Article 41-1 of the Standards Governing the Establishment of Securities Firms, and Article 18-1, Article 51, and Article 52 of the Regulations Governing Securities Firms dated September 2, 2025. (Letter referenced Jin-Guan-Cheng-Fa-Zi No. 11403463481)
- (1) Forwarded to related units of the Company and requested them to comply with regulations.
 - (2) No material impact on the Company's finance and business.
13. Order regarding Article 10-1 of the "Regulations Governing Information to be Published in Annual Reports of Public Companies" dated November 12, 2025. (Letter referenced Jin-Guan-Cheng-Fa-Zi No. 11403851755)
- (1) Forwarded to related units of the Company and requested them to comply with regulations.
 - (2) No material impact on the Company's finance and business.
14. Order regarding Paragraph 2, Article 7 and Subparagraphs 7 and 8 of Article 10-1 of the "Regulations Governing Information to be Published in Annual Reports of Public Companies" dated November 12, 2025. (Letter referenced Jin-Guan-Cheng-Fa-Zi No. 11403851756)
- (1) Forwarded to related units of the Company and requested them to comply with regulations.
 - (2) No material impact on the Company's finance and business.
15. Order dated December 26, 2025 regarding Article 32-1 of the Securities Firms Financial Reporting Regulations and Article 34-1 of the Futures Commission Merchants Financial Reporting Regulations. (Letter referenced Jin-Guan-Cheng-Fa-Zi No. 11403856094)
- (1) Forwarded to related units of the Company and requested them to comply with regulations.
 - (2) No material impact on the Company's finance and business.

16. Order regarding Paragraph 3, Article 11 and Subparagraphs 7 and 8 of Article 32-1 of the Securities Firms Financial Reporting Regulations dated December 26, 2025. (Letter referenced Jin-Guan-Cheng-Fa-Zi No. 11403856095)
 - (1) Forwarded to related units of the Company and requested them to comply with regulations.
 - (2) No material impact on the Company's finance and business.
17. Order regarding Article 38 of the Securities Firms Financial Reporting Regulations dated December 26, 2025. (Letter referenced Jin-Guan-Cheng-Fa-Zi No. 11403856097)
 - (1) Relevant units of the Company have been notified.
 - (2) No material impact on the Company's finance and business.
18. Order establishing the standards for securities firms applying to establish international securities business branches dated January 6, 2026. (Letter referenced Jin-Guan-Cheng-Fa-Zi No. 11403667616)
 - (1) Relevant units of the Company have been notified.
 - (2) No material impact on the Company's finance and business.

(V) Impacts of technological changes (including cyber security risks) and industry changes on the Company's financial operations, and countermeasures

As digital transactions become more and more popular and the level of cloud computing in the securities industry increases, financial institutions have become the target of attack. The new types of information security threats are becoming more and more detailed, from DDoS, social engineering frauds, to AI generated attacks. In the future, the information security challenges faced by the financial industry will be more and more challenging. The hackers may conduct more precise phishing and frauds and internal penetration attacks with AI tools. If the Company fails to establish a comprehensive information security mechanism, the potential damage to the customers' funds and personal information security will be caused, and even the market confidence will be affected.

To ensure the stable operation of the information security and business of the Company, we continue to strengthen the information security structure of "Prevention", "Detection", "Response" and "Restoration", including the following measures:

1. Implement cybersecurity inspection mechanisms to ensure the system's capability to withstand cyberattacks.
2. Enhance employees' information security awareness, hold social engineering drills regularly, and improve the ability to prevent phishing attacks and social engineering frauds.

In addition, the Company will also enhance the ability of disaster recovery (BCP) and continuous operation (BCP) to ensure that key transactions and settlement functions can be quickly recovered even when the system is abnormal or under attack.

In the face of technological changes and industrial changes, the Company adheres to the core strategy of "safety, innovation, growth." On the one hand, the Company strengthens information security protection mechanisms to ensure business stability and transaction security. On the other hand, the Company actively uses financial technology to improve service quality, and drives the Company's business diversification through big data and digital platforms to ensure that the Company maintains its advantages in a highly competitive market environment. In the future, the Company will continue to adjust its strategy in

response to market changes, and create the next generation of securities financial services based on technology to create long-term value for shareholders and customers.

(VI) Impact on crisis management for changes in corporate image and response measures:

The Company was renamed Good Finance Securities in 2022 and focuses on “Finance for Dreamers” to redefine the relationship between finance and people and support the creation of a better life and society. The Company has comprehensive management systems and stable finances. We have established crisis management mechanisms and communicate them to executives from time to time in business meetings. When a crisis occurs, the Company shall activate emergency response measures and reduce the impact in all respects. The spokesperson shall quickly and clearly communicate with external entities.

(VII) Expected benefits and possible risks of merger and acquisition, and responsive measures:

The Company has no MA plans for the time being.

If a merger is carried out, the expected benefits include the expansion of the customer base and additional business opportunities. We will also use mergers to quickly accumulate the scale of assets and attain economies of scale to increase profit margins and competitiveness in the market.

Potential risks may include:

1. Information asymmetry risks: Where this is information asymmetry between the two parties of a merger, the acquirer must assume all risks in the assets and liabilities of a financial institution in poor health. For the acquisition of financial institutions in good health, the offered price may be too high and may thus result in the failure of the merger.
2. Integration risks: The integration of the corporate cultures, human resources, work environment, systems, institutions, and management will be the biggest challenges after the merger.

For the risks mentioned above, we shall implement careful planning before mergers to reduce information asymmetry risks. After the merger, the Company shall implement a rigorous integration plan to reduce the possibilities of failed integration and thereby increase synergy with the merger.

(VIII) Expected benefits and possible risks of business venue expansion, and responsive measures: None.

(IX) Risks associated with over-concentration of businesses and response measures:

The Company operates brokerage, proprietary trading, and underwriting businesses and does not have over-concentration of businesses or customers.

(X) Impact and risk on the Company due to major transfer or conversion of equity by directors, supervisors, or shareholders with more than 10% ownership interest, and responsive measures: None.

(XI) The impact of the change in management rights on the Company, the risks and countermeasures: None.

(XII) For litigious and non-litigious matters, please list major litigious, non-litigious or administrative disputes that have been resolved or are still proceeding involving the Company and/or any director, supervisor, and any major shareholder holding a more than 10% of the shares, and the affiliated companies. Moreover, where such a dispute could materially affect shareholders' equity or the prices of the securities, the annual report shall disclose the facts of the dispute, amount of money at stake in the dispute, the date of litigation commencement, the main parties to the dispute, and the status of the dispute as of the date of publication of the annual report: None.

(XIII) Other important risks and countermeasures: None.

The capital adequacy ratio of a securities dealer can be used to assess its capacity for bearing overall business risks. As of February 28, 2026, the Company's capital adequacy ratio was 293%, which met the requirements of the competent authority. The Company shall evaluate overall business risks to increase the return on equity for shareholders and flexibility in business operations and maintain a suitable capital adequacy ratio.

VII. Response mechanisms for crisis management:

If the Company suffers any crisis involving a state of emergency, the Company's General Manager shall serve as the convener to establish an emergency response team responsible for overall planning, supervision and coordination of various responsive arrangements and support. Any emergency state shall be dealt with in accordance with the Company's emergency response handbook and operating procedures against various risks. The Company's basic operations still need to be maintained, in order to protect customers' interest and right, and reduce the Company's losses.

VIII. Other important notes

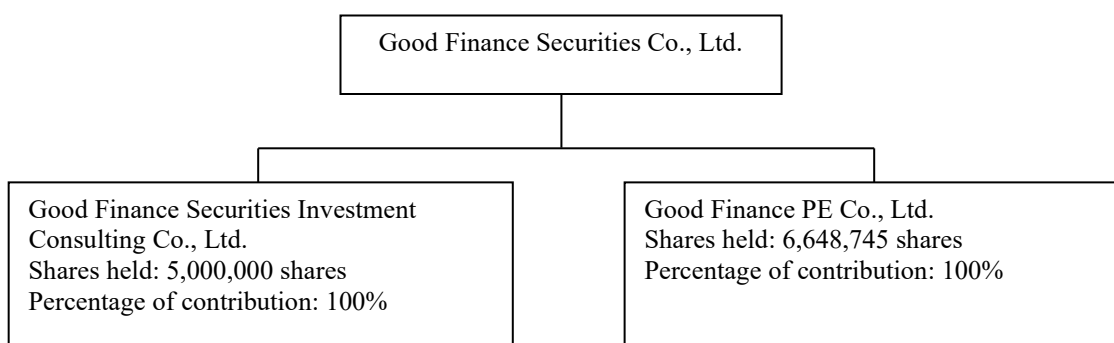
None.

Six. Special Disclosures

I. Affiliated companies

(1) Consolidated business reports of affiliates

1. Organizational charts of affiliates (March 31, 2026)



2. Affiliates' profile

March 31, 2026

Name of enterprise	Date of establishment	Paid-in capital (NT\$ thousand)	Main business and product
Good Finance Securities Co., Ltd.	1988.07.07	3,123,909	<ol style="list-style-type: none"> 1. Securities dealers 2. Futures dealers 3. Other services approved by the relevant industry competent authority.
Good Finance Securities Investment Consulting Co., Ltd.	2015.03.13	50,000	<ol style="list-style-type: none"> 1. Provide research and analysis opinion or suggestions on matters related to securities investment under contract. 2. Organize conferences related to securities investment. 3. Engage in discretionary investment on behalf of customers. 4. Other securities investment consulting services approved by the competent authority.
Good Finance PE Co., Ltd.	2021.04.16	66,487	<ol style="list-style-type: none"> 1. Investment 2. Venture investment 3. Investment Consulting 4. Management consulting 5. All business activities that are not prohibited or restricted by law, except those that are subject to special approval.

3. Information about the same shareholder presumed to have control and affiliation:

No more than a majority of any affiliate's shareholders practicing business and directors are identical. Meanwhile, there is no such circumstance that more than 50% of the total issued shares with voting right or capital are held or contributed by the same shareholder. Therefore, the circumstance referred to in the Company Act that the same shareholder presumed to have control and affiliation does not exist in the Company.

4. Explanation about business operated by all affiliates:

The transactions between the Company and affiliates are primarily related to the investment research analysis or suggestion services provided by Good Finance Securities Investment Consulting Co., Ltd. to the Company.

5. Information about directors, supervisors and General Managers of affiliates

March 31, 2026

Name of enterprise	Position	Name	Shareholding	
			Number of shares (share)	Shareholding (%)
Good Finance Securities Co., Ltd.	Chairman	Good Financial Technology Co., Ltd.	148,673,000	47.59
		Representative: Ku-Han Huang		
	Vice Chairman	Ming-Li Chuang	8,992,202	2.88
	Director	Fu-Liang Wan	75,000	0.02
	Director	Good Financial Technology Co., Ltd.	148,673,000	47.59
		Representative: Yang-Juh Lai		
	Director (Notes)	Good Financial Technology Co., Ltd.	148,673,000	47.59
		Representative: Chen-Hai Wang		
Director	Good Financial Technology Co., Ltd.	148,673,000	47.59	
	Representative: Ming-Dao Gu			

	Director	Good Financial Technology Co., Ltd.		
		Representative: Hsuan-Ching Peng		
	Director	Good Financial Technology Co., Ltd.		
		Representative: Wei-Chung Li		
	Independent director	Keng-Chou Lin	0	0.00
	Independent Director	Ching-Hsiu Chen	0	0.00
	Independent Director	Li-Yi Cheng	0	0.00
	General Manager	Ta-Hsiu Chuang	69,152	0.02
Good Finance Securities Investment Consulting Co., Ltd.	Chairman Director Director Supervisor General Manager	Ku Su-Hua Chi-Yao Huang Tien-Yu Lin Ting-Fan Tsai -	5,000,000	100.00
Good Finance PE Co., Ltd.	Chairman Director Director General Manager	Hui-Sheng Tsao Ching-Yao Huang Pei-Chi Hung Hui-Sheng Tsao	6,648,745	100.00

Note: Chen-Hai Wang, representative of Good Financial Technology Co., Ltd., assumed office as a director of the Company on March 11, 2026.

6. Overview of operations of affiliates

Unit: NT\$ thousand; December 31, 2025

Name of enterprise	Capital	Total assets	Total liabilities	Net worth	Income	Profit before tax	Current period net profit	EPS (NT\$)
Good Finance Securities Co., Ltd.	3,123,909	32,451,971	22,685,556	9,766,415	2,129,138	1,147,704	1,158,257	3.76
Good Finance Securities Investment Consulting	50,000	63,211	6,240	56,971	20,160	4,305	3,740	0.75
Good Finance PE	66,487	207,843	115,679	92,164	30,162	17,336	13,869	2.09

(2) Consolidated financial statements of affiliates: Please refer to the Consolidated Financial Statements

(3) Affiliation report: None.

(4) Information about affiliates' making of endorsements/guarantees, loaning of funds to others and engagement in derivatives trading:

The Company and affiliates have never engaged in making endorsements/guarantees, loaning of funds to others or derivatives trading in the most recent year until the date of publication of the annual report.

II. Private placement of securities in the most recent year until the date of publication of the annual report

None.

III. Any occurrences of events defined under Subparagraph 2, Paragraph 3, Article 36 of the Securities and Exchange Act in the most recent year up till the date of publication of the annual report that significantly impacted shareholders' equity or security price

None.

IV. Other matters that require additional explanation

None.

Good Finance Securities Co., Ltd. Company Seal

Responsible Person: Ku-Han Huang Personal seal

March 31, 2026